# A systemic approach toward scalable, reliable and safe satellite constellations

by

Alan Kharsansky

Electronics Engineer University of Buenos Aires, 2013

Submitted to the System Design and Management Program in Partial Fulfilment of the Requirements for the Degree of Master of Science in Engineering and Management

at the

Massachusetts Institute of Technology September 2020

> © 2020 Alan Kharsansky All rights reserved

The author hereby grants to MIT permission to reproduce and to distribute publicly paper and electronic copies of this thesis document in whole or in part in any medium now known or hereafter created.

Signature of the author ...... System Design and Management Program TBD, 2020

Certified by..... Nancy G. Leveson Professor of Aeronautics and Astronautics and Engineering Systems Thesis Supervisor

Accepted by.....Joan S. Rubin Executive Director MIT System Design and Management Program

# A systemic approach toward scalable, reliable and safe satellite constellations

# By Alan Kharsansky

Submitted to the System Design and Management Program On August 13th, 2020 in Partial Fulfilment of the Requirements for the Degree of Master of Science in Engineering and Management

#### ABSTRACT

Constellations of hundreds to thousands of satellites are becoming a reality. Nevertheless, the unprecedented scale of these systems is creating new sorts of challenges and risks for the designers and operators, mainly due to the high level of automation required. This study demonstrates how architectural decisions like the constellation topology, type of connectivity, and the level of automation affect the scalability, reliability, and safety of these constellations.

A survey of past, current, and planned constellations was conducted to identify key architectural decisions and create representative architectures to analyze using a novel process called Conceptual Architecture Development. These high-level conceptual architectures were refined and analyzed using Systems Theoretic Process Analysis (STPA), and a qualitative assessment and a comparison of the emergent properties were performed.

The results suggest that increased automation improves the scalability of the system, mostly when human controllers' responsibilities are shifted from individual satellite management to constellation management. However, increased automation also creates new responsibilities for human controllers and does not necessarily improve the safety and reliability of the system. Human-related causal factors found in lower levels of automation are mostly translated into software-related causal factors in higher levels of automation instead of being eliminated, and new types of hazards arise from the introduction of human-automation interfaces. Moreover, other architectural decisions, such as ground connectivity type, can negatively impact the safety and reliability of the constellation, mostly for slightly automated systems.

This study shows that architectural decisions can significantly affect the resulting emergent properties of a system and that there is a tradeoff between automation, safety, and reliability that should not be overlooked. Designers and operators should analyze this tradeoff and the development and operational costs in order to select the best-suited architecture for their constellations based on their expertise, technology strategy, and constellation size.

Thesis supervisor: Nancy G. Leveson

Title: Professor of Aeronautics and Astronautics and Engineering Systems

# Acknowledgments

I would like to express my sincere gratitude to Satellogic, and in particular to Emiliano Kargieman, for believing in me and sponsoring my graduate education. Satellogic provided me with the most remarkable professional experiences I could imagine and helped me pave my way into the space industry.

I would also like to thank my thesis supervisor, Professor Nancy Leveson, for showing me a completely new way to see systems engineering, in particular regarding complex sociotechnical systems. Her view of complex systems in our ever-changing world profoundly changed how I see the world and was a great inspiration for this work.

I'm extremely grateful to my wife Yasmin for her unconditional support, love, and encouragement to pursue this degree and for joining me in this adventure. She gave all of her for the family and provided the love and care that we needed. It wouldn't have been possible without her. Also, I would like to thank my little son, Ben, who joined us on this journey and who makes our lives happier every day.

I'm also deeply indebted to my parents Adriana and Gabriel, who set me off on the road to this degree a long time ago giving me all possible love and support and teaching me that with effort almost everything is possible. They, along with my sister Melina, provided me and my family all the support needed while living abroad.

Finally, I would like to thank my friend and colleague David Vilaseca for our endless conversations about my research and his valuable advice, as well as my friend Michel Peterson who guided me through the academic world with ease. I would also like to thank my classmate Brandon Baylor, and Federico Jack for their valuable advice.

# Table of contents

Acknowledgments	3
Table of contents	4
List of figures	7
List of tables	8
Chapter 1: Introduction	10
1.1. Motivation	10
1.2. Thesis objective	13
1.3. Thesis structure	13
Chapter 2: Background	15
2.1. Introduction	15
2.2. Taxonomy and definition of constellation	15
2.3. Legal and regulatory framework	16
2.4. Literature review	20
2.4.1. Constellation Topology	20
2.4.2. In-orbit collisions	20
2.4.3. Satellite and constellation architecture	21
2.4.4. Automation	22
2.4.5. Systems engineering	25
2.5. Case studies and lessons learned	29
2.6. Conclusion	31
Chapter 3: Methodology and design	33
3.1. Introduction	33
3.2. Theoretical framework and tools selection	33
3.3. Survey of satellite constellations	35
3.4. Architectures selection	36
3.4.1. Key architectural decisions	36
3.4.2. Architectures selection	38
3.5. Analysis process	40
3.5.1. Purpose of the analysis	41
3.5.2. Control structure definition	42
3.5.3. Identification of unsafe control actions (UCA)	44
3.5.4. Identification of loss scenarios and causal factors	44

3.5.5. Architecture analysis and comparison	
3.6. Conclusion	
Chapter 4: Data and analysis	
4.1. Chapter overview	
4.2. System definition and goal	
4.3. Concept of operations	
4.4. Losses and hazards	
4.5. Architecture A1	
4.5.1. Control structure	
4.5.2. Unsafe control actions	
4.5.3. Causal scenarios	71
4.5.4. Architecture conclusions	74
4.6. Architecture A2	76
4.6.1. Control structure	
4.6.2. Unsafe control actions	
4.6.3. Causal Scenarios	
4.6.4. Architecture conclusions	
4.7. Architecture A3	
4.7.1. Control structure	
4.7.2. Unsafe control actions	
4.7.3. Causal scenarios	
4.7.4. Architecture conclusions	
4.8. Architecture comparison	
Chapter 5: Conclusions, recommendations and future work	
Chapter 6: References	
Appendix A	
Constellation database	
Sources	
Appendix B	116
Constellation topology examples	116
Appendix C – Architecture A1	
Unsafe control actions table	

Causal scenarios	
Network diagram	162
Appendix D – Architecture A2	163
Unsafe control actions table	163
Causal scenarios	167
Network diagram	
Appendix E – Architecture A3	
Unsafe control actions table	
Component-level constraints	192
Causal scenarios	192
Network diagram	

# List of figures

Figure 1 - Constellation member satellites launched per year as of June 2020	10
Figure 2 - Satellites launched per constellation and colored by year of launch	12
Figure 3 – Left: GPS constellation. Right: A-Train constellation.	16
Figure 4 – Comparison of registered satellites launched into space	17
Figure 5 - Types of complex systems	26
Figure 6 – Left: A generic control loop, Right: A generic hierarchical control structure.	34
Figure 7 - Improved systems engineering V-model. Adapted from	35
Figure 8 – Overview of the basic STPA Method	40
Figure 9 - A generic conceptual architecture	42
Figure 10 - Two types of scenarios	45
Figure 11 - Causal factors that can lead to unsafe control actions and hazards	46
Figure 12 – Complete process, adapted from	48
Figure 13 – Sample system overview of a satellite system	51
Figure 14 - System lifecycle	51
Figure 15 - High-level operational modes of each satellite	52
Figure 16 - A1 conceptual control structure	58
Figure 17 - Propulsion subsystem operating modes	60
Figure 18 - A1: Summary of causal factors	74
Figure 19 - A2 conceptual control structure	78
Figure 20 - OBC Operating modes	79
Figure 21 - A2: summary of causal factors	87
Figure 22 – A3: Conceptual control structure	90
Figure 23 - A3: causal scenarios summary	100
Figure 24 - Comparison of causal factors	102
Figure 25 - Loose shape constellation in operations example	116
Figure 26 - Operational tight shape constellation example	117
Figure 27 - Early stages of a constellation deployment example	117
Figure 28 - Tight shape constellation in deployment example	118
Figure 29 - A1 STPA Network diagram	162
Figure 30 - A2 STPA Network diagram	184
Figure 31 - A3 STPA Network diagram	204

# List of tables

Table 1 – Comparison of different operators approach to operations	
Table 2 - Manpower per satellite	31
Table 3 - Satellite constellation morphological matrix	36
Table 4 - Level of Automation options	
Table 5 - Architectures under analysis	
Table 6 - System goal definition	
Table 7 – Environmental constraints	50
Table 8 - System losses definition	54
Table 9 - System hazards	54
Table 10 - System-level constraints	55
Table 11 - Burn plane example	66
Table 12 - A1 UCA Table summary	69
Table 13 - A1 Component level constraints	71
Table 14 – A2 and A1 UCA Table comparison	
Table 15 - A2 UCA table fragment	
Table 16 – A2 Component level constraints	85
Table 17 – Example comparison of scenarios of A1 and A2	
Table 18 – A3 and A2 UCA Table comparison	95
Table 19 - Fragment of UCA table for architecture A3	
Table 20 – A3 Component level constraints	96
Table 21 - A2 and A3 example UCAs comparison	97
Table 22 - A3: Example causal scenarios	
Table 23 – Impact of architectural decisions in system properties	
Table 24 - Satellite constellations database main entries	115
Table 25 - A1 UCA TableComponent-level constraints	
Table 26 - A1 Component-level constraints	
Table 27 - A1 UCA related Causal scenarios	156
Table 28 - A1 Non-UCA causal scenarios	
Table 29 – A2 UCA Table	
Table 30 - A2 UCA related casual scenarios	
Table 31 - A2 Non UCA causal scenarios	
Table 32 - A3 UCA Table	
Table 33 - A3 Component-level constraints	
Table 34 - A3 UCA Related causal scenarios	

Table	35 -	A3 Non	UCA	causal	scenarios		20;	3
-------	------	--------	-----	--------	-----------	--	-----	---

# Chapter 1: Introduction

# 1.1. Motivation

As of June 2020, more satellites have been launched as part of a constellation than any previous year. From the 2666 active satellites in orbit (Union of Concerned Scientists, 2020), approximately 42% are part of a constellation, and this figure is soaring as a consequence of the increment in number and size of new constellations. Besides, more than 20,000 satellites are planned to be launched by the end of the decade.

Satellites constellations have been developed since the 1960s with different impacts in roughly three different eras (Foreman, 2018). The early era lasted from 1957 to 1996, and constellations of just a few satellites characterized it in orbit at the same time. Governments exclusively ran them for civil and military use. Most of these satellites worked independently of each other, providing a service based on their aggregated coverage. The next era of constellations, called the first-generation, lasted from 1997 to 2009. It was characterized by the deployment of dozens of interconnected communication satellites providing global coverage. Nevertheless, many of these companies suffered from economic difficulties caused by high development, deployment, and operation costs and limited demand (Daehnick et al., 2020), and the constellation development remained relatively dormant.

However, circa 2009, a second-generation of satellite constellation appeared, driven by a reduction of launch costs, improved computing power, reduced costs of electronics, and increased market demand for connectivity and geospatial data. This context allowed the reawakening of these systems into what is known today as mega-constellations formed by hundreds to thousands of satellites. Figure 1 shows the number of satellites launched as part of a constellation throughout the first and second eras, differentiated by the application.



Figure 1 - Constellation member satellites launched per year as of June 2020

Due to this unprecedented increment in the number of satellites, the sustainable use of the space environment is becoming a rising concern. Some operators are planning to launch thousands of satellites into orbit, even more than the total actual population of today's Earth orbit, and it is not clear yet what consequences it will have. Fortunately, the number of studies related to assessing the probability of in-orbit collisions, debris generation, and interference with human activities is rising (Radtke et al., 2017; Drmola & Hubik, 2018; McDowell, 2020). However, little is said about the challenges and risks that these incredibly complex systems might have for the operators.

Not only is the number of different constellations is rising but the size of each of these new mega-constellations is also climbing. Figure 2 shows a comparison of the size of satellite constellations that have already been launched. While this is just a small sample of current plans, an increasing trend is noticeable. Furthermore, all of the currently announced mega-constellations are proposed by private and for-profit companies. Due to this nature, what operators expect from them is slightly different from previous government-run missions, being overall system reliability one of the most important. Service outages are a threat to the profitability and success of these constellations.

While the reliability of individual satellites has been deeply investigated and perfectioned, in-orbit failures are an everyday occurrence, and the increasing number of interconnections and dependencies in these systems opens the door to new sources of problems. One approach, used in many of these constellations, is to have system-level redundancy by adding more satellites to the constellation. However, this approach is threatened by systemic design and implementation flaws that might easily jeopardize the reliability of the entire system.

Likewise, operators are (or should be) looking for a safe system. Safety in this context is two-fold: not having accidents that might result in economic losses for the companies as well as avoiding accidents that might damage other assets or people in-orbit or ground.

Finally, and perhaps the most critical aspect concerning profitability is how scalable these systems are.



Figure 2 - Satellites launched per constellation and colored by year of launch

Traditional practices in satellite operations rely on highly specialized staff and a relatively high staffing per satellite. Even the best practices in the automation of satellite operations found in first-generation constellations suggest staffing levels between 2 and 14 satellites per person (Lewin, 1998; Smith & Hendrickson, 1995). These figures imply that a constellation of 3000 - 4000 satellites, like Starlink or Project Kuiper, would require hundreds of operators, which sometimes is more people than the whole company, including design and manufacturing. A study from McKinsey&Company (Daehnick et al., 2020) perfectly describes how is this problem usually seen and what the industry expectations are:

"The operator of a large LEO constellation must monitor and manage the status and functions of thousands of satellites. Recent advances in analytics, combined with improved computing power and artificial-intelligence algorithms, can assist with these functions while reducing response times and operating costs. Likewise, ISL advances that increase throughput also reduce backhaul costs and improve satellite control and network latency. Combining these elements would promote the autonomous and semiautonomous control and management of spacecraft, reducing staffing requirements."

The bet is clearly on autonomy. And, in turn, in software. While this might be a solution to the scalability problem, adding autonomy to the systems by adding more and more software to replace humans' tasks is not trivial and is not a guarantee of success neither. The increased complexity and coupling of highly automated and software-intensive systems can lead to a new type of accidents (N. Leveson, 2004; N. G. Leveson, 2004) not caused by the failure of any of its components but as a result of complex interactions between them. Then, the hypothesis is that the reliability, scalability, and safety of these satellite constellation systems are actually in tension, and a trade-off exists between them.

#### 1.2. Thesis objective

While having a good system architecture is not enough to guarantee the successful development and operation of a complex system, not having a good architecture is almost a guarantee for failure. Architectures are mostly defined by critical architectural decisions that are made, consciously or unconsciously, at the early stages of the design. These decisions are the most impactful drivers of emergent properties of the system, like reliability, safety, or cost. The remainder of the development process is based on them, and over time they become almost impossible or unpractical to change. If, during system integration, verification, validation, or even operations, these emergent properties turn out not to be as expected, unfortunately, there is little that can be done.

Based on this idea, the objective of this thesis is to understand how the architecture of a satellite constellation defines the emergent properties of reliability, scalability, and safety and to generate guidelines and recommendations to aid the design of future satellite constellations.

The guiding research questions used throughout the process were:

- How architectural decisions influence the emergent properties of safety, reliability, and scalability of a satellite constellation?
- How does the number of satellites in a constellation affect these properties of the system?

# 1.3. Thesis structure

Chapter 2 introduces the background and context of this work. It starts with definitions used through this work, followed by a brief description of the legal and regulatory framework for space operators and their impact on constellation design and operations. Then, a literature review section includes the most relevant scientific research regarding satellite constellations design and operation. Finally, case studies are presented and analyzed from real-word satellite constellation operators.

Chapter 3 describes the methodology and design of the experiments done for this work. First, the theoretical framework is described, followed by the details of a survey conducted of past, current, and future satellite constellations. Finally, the process used to analyze the satellite constellation design is described.

Chapter 4 presents the results obtained by applying the methodology presented in chapter 3. First, a description of the system under study is included. It is followed by the results of the analysis of the different architectures. Finally, a comparison between each architecture's result is presented.

Chapter 5 outlines the conclusions, recommendations, and future work derived from the analysis.

Bibliographical references are included at the end of the document, followed by the appendices that include detailed results of the process as well as supplementary information.

# Chapter 2: Background

# 2.1. Introduction

Satellite constellations are incredibly complex systems consisting of multiple components and facets. Like with any other complex system, a multiplicity of disciplines is involved in the design and operation of such systems. This chapter starts with the taxonomy and definition of a constellation. Then, the legal and regulatory framework is presented. This framework is fundamental because the presence or lack of regulations can shape dramatically how a system is designed and operated. Some of these regulations are used later as constraints in the research.

Next, a literature review is included. In this review, the fundamental topics concerning the design and operations of satellite constellations are covered: Constellation topology, In-orbit collisions, satellite and constellations architecture, autonomy, and different aspects of systems engineering. Finally, an analysis of case studies and lessons learned is presented. These real-world experiences help to understand the challenges of designing and operating satellite constellations that operators faced in the past.

# 2.2. Taxonomy and definition of constellation

The definition of what a satellite constellation is an open discussion. Many different types of missions can be categorized under this broad concept, and at the same time, they can also be considered particular cases of a distributed satellite mission. Some authors differentiate between constellations, formation-flying, clusters, or swarms depending on their respective orbital parameters, their interconnection, or how they operate as a whole (Foreman, 2018). Nevertheless, the broader definition that is used in this work is that a constellation is a "set of satellites distributed over space intended to work together to achieve common objectives" (Wertz et al., 2011). The differences between each subtype of constellation or satellite distribution are irrelevant for this work and were not considered. For example, Iridium is a constellation consisting of 75 low Earth orbit (LEO) satellites interconnected through intersatellite links (ISL) that has a fixed satellite distribution (shape).

Similarly, the Global positioning system in medium Earth orbit (MEO) (Figure 3 left) or NASA's A-train consisting of 6 completely different satellites flying in a very tight and close formation (Figure 3 right) are also constellations by this definition. Finally, a member satellite of a constellation is defined as a satellite that is part of the system. At the same time, a non-member is any other orbiting body, not part of the system.



Figure 3 – Left: GPS constellation. U.S. Govt/ Public domain, Right: A-Train constellation. NASA / public domain

# 2.3. Legal and regulatory framework

The regulatory framework applicable in space is mostly based on the Outer Space Treaty proclaimed by the United Nations Office of Outer Space Affairs (UNOOSA) in 1967. It is based on the premise of freedom and responsibility, and it is, at the same time, considered too restrictive and too permissive by different groups. (C. D. Johnson, 2017). The most relevant principles applicable to the satellite are (quoted):

- The exploration and use of outer space shall be carried out for the benefit and in the interests of all countries and shall be the province of all humankind;
- Outer space shall be free for exploration and use by all States;
- Outer space is not subject to national appropriation by claim of sovereignty, by means of use or occupation, or by any other means;
- States shall be responsible for national space activities whether carried out by governmental or non-governmental entities;
- States shall be liable for damage caused by their space objects;
- States shall avoid harmful contamination of space and celestial bodies.

In addition to the Outer Space Treaty, the United Nations General Assembly Resolution requested every State to, voluntarily, provide the UN with launch information in order to maintain a public registry of objects launched into space. As Johnson states, the original intention was to be used to prevent in-orbit collisions. However, it turned to be just a transparency and confidence-building measure, useless to prevent any collision or to provide any coordination aid.

In 1975 the registration became mandatory under the parties of the Registration Convention, which constitute only 63 states. Figure 4 shows the UNOOSA registered satellites launched into space<sup>1</sup> (UN registered) and stacked on top of it those satellites tracked by UNOOSA but not officially registered to the UN (UN not registered). For comparison, a privately maintained registry<sup>2</sup> (JSR) is also presented.



Figure 4 – Comparison of registered satellites launched into space

It can be seen that even within the UNOOSA, they are aware of numerous satellites not registered by the respective States. Recent increments in launch cadence and number of satellites per launch made the registries to be rapidly outdated. It is because of this that current tracking and Space Situational Awareness (SSA) to avoid collisions is actively done by monitoring every orbiting body by radar and optical telescopes on Earth by governmental and private organizations.

This tracking and monitoring leads to a second problem, which is the identification of satellites in orbit. Each satellite is identified by different identifiers depending on the source. First, each operator usually assigns a name to each satellite. This official name might or might not be the name used in the UN registration (if any) or the press. Some satellites have even changed names once in orbit after being transferred between operators.

Then, a satellite has an International Designator, also known as COSPAR ID, that represents the year of launch, launch number of the year, and object in the launch. Then, if the satellite achieved orbit and is tracked by the United States Strategic Command, it gets

<sup>&</sup>lt;sup>1</sup> Available at <u>https://www.unoosa.org/oosa/osoindex/index.jspx</u>

<sup>&</sup>lt;sup>2</sup> Jonathan C. McDowell, Available at <u>https://planet4589.org/space/log/launch.html</u>

assigned a Satellite Catalog Number (SCN) that is also known as NORAD ID, Object Number, or Catalog Number. This process is messy and can lead to many confusions, in particular during the first moments after launching multiple satellites from a single launch vehicle. Moreover, the NORAD ID uses a 5-digit designator that is almost used entirely right now. New standards are being developed and tested at the time of writing (*XML Specification for Navigation Data Messages*, 2010).

Other types of regulations also exist. Satellites use the radiofrequency spectrum to communicate with each other and to ground. In order to avoid possible interference, a specialized agency of the UN, the International Telecommunication Union (ITU), aids in the coordination and frequency allocation to satellite operators.

In the particular case of Geostationary satellites, it also regulates "slots" assigned to each country. ITU also defines which bands can be used for different purposes (data, telemetry, control) and helps operators to coordinate the usage of these bands. Still, the process was found tedious, and the regulations are challenging to enforce.

With respect to remote sensing, while there are no international treaties, specific UN general assembly (non-binding) resolutions establish principles relevant to remote sensing. As an example, one of the principles says that: "the sensed State shall have access to them on a non-discriminatory basis and on reasonable cost terms." Despite the lack of international treaties, each State can create its regulation concerning its airspace and land use. For example, in the United States, the Federal Communications Commission (FCC) regulates the usage of radiofrequency services in the same way as the National Oceanic and Atmospheric Administration (NOAA) regulates remote sensing over its territory. In this case, licenses to operate over the territory are needed.

Concerning the protection of the space environment, the Inter-Agency Space Debris coordination (IADC) published the Space Debris Mitigation Guidelines (Steering Group and Working Group 4, 2007). These guidelines were designed to reduce the amount of debris generated and left in orbit to minimize the risk of collisions and hazards to future space exploration activities. As with the previous guidelines, these are not enforced, and countries might decide to adopt them as regulations or not. One interesting fact is that only GEO and LEO areas are covered in the guidelines. MEO is left without any type of protection. The main guidelines proposed are:

- Limit debris released during normal operations
- Minimize the potential for on-orbit breakups.
- Disposition of GEO and LEO satellites into safe areas.
- Prevention of on-orbit collisions.

The post-mission disposal guideline specifies that GEO satellites should be removed to a particular "graveyard" orbit when the mission is over. In the case of LEO, IADC suggests to de-orbit or maneuverer satellites to an orbit with reduced lifetime. This reduced lifetime was defined as 25 years. Also, they recommend limiting the amount of debris surviving the re-entry or confining the debris to uninhabited regions such as broad ocean areas.

However, in practice, the enforcement of these guidelines is impossible, and the scenarios of not doing it, despite some of the guidelines might be already outdated, are not suitable for a sustainable LEO (J.-C Liou et al., 2013).

Meanwhile, the United States Government (USG) Orbital Debris Mitigation Standard Practices (ODMSP) was established in 2001 to address this issue. The last version of their standard (*Orbital Debris Mitigation Standard Practices*, 2019) now includes considerations for "large" satellite constellations of more than 100 satellites as well as updated recommendations based on the presented current trends.

Finally, there is no current regulation nor guideline about space traffic management. However, there are government and private organizations tracking satellites in space, providing space situational awareness information, and even helping to minimize the probabilities of collisions. Despite this, space congestion is becoming a severe problem and what to do is an open debate (C. D. Johnson, 2017). If compared to air traffic management, it can be considered that today, space is in free-flight mode. Nevertheless, some operators, in particular those who are already using or planning to use significant areas in LEO, are proposing to create restricted areas for each mega-constellation to not interfere with each other (Greg Wyler, 2019; Maclay et al., 2019). However, regulation for safe and democratic use of the Earth orbit is still needed.

Most of the regulations described in the previous section were designed when the number of satellites was relatively small and without the concept of a constellation in mind. When starting this research, a survey was done to understand how many constellations were in the Earth orbit today, who owns them, where they are, and how they are distributed in space. Remarkably, there is almost no concept or registry for constellations in any public database and officially maintained database. Only one privately owned and maintained website was found that has a database of "NewSpace" constellations (Erik Kulu, 2016).

Consequently, to analyze current and past constellations, a database of satellite constellations was created for this study. The biggest challenge of doing it was that there is no way to map satellite members to constellation unequivocal. It was necessary to query multiple space-related websites with launches and satellite information, databases, and news articles to identify the names assigned to the satellites and map them to particular constellations. Figure 1 and Figure 2, for example, were generated using this database. Appendix A

### 2.4. Literature review

The literature review presented in this chapter is organized in different topics that are key to the design and operations of satellite constellations. The first three topics, constellation topology, in-orbit collisions, and architecture, are specifically related to the design and operations of satellite constellations. The remaining topics, automation and systems engineering, are broader engineering areas of study applicable not only to satellite constellation but still fundamental for this study.

#### 2.4.1. Constellation Topology

One of the most studied aspects of satellite constellation is the shape of the constellation in space. Different types of shapes and orbits can provide different coverages and redundancies. Among others, the Walker constellation (Walker, 1984) has been studied and used in many different missions, including GPS, Iridium, and Globalstar.

In this type of constellation, all the satellites are evenly distributed among different planes of the same inclination to provide global coverage. Walker proposed two different shapes, called Delta and Star, for inclined and polar orbits, respectively.

Other types of global coverage constellations are also available such as the Streets of Coverage. Each type of constellation shape provides different characteristics regarding coverage and visibility between satellites that can help in the design of a particular mission objective (Beech et al., 1999; Lang & Adams, 1998). Appendix B includes some examples of active constellations topology determined from public tracking sources.

Another studied aspect of the constellation shape is how to deploy the satellites during the initial phases of a constellation operation in a cost-effective and time-effective way. Different types of strategies involving the use of propulsion systems, passive constellation forming, and even demand modeling for staged approaches have been studied in order to find an optimum way to do it (Crisp et al., 2015; de Weck et al., 2004). Other studies also focused on analyzing how to maintain in-orbit spares to minimize replacement time in case of a failure. (Jakob et al., 2019).

Due to the high abstraction level of this work, the shape of the constellation was found irrelevant. Hence, no particular shape or requirement was assumed. Nevertheless, it is clear that for practical applications that the topology of the constellation will play a significant role in the mission success and operational costs.

# 2.4.2. In-orbit collisions

In orbit collisions and generation of orbital debris has also been an area of active study. One of the first and most important studies on this topic was done by NASA scientist Donald J. Kessler (Kessler & Cour-Palais, 1978). He studied the frequency of collisions between artificial satellites and their effect. Kessler proposed a model in which the sources of objects (new launches and breakups) was compared to the sink (natural decay) to understand the density of objects in LEO over time. What he found is now known as the Kessler Syndrome and implies a cascade effect that can pollute LEO for hundreds of years if the initial density of satellites is big enough due to a chain-reaction of collisions.

However, a study published in Space Policy (Drmola & Hubik, 2018), used a systems dynamics model to analyze the probability of such an effect to occur. They found that the density of objects required to trigger such reaction is still very far from current levels, but that current trend showed an increment in collisions for the near future.

Nevertheless, multiple studies addressed this issue for mega constellations (Radtke et al., 2017; Reiland et al., 2020; Rossi et al., 2019) and found very high chances of collision between member satellites and with existing debris. Reiland et al. suggested that a particular configuration of the satellites orbital parameters might reduce the chances of collision between member satellites to a point in which no collision avoidance maneuvers are needed. However, it is still unknown if any operator has adopted such a configuration.

Despite the Kessler effect probability being still relatively low, avoiding the generation of debris and collisions, in line with the IADC and NASA's guidelines presented in the previous section, is a relatively significant concern for satellite constellation operators. Consideration about avoiding collisions in orbit is included in this work.

#### 2.4.3. Satellite and constellation architecture

Budianto et al. studied how multidisciplinary design optimization can be used to design and deploy a satellite constellation (Budianto & Olds, 2004). In his work, they used a collaborative optimization method to generate a conceptual design of a satellite constellation. They also proposed that the key to creating cost-viable satellite constellations is mostly influenced by the early phases of the system design. They analyzed how different aspects of a mission may create tension in the definition of critical system parameters. They analyzed and optimized the constellation and satellite configuration (number of satellites, constellation topology, and details of the spacecraft design) to minimize R&D, manufacturing, and launch costs. While they somehow analyzed emergent properties of the system, like the cost or launch schedule, they did not include any type of analysis of the functions and interactions of the system to analyze the emergent properties under study in this work.

Del Portillo made a comparison of different satellite constellation architectures (del Portillo et al., 2019). In his study, he compared how the key architectural differences of Telesat, Starlink and OneWeb communications constellations impacted the type and quality of service they can provide and how this impacted deployment and operating costs. Among other findings, he found, for example, that adding inter-satellite links would reduce the number of ground stations required to operate a constellation by half. His work was focused mostly on the communication aspects of the constellations.

In contrast, Dunn studied the architecture of a satellite mission concerning safety and payload modularity (Dunn, 2013). He proposed a template of a satellite architecture that was refined and studied using STPA that can be used for other missions. It included a detailed architecture of the interior of a satellite and analyzed how unsafe control actions and interactions can lead to different hazards. He then proposed a set of guidelines in the form of safety constraints that future designers might use when designing a new satellite system. The system under study was a constellation, but the focus was put on a single satellite. The result was a highly detailed satellite model. For this work, a more abstract satellite model was adopted instead.

Similarly, (Fleming et al., 2011) showed how STPA could be used early in the process of designing a spacecraft. In their analysis, they investigated how a simple structure consisting of ground control, the satellite controller, and the payload might interact to create unsafe control actions or interactions. The simple model they use to abstract the entire system showed how powerful this type of analysis could be at the early stages of design. While the level of abstraction was slightly better suited for the type of analysis required in this thesis, it still lacked the constellation concept.

Finally, NASA designed an analysis tool for designing satellite constellations called TAT-C (Le Moigne et al., 2017). This tool was designed to aid in the conceptual phase of a satellite constellation development to determine the shape of the constellation and size in contrast with the costs and risks of each solution towards pre-defined science goals. From the different publications, it is possible to conclude that many different emergent properties of the systems might be adequately studied and compared by using only a few technical inputs. Unfortunately, and despite being claimed as an open-access tool, the software is only available for use by federal employees of the United States and could not be evaluated for this work.

#### 2.4.4. Automation

In the literature reviewed, automating satellite operations is used for two different reasons: increasing reliability (by reducing human error) and handling a large number of satellites in a constellation (by reducing the amount of work that the humans need to perform). However, the design of reliable and safe automation has been proved a complicated task. Moreover, poorly designed automation systems have been identified as causal factors in multiple accidents (N. G. Leveson, 2017; Sarter & Woods, 1995; Thomas J. et al., 2017). A description of these two different points of view and related automation research is presented in this section. A study from the Massachusetts Institute of Technology and The Charles Stark Draper Laboratory (Schwarz, R., Kuchar, J., Hastings, D., Deyst, J., & Kolitz, S., 1996) analyzed the tradeoff between cost and reliability for different levels of automation of satellite operations. They based their study on the belief that a major cause of failures and anomalies in satellite operations was human error. To analyze this tradeoff, they decomposed a satellite system into functions that should be done inside an appropriate envelope of performance and that can be affected by failures or anomalies with a certain probability of occurrence (assuming that failures were independent of each other). They also used probabilistic reliability models for each component, including the hardware components, the software, and even humans. Software reliability models used assumed a fixed probability of errors per line of code. The results showed that a fully autonomous system would reduce the probability of failures as well as the overall lifecycle cost of the system.

However, in this study, two different assumptions were made. The first one is that human error is random (by using random probabilistic models) and that there nothing that can be done about it apart from removing the human from the system. Contrary to this, Leveson states that human error is not random, and that is possible to design automated systems with human behavior in mind to help reduce human error considerably (N. G. Leveson, 2017).

However, in order to do so, it is imperative to understand how humans behave in the first place and what role they have in the system. More on this topic is covered in the following paragraphs of this section. The second assumption is that human error was reduced by translating responsibilities to the automation because the software is more reliable than humans. However, an ill-designed software automation system can decrease the safety of the system. Leveson argues that highly reliable software does not guarantee the absence of failures. Software can be incorrectly specified (flawed, incomplete, or unsafe), incorrectly implemented, or even be correctly specified and implemented but have an unintended behavior that was not specified. The use of an estimate of software reliability by probability of errors per line of code makes no scientific or engineering sense.

A second study from Loral Federal Services Corporation was focused on using automation to augment the capacity of human operators to manage a large number of satellites at the same time (Farmer & Culver, 1995). In the study, they analyzed the major challenges from going to high-cost, human resources intensive systems to low-cost automated satellite operations. They stated that there was an imperative need to automate satellite operations due to the increasing size of the satellite constellations and the financial constraints affecting staffing both in number and skill levels. They found that in order to do it, automation would need to allow multiple contacts at the same time, and the only way of doing that was by changing the level and type of data presented to operators. Higher abstraction concepts, like states, and not vehicle specifics details were needed to reduce the chance of overloading human operators. They claimed that all active human roles during contacts should be removed by automating health monitoring. This health monitoring could be done in incremental steps in which automation first check for in-range values. Under the presence of anomalies, human operators would interfere to check what was going on.

In this last study, the authors did not propose to remove humans from the system but to augment their capabilities. In other words, they were changing their responsibilities to a monitoring role and an anomaly solver. According to Leveson, there are, in general, three possible roles for the human: monitoring, backup, or shared responsibility. She argues that humans are bad monitors and get tired and bored with simple repetitive tasks that can lead to lowered alertness and complacency and over-reliance on automation. Even if they can remain vigilant, they usually cannot monitor systems that were put in place because the job was not possible to be done by humans in the first place. As backups, humans are also ineffective. If a human is supposed to intervene when there is a problem, the lack of information and an outdated mental model will lead to bad decisions. Sharing responsibilities between the automation and the human might the best way but is still not trivial. Multiple recommendations on how to approach this scheme can be found in Leveson's book (N. Leveson, 1995).

According to Leveson, humans are used for monitoring automated systems because of their "flexibility and adaptability of changing conditions and to correct the assumptions made by designers." In consequence, human error is an inevitable and unavoidable consequence of this adaptability. Then human operators face the dilemma of adapting the procedures when they see it necessary versus sticking to procedures when they know they are wrong. The problem is that adapting the procedures without the complete knowledge of the system state or context might also be dangerous. If an accident occurs, humans will be blamed for being too rigidly adhered to the procedures and not making sense of the context or for changing the procedures without the appropriate context or knowledge. Because of this, Leveson claims that in fact, human error might be reduced in automated systems if designs are done with human-factors considerations in mind such as those proposed by Billings, Sarter and Woods, among many other human-factors experts.

Interestingly, neither study managed to remove humans from the system altogether. Instead, their responsibilities and roles were changed to managers of automation. Billings proposes the idea of continuous control-management in automated systems (his study was in airplane automation actually) (Billings, 1997). He proposed that the level of automation and the role of the human operator are inversely proportional, and one can choose any point in the intersection of both when designing a system. Selecting this appropriate level of automation is a critical decision when developing a system and what role and what information the human will need will drastically change on each level. This idea is later used in the definition of one of the most critical architectural decisions for developing the different satellite constellation architectures. However, without careful design of the automation, new potential problems might appear.

For example, in a study, Sarter and Woods determined that mode-rich systems, this is systems with multiple subsystems, each one with multiple modes, were prone to accidents caused by what is called "mode error" (Sarter & Woods, 1995). Mode error happens when humans lose track of the mode that a system is in, and then commands and indications have a different meaning than the one expected. In these mode-rich systems, the human role is to select the best-suited mode for a particular task. Nonetheless, in order to do this, humans need also to monitor what the automation is doing. This new demand, combined with the ability of automated systems to change their mode without any human input, puts significant demands on mode awareness. The authors found that mode awareness mostly related to what and how information is provided. The careful design of this feedback is then needed to minimize the likelihood of mode error.

#### 2.4.5. Systems engineering

Systems engineering is a broad area of study that deals with the entire lifecycle of complex systems. A complex system is one that has many elements or entities that are tightly coupled, interrelated, and interconnected (Crawley et al., 2016). However, how much are many, and how much is tightly is not necessarily obvious. Leveson proposed different types of complexities (N. G. Leveson, 2017) depending on the interactions of components, the change of these components over time, the cross-relations between components, and the non-linearity of cause and effects. Each type of complexity can be dealt with different tools and approaches, but in the end, they all represent a certain degree of unmanageability. Still, systems engineering tries to find new ways to manage these complex systems.

Griffin, a former NASA administrator, described two very different views on how effective systems engineering is in addressing this task (Griffin, 2010). On the one hand, an optimistic view in which systems engineering is the mature discipline that enables human beings to deal with macroscale problems like energy, environment, and other vital areas. On the other hand, a pessimistic one in which the systems engineer discipline still encounters massive complex systems failures. He claims that systems engineering is usually taught and practiced using what is known as decomposition: how to deal with smaller and simpler parts towards a common goal. However, these approaches create failing systems, often due to uncontrolled and unwanted interactions between those components. In these failures, everything thought to be necessary to success was done, and yet it failed. Similarly, Leveson claimed that decomposition might be useful only in a small subset of complex systems, those with a low level of complexity and a low level of randomness. In particular, physical and mechanical systems. Figure 5 shows these types of complex systems proposed by Leveson.



Figure 5 - Types of complex systems. (Weinberg, 2001)

Satellite constellations, and even a single satellite, are arguably outside the organized simplicity category. Thus, decomposition might be an ineffective tool. In the rest of this section, reviewed literature related to how to deal with these "organized complex systems," is presented.

#### 2.4.5.1. System of systems engineering

Satellite constellations are sometimes referred to as "System of Systems" (SoS) (Guariniello et al., 2019). The system of system concept is not new, but still, there is little consensus on its definition and application. Some definitions are based on the basic definition of a system but with specific characteristics (Maier, 1998; Sage & Cuppan, 2001) such as:

- Operational independence of the individual systems: each component of a SoS can operate as an independent complete working system if separated from the system.
- Managerial independence of the systems: each component of a SoS usually operates independently of the system.
- Geographic distribution: components are usually separated geographically, and thus the only interfaces between them are communication interfaces.

- Emergent behavior: The SoS performs functions that do not reside in any component system.
- Evolutionary development: a SoS is never complete, but evolves adding, removing, and modifying functions.

So, according to these characteristics, satellite constellations can be considered a SoS. What is not clear is if they deserve any special treatment and if there are any special engineering tools to design and operate them. There is even evidence that developing systems of systems are more expensive than conventional systems. For example, Maier suggests that because of these independences, there is usually an overlap of functionality that can create a more expensive system in comparison to a system designed explicitly for the same purpose.

In contrast, Leveson pointed out that systems of systems are just systems, and there is no particular useful distinction among them (N. Leveson, 2013). More importantly, she argues that the idea of the completeness of each of its components can mislead the system developers toward thinking that emergent properties analysis can be done at a component level and is not necessary to perform it at a system level. This idea can have a negative impact on any emergent property but, in particular, on safety. According to Leveson, most failures and accidents in modern complex systems are caused by unsafe interactions of non-failing components. This kind of analysis can be easily left out if a SoS is incorrectly used.

For this work, the differences between a SoS and the classical definition of a system, if any, are not considered. In consequence, satellite constellations are understood and treated as entire systems. Therefore, emergent properties are evaluated as a system, and not as the aggregation of the emergent properties of individual satellites.

#### 2.4.5.2. Systems safety engineering

System safety engineering is the discipline that studies how to prevent accidents by identifying or controlling hazards. These hazards are defined as systems states that can lead to potential losses. (N. G. Leveson, 2017) (Not to be confused with reliability engineering that deals with component failure).

At least two primary and different schools of thought exist concerning system safety and hazard analysis and control. The traditional one focuses on failure events as the primary cause of hazards. Traditional techniques such as fault tree analysis (FTA) and failure mode and effects analysis (FMEA) investigate how a failure of a component of a system can lead to these potential hazards. They make use of the probabilistic risk assessment of the reliability of the components to determine the likelihood of a failure and then analyze what consequences it can have. FMEA is a bottom-up technique that was designed in 1950 to study problems that might arise from the malfunction of military systems.

FTA, instead, is a top-down technique developed at Bell Laboratories in 1962 designed to study ICBM launch systems. At that time, complex systems were mostly hardware systems, and the techniques were widely and, arguably, successfully used. Both techniques are still widely used in the aerospace industry.

The second and newest school of thought is the one proposed by Leveson based on systems theory. This new approach is based on an accident model called System Theoretic Accident Modelling Process (STAMP) and was created to deal with the new type of hazards that modern systems have (N. Leveson, 2003). In particular, those involving software and humans in the same system.

In contrast with FTA and FMEA, in which accidents are the result of a chain of failures, in STAMP, accidents are the result of violating system design and operational constraints. In other words, safety (the lack of accidents) is understood as an emergent system property. This concept leads to a much broader set of potential problems that can leads to hazards, mostly those related to the unsafe interactions of non-failing elements of the system, as Griffin suggested.

STAMP requires a system to be modeled as a hierarchical control system in which controllers, human, hardware or software, enforce the safety constraints. Then, any accident can be explained as a controller not enforcing a safety constraint caused by an unsafe control action or because the control action is not implemented in the controlled process. This accident model has been successfully used to investigate hundreds of accidents (N. Leveson et al., 2003; Thomas J. et al., 2017)

Using this model, Leveson created an analysis method called System Theoretic Process Analysis (STPA) that can be used in the development of complex systems (N. G. Leveson, 2012; N. Leveson & J. Thomas, 2018). It has also been extended to include different types of analysis like human behavior (France, 2017), coordination and resource sharing (K. E. Johnson, 2017), or cyber-security (Friedberg et al., 2017).

Many studies have compared STPA to FTA and FMEA. A few claimed that STPA was as effective as other techniques (Sulaman et al., 2019). However, most of them agree that STPA findings were better than those of FTA or FMEA, and the process was more straightforward (Abdulkhaleq & Wagner, 2015).

Due to the characteristic of the system under study, this technique was selected as the primary methodology for this study, and details about it are presented in Chapter Chapter 3:.

# 2.5. Case studies and lessons learned

Almost all the first-generation satellites and one second-generation constellation operators have published their experiences designing and operating constellations including Orbcomm (Lewin, 1998), Globalstar (Smith & Hendrickson, 1995), Iridium (Swan & Swan, 1997), and Skysats (Hawkins et al., 2017; Longanbach & McGill, 2018). Table 1 summarizes the most relevant aspects of each operational approach.

Constellation	Approach and remarks					
Globalstar	- Design joint effort between system, satellite, ground, and operations teams since day 1.					
(56  satellites)	- Partially automated monitoring of satellites. Operators work only on satellites with problems.					
	- Automatic health monitoring in the ground by adaptative envelopes.					
	- Use all the fleet as ground-truth to compare the performance of individual satellites.					
	- Multiple (6) satellites per operator per station with enhanced displays.					
	- Four real-time operators during normal operations. Dedicated teams during launch, anomaly					
	investigation, orbit maneuvers, and extensive software and data loads.					
Iridium	- Interlinked satellite constellation provides 24/7 contact with the satellites.					
(66  satellites)	- Six polar planes of 11 satellites each.					
	- Semi-automatic orbit insertion.					
	- After the check-up, humans are only for maintenance, not operations.					
	- Manual or automatic de-orbit if needed (assumed after the loss of contact).					
	- No indication of staffing numbers.					
Orbcomm	- Intermittent connection to ground through ground station passes. 10 Hour gaps sometimes.					
(36  satellites)	- Automation approach: analyze what and where should be automated (in-orbit, in-ground					
	online, in-ground offline) based on activity frequency vs. automation cost/benefit.					
	- Operator responsibilities: contact scheduling, command script generation, and verification,					
	real-time telemetry analysis, and anomaly response					
	- On-board automatic orbit maintenance (with ground setpoints)					
	- Geographically based commands (apart from time-based commands)					
	- Totally automated nominal operation. Ground health monitoring during pass based on					
	telemetry readings and some automatic anomalies resolution.					
	- Automated satellite maintenance, anomaly identification, and manual anomaly resolution					
	- Adaptative offline health evaluation.					
	- Mention of how significant is the reduction of false alarms.					
	- 12 to 17 people to operate the constellation around the clock.					
Skysat	- Intermittent connection to ground through ground station passes. 10 Hour gaps sometimes.					
(13  satellites)	- Real-time and time-based commands					
	- Safe mode until the first contact					
	- Incremental automation developed through different launches over time					
	- Group partitioning of nominal and off-nominal (commissioning for example) satellites for					
	scheduling contacts					
	- Manual commissioning					
	- Automated monitoring and anomaly resolution of the on-orbit fleet.					

Constellation	Approach and remarks
	- Staged approach: manual execution, command, and telemetry automation, Pass Automation,
	Night Lights Out, Generalized Nominal Operations Mode Execution, Automated Anomaly
	Response, Automated Off-Nominal Operations.
	- 0.8 operators per satellite.

Table 1 – Comparison of different operators approach to operations

From these studies, it is possible to extract some commonalities in the approach each operator has taken.

- They all provide basic automation at the lowest levels of each satellite (attitude, power collection, and distribution, telemetry gathering, payload controlling).
- All operators divide operations, health monitoring, and maintenance as different responsibilities in their teams.
- Most of the operators designed a full-autonomous operation concept, while health monitoring is not always or not completely automatized.
- Off-nominal operations are mostly manual due to the low frequency and high complexity of doing it.
- All the operators achieved or were aiming towards a "nights-out" operation where no 24/7 staff is needed.
- All but Skysat appear to have designed the space and ground segment with autonomous operations as a requirement from the beginning. All of them then explain how beneficial this was for the ease of operations. Skysat example instead shows a ramp-up towards autonomous operations.
- Except for the Skysat constellation, no operator mentioned how they handle collision avoidance, if at all.<sup>3</sup>

While all of the operators mention their automation goals, it is interesting to note that none strictly achieved fully autonomous operation. Each company still depends on considerable big staff to operate its constellation. Because each company calculates staff differently (some include engineering and maintenance as part of operations while others do not), it is not trivial to compare them. A study published in the 2005 IEEE Aerospace Conference (Bujewski et al., 2005) conducted a survey from different operators about how many staff they needed compared to the number of satellites they had. Their results showed the best case of 0.38 persons per satellite. Table 2 presents a summary of their findings. Instead, Globalstar study presents a

 $<sup>^3</sup>$  Iridium was later involved in an orbit collision in 2009 with a defunct satellite that ended in the loss of a member satellite and the generation of dangerous quantities of orbital debris (Kelso, 2009).

number relatively smaller of 0.07 persons per satellite, but only console operators were considered.

Type of operator	Minimum	Average	Maximum
·· ·	[staff/satellite]	[staff/satellite]	[staff/satellite]
Civilian (Gov.)	14.33	16.28	19.00
Militar	6.11	10.06	15.00
Commercial	0.38	7.17	17.50

Table 2 - Manpower per satellite. Source: Bujewesky. 2005 IEEE (C)

It is interesting to see how financial pressure had probably been a factor that contributed to the reduction of staff needed in commercial applications in comparison with civilian and military missions.

In addition to the particular case study direct from satellite operators, NASA published lessons learned and best practices collected from operations of the A-Train constellation (Kelly & Case, 2006) and seminars held at NASA Goddard space flight center (Howard et al., 2006). Despite being a small constellation, the A-Train was composed of multiple different satellites from different government agencies, presenting an excellent case in which coordination and constellation thinking were forced to the extreme. Both studies agree the two most important concepts:

- Treat constellations as the whole system.
- Start constellation discussions early enough to drive the mission operations concept and spacecraft design.

They also remark having observed differences in staff sizing across different constellations that they believe depend on the level of autonomy and the operator's approach to maintenance, among others. This finding was consistent with Bujewski's findings.

# 2.6. Conclusion

In this chapter, a review of the regulatory background, the main areas of study related to the problem as well as case studies from real satellite operators are presented.

Today's regulatory framework presented is permissive enough to allow operators to implement their constellations without any type of difficulty. Guidelines are just recommendations, and little is enforced or regulated. Guidelines seem to be outdated and do not, in most cases, consider satellite constellations as a potential problem. Nevertheless, this lack of regulation is also a potential problem for future operators that will have to compete in the same space. Major constellation operators will play a significant role in defining the democratic use of the Earth orbit in the next years. From the literature review, it is possible to see that there is plenty of research regarding technical, functional characteristics of satellite constellation, such as the shape, deploy, and replenish strategies. Also, the scientific community is addressing In-orbit collisions and the effect of mega-constellations on the environment. Unfortunately, it was not possible to find abundant literature regarding the specific problem of designing satellite constellation architecture. This might be because most satellite constellations are commercial ventures that protect their intellectual property, or that it has not been researched at all. One possible explanation might be that constellations are incorrectly treated as systems of systems, and then it is not necessary to study them as a whole.

Finally, case studies give a clear idea of what the challenges and potential solutions might be. Extrapolating these experiences to the size of the new mega-constellations suggests that the approach taken by those constellations might still not be enough to cope with the new challenges.

# Chapter 3: Methodology and design

# 3.1. Introduction

This chapter presents the methodology used in the research and how the process was designed. It starts with the description of the tools used and how they were adapted for this particular research. Then, a satellite constellations survey that was conducted to collect data about real-world missions is presented, followed by the architecture selection process. Finally, the design of the analysis performed on the selected architectures is described.

## 3.2. Theoretical framework and tools selection

As seen in chapter 2.4 a tool based on Systems-Theoretic Accident Model (STAMP) (N. Leveson, 2004) called Systems Theoretic Process Analysis (STPA), developed by Nancy Leveson at the Massachusetts Institute of Technology (N. Leveson, 2003), was proved to be suited for analyzing potential hazards of complex systems. However, STPA is a tool that can also be used to analyze many complex system emergent properties in addition to safety. For this research, the loss concept was extended to non-safety concepts. Despite this, the original STPA terminology was used throughout this work for simplicity.

STPA is a hazard analysis technique that assumes that accidents can be caused not only by component failures but also by the unsafe interactions of systems components. In the analysis, software-based automation, as well as human controllers, can be included enabling the analysis of complex socio-technical systems as, in this case, a satellite constellation. It is a top-down methodology that can be used at the early stages of development when detailed engineering has not yet been done and when specific components of the systems are not yet defined. One of the main characteristics of STPA is that the system under study is modeled as a hierarchical control structure composed of multiple control loops. Figure 6 (left) shows a generic control loop. In this control loop, the controlled process represents what is being controlled for safety. The controller, which can be an automated software controller or a human controller, provides control actions to the controlled process based on a process model of the system updated through feedback and external information. Multiple controllers can be connected in a hierarchical control structure, as shown in Figure 6 (right). In these control structures, the vertical axis indicates control and authority, while the horizontal axis is used for information sharing.



Figure 6 – Left: A generic control loop, Right: A generic hierarchical control structure (N. Leveson & J. Thomas, 2018)

This model abstracts the details of what each controller or process is and how it is implemented, focusing on the functions and responsibilities assigned to each controller to ensure system safety. In addition, the details on how control actions and feedback are transmitted through the system are also abstracted.

Traditionally, this control structure was created only for a particular analysis of a system during the development process and not reused. However, Leveson proposed the use of an augmented version of this hierarchical control structure at the early stages of a system development as a *conceptual architecture* of the system being developed. She proposed to develop this conceptual architecture right before the concrete architecture of the system is developed in the standard V-model of systems engineering (N. Leveson, 2020), as can be seen in Figure 7. By doing this, it is possible to analyze the interactions of the system, even before knowing what each component might be, and to have a first impression of the emergent properties of the system. Moreover, this new phase might reduce costs and improve the results of the development of complex control systems by allowing critical architectural decisions that impact different emergent properties to be taken early in the development process. The augmented model proposed by Leveson includes more architectural facets compared to the standard control structure found in STPA, but the same process can be applied to it. More details on this augmented model are presented in the following sections.



Figure 7 - Improved systems engineering V-model. Adapted from (N. Leveson, 2020)

The conceptual architecture concept was found to be a perfect tool to analyze generic satellite constellations. The high-level of abstraction proposed allows to analyze satellite constellations from an early development standpoint without having to define any detail about the specific implementation. In order to create these augmented models, it was necessary to define the key differences between potential architectures, as can be seen in the following section.

#### 3.3. Survey of satellite constellations

A survey of past, present, and planned satellite constellation was conducted to aid in the definition of the architectural decisions. Due to the lack of official databases explained in chapter 2.3, an exhaustive investigation using online resources was performed to obtain an updated satellite constellation database. The complete list of sources used in the survey can be found in Appendix A. From these sources, it was possible to obtain different characteristics of each constellation such as application, regime, constellation shape, planned and actual size, connectivity scheme, concept of operations. It was possible to determine that most satellite constellations are designed for one or more of the following applications:

- Communication services
  - o Broadband: Internet, Two-way communications
  - Data relying
  - o Broadcast: TV, Radio
- Navigation (GNSS)
- Asset tracking and controlling: IoT, AIS, ADS-B

- Remote sensing
  - $\circ$  Earth observation
  - Weather

While each of these applications is very different from a constellation perspective, they mostly differ in the shape of the constellation and the payload used. Consequently, it was possible to identify the most relevant architectural decisions that, depending on how they are defined, can represent most of them. These results were later used in the system and conceptual architectures definition phases presented in the following sections.

# 3.4. Architectures selection

#### 3.4.1. Key architectural decisions

Potential conceptual architectural decisions (AD) were identified using the data obtained in the survey. These are conceptual architectural decisions that satellite constellation designers face when starting the design of a constellation and are intended to be taken -before making the detailed architectural decisions. The final set of conceptual architectural decisions relevant to this thesis are presented in Table 3 as a morphological matrix. It was possible to find examples of past and current satellite constellations in most of the possible combinations between AD1 to AD4. However, little or no information was available about the level of automation (LoA), AD5. Other architectural decisions, such as the heterogeneity of the satellites, the maneuvering capability, and the automation topology, were initially considered. However, during the iterative design process using STPA, they were identified as irrelevant or too specific for a conceptual architecture and discarded. A detailed description of each decision is presented here. Some evident implications that they imply are presented as well.

Archit	ectural decision	Options			
AD1	Shape	Tight	Loose		
AD2	Ground connectivity	Continuous	Intermittent		
AD3	Inter satellite Connectivity	Continuous	Intermittent	No	
AD4	Maneuvering capability	Yes	No		
AD5	Level of automation	Manual	Assisted	Managed	Fully-autonomous

Table 3 - Satellite constellation morphological matrix

AD1: Shape defines if satellites are a tight formation, independently of the topology needed, or they can be left to drift in a loose shape where the position is not controlled. This architectural decision is heavily derived from mission requirements, but not completely. If the
mission coverage requirement, for example, does not require a particular topology, then it is still possible to have one or not. The implications of this decision are significant. Having a tight shape will require station-keeping maneuvers to keep the satellites in place that might require planning, coordination, and automation efforts, as well as maneuvering capability onboard. All these implications will increase the cost of development and operations of the system. Instead, having a loose shape might increase the complexity of the planning and coordination, decrease the type and quality of the service provided, and increase the chances of intra-constellation collisions. More implications of one or the other will be presented later in this chapter.

AD2: Ground connectivity determines if member satellites are always connected to ground (continuous) or only by intervals of time (intermittent). These two options are heavily related to how the link to the ground is implemented, but they are not exclusive. For example, a system consisting of a few ground stations that connect to the satellite when they are in line-of-sight usually present an intermittent connection. However, depending on the characteristics of the communication equipment, continuous connection based on ground stations can be achieved with the correct amount of ground-stations in the correct places. In other cases, intersatellite links (ISL) can create a network of satellites that, along with ground-stations, can provide a continuous connection to ground for the whole constellation.

Nevertheless, this inter-satellite link might also provide intermittent coverage if this is not needed or the number of satellites is not enough. Similarly, using a relay satellite communication in a GEO can provide another way of connecting satellites to ground that can be continuous or intermittent depending on the characteristics of the constellation. In conclusion, this architectural decision allows the designer to defer those details to the next step in the development of the system and concentrate on the real conceptual differences that the link might have. A continuous or intermittent connection will have effects on how responsive the control is, as will be seen in some of the results.

AD3: *inter-satellite connectivity* defines if the satellites are connected or not from a control structure perspective and not for a service or payload perspective. For example, communications satellite constellation might have an interconnected network of satellites to provide global coverage without requiring ground stations or gateways. However, they might or might not share control, feedback, or any other information between satellites related to the operation and management of the constellation through this link. As in AD2, this can be done in a multiplicity of ways, and it is not relevant at this abstraction level to know it or define it.

AD4: *Maneuvering capability* determines if the satellites can alter their orbits or not. If an AD1 is *tight*, then this is not a real decision and should be Yes. However, if the constellation shape is set to *loose*, then it is possible to have or not have maneuverings capabilities. Having

maneuvering capability will imply a more expensive system. However, it will be possible to do collision avoidance maneuvers, controlled re-entries, or post-mission disposals apart from keeping a constellation shape if necessary.

AD5: Level of automation defines which functions and responsibilities are automated through software systems, and which are done by human operators or controllers. Due to the lack of information from operators about the LoA implemented, a continuum of autonomy analog to the one proposed by Billings for aircraft and air traffic controllers (Billings, 1997) was considered. How this automation is implemented, and where it is located are not defined at this point of the analysis. The possible options for the level of automation are presented in Table 4.

LoA	Automation function	Human controllers functions
Direct manual control	The only automation present is at the lowest level of the satellite subsystems, like controlling the propulsion or the attitude actuators. There is no automation for the constellation as a whole nor high-level satellite operations	Human controllers command and control every subsystem in the satellites and use subsystem telemetry and raw sensor telemetry to make decisions. They define the constellation-level and a satellite-level strategy to achieve the mission objectives.
Assisted manual control	Autonomy resides on the satellites to perform satellite level operations like performing a maneuver or using a payload. The on-board automation coordinates shared resources in the satellite. There is no concept of a constellation in the autonomy.	Humans decide what to do at a constellation level and provide maneuvering and payload operations plans to the autonomy residing in each satellite.
Management by delegation	The autonomy controls constellation actions, but the system waits for human input to perform any action. The system cannot deviate from human controller directions unless it is incapable of executing them.	Human manages the automation and decides what kind of constellation maneuvering or payload operations to do and defines the necessary parameters to do it.
Management by consent	Autonomy controls the constellation based on goals. Intent, diagnostic, and prompting data are communicated to human controllers in wait for their consent before any actions are done.	Human controllers provide goals to the automation. They monitor intent and diagnostic data and must consent to every action on the constellation.
Management by exception	Autonomy controls the constellation based on goals without human interaction unless controllers take exception.	Human controllers provide goals and monitor the system for failure. They can intervene and except any automation reverting to a lower LOA if needed.
Autonomous operations	Fully autonomous operations based on goals. Controllers are usually not informed of intent or exceptions	No active role in the operations, monitoring is limited to fault detection. No reason to intervene in nominal situations.

Table 4 - Level of Automation options

## 3.4.2. Architectures selection

The identified architectural decisions can create 144 different architectures. Due to the length of the analysis that is described later in section 3.5, it was necessary to select a few representative architectures to study. Fortunately, some of the implications of the architectural decisions could be analyzed without creating a specific control structure for it. For example, connectivity decisions (AD2 and AD3) do not change the overall structure. Instead, they affect how a communication channel between the ground and a satellite or between satellites should be analyzed.

Similarly, the shape requirement of the constellation (AD1) does not change the structure but removes potential causal scenarios because H3.1 is not relevant anymore. Moreover, if the maneuvering capability (AD4) is decided to be *no*, all the elements of the conceptual architecture should be removed. Remarkably, deciding not to have maneuvering capabilities will not eliminate the hazards related to orbit control (H3). However, it will have no authority to avoid them and probably should not be an option at all. Unfortunately, there are a large number of constellations based on very small satellites (CubeSats, for example) that do not have any type of maneuvering capability and pose a significant risk to the environment. For this reason, the rest of this study was done considering that there is maneuvering capability in the constellation.

The remaining architectural decisions, the autonomy strategy, LoA (AD5), were found to affect the control structure considerably. From the manual level to the first managed level, each level of automation adds elements to the architecture to implement the autonomy. The top-most LoA (managed by delegation, consent, or exception or fully autonomous) affect the architecture mostly in the control actions and feedback required between elements and not in which elements are present on it. However, the implications of the emergent properties of the system are very different. As noted by Billings (Billings, 2009) and Leveson (N. G. Leveson, 2017), very high levels of automation in which the human controller has little or nothing to do (like in this case management by exception or fully-autonomous) can generate complacency on the automation and loss of awareness of the human controllers. When this happens, human controllers' mental models are incorrectly maintained. Then, if their intervention is required, they do not have a clear understanding of the system state, and the ability to control it is degraded, taking too much time to update their mental models or making inappropriate decisions.

Keeping the controllers in the loop by leaving part of the decisions to them, might prevent this from happening. Yet designing such interaction is not trivial and human factors considerations should be considered which is outside the scope of this study.

Hence, a simplified approach to automation levels were considered for the study that most impact the architecture: manual, assisted, and autonomous. In the first two, there is no autonomous constellation management, which is done by human controllers, while in the last, the constellation is autonomously managed by a software controller. This last LoA represents a combination of the different levels of management and autonomy proposed by Billings. The differences and details of each level are left for future work focusing on how to design this human-autonomy interaction.

Finally, three representative architectures were selected for the study. These options and their associated architectural decisions are presented in Table 5.

Arch.	AD1: Shape	AD2: Ground connectivity	AD3: Inter satellite Connectivity	AD4: Maneuvering capability	AD5: Level of automation
A1	Any	Any	Any	Yes	Direct Manual
A2	Any	Any	Any	Yes	Assisted Manual
A3	Any	Any	Any	Yes	Autonomous

Table 5 - Architectures under analysis

# 3.5. Analysis process

With the conceptual architectures defined, an analysis made with STPA was performed. The STPA process involves four steps. Figure 8 presents a basic diagram representing these four steps, how they are connected, and what is performed on each of the steps. This process is shown as a sequence. However, during the analyses, iteration allowed to refine previous steps and to improve the analyses. These four steps are described in the following sections. A complete description of the process is available in the STPA handbook (N. Leveson & J. Thomas, 2018).



Figure 8 - Overview of the basic STPA Method. (N. Leveson & J. Thomas, 2018)

### 3.5.1. Purpose of the analysis

The first step of the STPA process is to define the purpose of the analysis by defining the system boundary, goals, losses, and hazards. This step was done independently of the architecture to ensure that each architecture result could be compared with the same goals. A concept of operations was also developed to define the fundamental operational aspects of a satellite constellation, such as elements of the system, modes, and objectives. A common goal for all the constellations was defined, and then a set of losses were defined. In most of the STPA analysis, losses are related to safety, but, in turn, they are defined as something valuable to a stakeholder that cannot be achieved or is lost. This definition can be easily used for a much broader scope beyond safety to contemplate any other emergent property as it was done here.

In line with the objectives of this thesis, three different emergent properties were studied for each architecture: scalability, reliability, and safety. Scalability is defined for this work as the capacity of the system to grow in size (number of satellites) concerning the amount of management work required. A system with good scalability is a satellite constellation that can grow in size over time without requiring significant growth in the staff required to manage and operate it. A system with bad scalability is defined as a system that has a linear (or worse) relation between the number of satellites and the amount of staff needed. Reliability is usually defined as the probability that a system does not fail. For this work, a qualitative definition is used.

Reliability was defined as the capacity of the constellation to provide the intended goal without disruption. While some authors and industries usually refer to this as availability, if it is only a disruption of the service and not a failure, in the satellite industry, availability is a term commonly used to describe the coverage of a specific satellite system. For this reason, the term reliability was preferred. A system that cannot fully accomplish its mission or that is continuously disrupted is considered a non-reliable system.

Moreover, safety is defined here as the absence of accidents that cause a significant economic loss for the operator or that can damage third-parties' assets or injure people. For this study, losses were defined concerning system reliability and safety. Scalability was analyzed directly with the control structure, as explained in the following section.

Finally, hazards defined as system-level states that in a worst-case scenario will lead to a loss were identified. Each of the hazards was related to one or more losses previously defined for traceability. From this hazard, system-level constraints were derived as one of the first outputs of the process. These system-level constraints, while generic and straightforward, are powerful guidelines for the development of more detailed requirements and are the key input to determine unsafe control actions.

# 3.5.2. Control structure definition

The second step in the STPA process is to define the control structure under study. For this study, the augmented model proposed by Leveson was used to define the different control structures corresponding to a particular architecture, as defined in Table 5.

The generic augmented conceptual architecture used is shown in Figure 9. The three main components of this architecture are the controlled process, the automated controller, and the human controller. The conceptual architecture can have a multiplicity of controllers connected in various ways forming a hierarchical control structure that is not presented in this diagram for simplicity.



Figure 9 - A generic conceptual architecture (N. Leveson, 2020)

In this model, the controlled process represents what the system is trying to control. It is influenced by the controller of the process but also by direct inputs, external disturbances, and failures or degradation over time. For this work, the controlled process was defined as the orbit, orientation, and payload of *all* the satellites in the constellation. The orbit is defined as the position and velocity of a satellite at a specific moment in time. The attitude is the orientation of the satellite with respect to a reference frame. Finally, the payload is an ad-hoc process for each application, for example, radio transmitters, cameras, or scientific instruments. While this is just a subset of all the processes that a real satellite constellation might have, it was found to be at the same time the minimum and most relevant processes that drive the most emergent properties of the system under study.

The automated controllers provide control actions through actuators based on a control algorithm implemented by software. This control algorithm defines the control based on the state of different models that it has to maintain and update. This update process is usually also part of the control algorithm. It is usually fed with feedback and information through sensors of the controlled process, sensors on the actuators, and through information loaded to the system indirectly. For this research, examples of indirect information loaded are in-ground configuration of satellites and in-orbit updates of calibration parameters. Additionally, automated controllers can also be affected by other controllers or the environment. While each particular controller might be different, in general, they need to maintain several models to provide appropriate control actions. A model of the controlled system process represents the state of the controlled process. It includes a model of operational modes of the controlled process, the automation, the supervisors of the controller, and what information is usually reporting to its controllers. Also, it might have models of the human controller or other controllers if applicable.

The human controllers have a similar structure, but the interactions between the control action generation and mental processing are much more complicated. They are usually referred to as mental-processing (France, 2017). France did a study on how to model human behavior in a way that can be easily analyzed using STPA that was then adapted in Leveson's proposal. In addition to the models of the controlled process and other controllers, the human controller has also to keep a model of the automation being managed. This addition suggests that adding automation does not necessarily alleviate human controllers' workload.

For each of the architectures, this generic model was particularized to reflect the different architectural decisions. While only the final control structure is presented for each architecture, in fact, during the process, the structure was continuously refined. By using these final architectures, a scalability analysis was performed, identifying the responsibilities and tasks that each human controller in the system had concerning the satellite constellation.

### 3.5.3. Identification of unsafe control actions (UCA)

Using the different control structures (conceptual architectures), the first part of the fourth step is to identify unsafe control actions (UCA). UCAs are control actions provided (or not provided) by a controller that, in a particular context and worst-case scenario, will lead to a hazard. The most important aspect of a correct definition of a UCA is not confusing the UCA with the causes that could lead to them, which is done in the next step. Leveson specifies four ways a control action can be unsafe:

- Not providing a control action leads to a hazard.
- Providing it leads to a hazard.
- Providing it too early, too late or in a wrong sequence leads to a hazard.
- Providing it for too much or too short time leads to a hazard.

Some unsafe control actions found were possible to be eliminated by improving the control structure by adding new control and feedback lines, for example. The remaining UCAs, those that could not be eliminated at a conceptual architecture level, become part of what is known as the UCA table for each architecture. This UCA table contains a detailed description of unsafe control actions for each control action in the control structure concerning the four possible types described previously. Each UCA is defined with respect to the specific context in which the control action is unsafe. These contexts are based on the different models that each controller has, the information received by the controllers, and the concept of operations of the system. Each UCA also has traceability to hazards and, in consequence, to potential losses. From these UCAS, constraints can be generated for each controller that can be used as guidelines for detailed requirements definition in the following steps of the detailed development process of the system. Moreover, some of these constraints can help to derive the testing that should be done at the system-level to ensure the emergent properties under study.

#### 3.5.4. Identification of loss scenarios and causal factors

Once UCAs for each architecture are defined, loss scenarios can be generated for each of them. A loss scenario describes the causal factors that can lead to unsafe control actions. Generally speaking, there are two types of loss scenarios to consider: those related to a controller providing an unsafe control action and those related to control actions being improperly executed or not executed at all. These two types and the elements that can contribute to them in a control loop are represented in Figure 10. The former is referred to as "UCA related" scenarios while the latter as "Non-UCAs related."



Figure 10 - Two types of scenarios (N. Leveson & J. Thomas, 2018)

Within each type of scenario, multiple causal factors can lead to a hazard. UCA related scenarios can be caused by failures related to the controller, inadequate control algorithms, unsafe control inputs provided by other controllers, or inadequate process models. In contrast, non-UCA related scenarios can be caused by problems in the control-path to the actuators, within the actuators, in the path from the actuator to the controlled process or even in the specific controlled process. Figure 11 presents a classification of the causal factors considered in this study. They are colored in the same way as Figure 10 for easier reference.

It is interesting to note that only a handful of causal factors are related to actual failures of components, as is usually considered in analyses like FMEA or FTA. Each UCA found in the previous step is then analyzed, considering all these possible causal factors within the specific details of each architecture.

Finally, relatively generic scenarios are defined that can lead to a hazard. These scenarios serve as examples of potential problems that can an architecture can have.

An analysis of the causal factors for each architecture is done to consider the emergent properties of system, reliability, and safety, represented by the different hazards. After completing this step, the STPA process is finished.



Figure 11 - Causal factors that can lead to unsafe control actions and hazards

# 3.5.5. Architecture analysis and comparison

Using the results obtained through the STPA process for each architecture, a qualitative comparison of the scalability, reliability, and safety was made. The scalability of each architecture was analyzed using the control structure interconnections and the responsibilities and duties defined for each component. The relationship between the constellation size and the staff needed and their skill levels were estimated from these data. Next, the safety and reliability were analyzed using the unsafe control actions and the casual scenarios found for each architecture. An analysis of the different type of casual factors allowed understanding what are the main challenges for each architecture. Due to the incremental nature of each architecture, a comparison to previous architectures was also made identifying how the unsafe control actions and the casual scenarios evolve from one architecture to the other. Finally, the impact of each architectural decision is analyzed to create a comparison between the three architectures.

# 3.6. Conclusion

In this chapter, a description of the methodology selected and the process used during the research was presented. The complete process, its outputs, and the dependency on the architecture are depicted in Figure 12. The data and results of this process are presented in Chapter 4:.



Figure 12 – Complete process, adapted from (N. Leveson & J. Thomas, 2018)

# Chapter 4: Data and analysis

## 4.1. Chapter overview

In this chapter, the data obtained from applying the methodology described in chapter 3 is presented. The chapter begins with a definition of the system under study and the goal applicable to the three different architectures and any generic satellite constellation. Then, the concept of operations used throughout the analysis is explained. The concept of operations includes the main elements of the system, phases, and modes of operation. Then, a definition of the system level losses and hazards that were used to analyze the architectures. From these hazards, the first output consisting of system-level constraints for safety and reliability, is presented. Finally, the definition of the control structure, unsafe control actions, and causal scenarios for each architecture is presented, followed by the analysis of the emergent properties. Finally, a comparison of the emergent properties of the three architectures is presented.

Due to the iterative nature of the STPA process, each architecture and its underlying unsafe control actions and causal scenarios were refined several times during the analysis. In this chapter, only the final version is presented.

### 4.2. System definition and goal

The system under study is the complete satellite constellation. The boundary comprises all the satellites and ground components of the constellation, including the mission operations organization. Outside the boundary of the system are third party suppliers of global navigation data, launch vehicles, and other teams of the organization. Despite being out of the boundary, the interfaces and information that cross the boundary were included in the analysis.

A broad goal was defined as the primary goal of the constellation. This goal is presented in Table 6.

ID	Goals
G1	Provide a service over a particular area of interest. For each particular type of application, this
	service goal might be slightly adapted. For example:
	- Communication: Provide broadband coverage over a specific area of interest
	- Asset monitoring and controlling: send and receive data and commands to and from assets
	over a specific area of interest to a ground network or facility.
	- Remote sensing: Obtain scientific or commercial data of the surface or atmosphere of the
	earth over a specific area of interest
	- GNSS: Provide navigation information (position and velocity) to ground, airborne, or space
	terminals over a specific area of interest.

Table 6 - System goal definition

Following the guidelines and regulations presented in Section 2.3, a set of environmental constraints was defined for the conceptual satellite constellation system and are presented in Table 7. These constraints not only affect the design of the satellite constellation but can also constrain operations as in the case of EC2, EC3, and EC4.

ID	Description
EC1	Satellite members should avoid the intentional release of any type of debris.
EC2	Satellite members should avoid on-orbit break-ups.
EC3	Satellite members that have terminated their mission should be removed or set to be removed from
	protected areas.
EC4	Satellite members should not interfere with other human operations
EC5	If applicable, radiofrequency transmission and remote sensing licenses should be acquired before the start
	of the operations of the system.

Table 7 -Environmental constraints

# 4.3. Concept of operations

Defining the system boundary and its constituent elements at this abstract level and with the multiplicity of architectures under study is challenging. In a broad sense, the system is composed of a space segment and a ground segment. The space segment comprises all the satellites in the constellation while the ground segment the facilities needed and the mission operations team. A proper system description can be done after the conceptual phase is finished when the detailed architecture defines the actual components, functions, and interactions. Figure 13 shows a typical system overview with these segments identified.



Figure 13 - Sample system overview of a satellite system. Credit: Swpb / CC BY-SA

The lifecycle of the system can be defined without any knowledge of the specific elements of it. A high-level diagram of the different phases of the lifecycle is depicted in Figure 14. The design and manufacturing phases include all the necessary activities until satellites are ready to be launched into orbit.



Figure 14 - System lifecycle

The operations phase of the system starts with the commissioning of the satellites. During this phase, different tasks might be performed depending on the application, for example, positioning the satellites in their correct position, calibrating them, or any other preparatory activities in order to ready them for mission operations.

Mission operations generally encompass nominal and off-nominal activities. Nominal activities are regular mission activities that mostly depend on the application, but in all the cases, they imply using the payload in the satellites. Off-nominal activities include the

maneuvering of the satellites for station keeping or collision avoidance, maintenance, and troubleshooting. The distinction between nominal and off-nominal operations is questionable and will be defined based on the regularity of the activities. This distinction will not be considered for the rest of the analysis, and all the operations will be treated equally.

Finally, end-of-life operations include all the activities related to de-orbit or move satellites to "graveyard" orbits in line with the current guidelines of IADC and NASA presented in section 2.3.

The constellation as a whole does not have a specific operational mode but instead a multiplicity of operational modes for particular partitions of it. For example, a group of satellites might be in commissioning mode after a recent launch, while another group of satellites is being decommissioned after reaching their end-of-life. Likewise, another group of satellites might be doing payload operations while some satellites within it might be in maintenance mode, and another group of satellites might be correcting their orbit. How the satellite is partitioned to form groups with these modes will depend on the application.

Within each satellite, there are defined modes of operations. The modes considered for this work are depicted in Figure 15. The circle in the diagram is the entry point of the operational mode state-machine representing the start or restart of each satellite. It is important to notice that, at this point in the analysis, there is no information about which controller implements this operational mode and how transitions are made. These details will depend on the architecture, as will be seen in the rest of the chapter. A brief description of each mode is included to aid the analysis of the unsafe control actions and causal scenarios.



Figure 15 - High-level operational modes of each satellite

In Idle mode, each satellite is not performing any particular task, but it is in a safe state. Satellites can be left in Idle mode forever.

In payload operation mode, the satellite is doing ad-hoc payload operations as needed, for example, broadcasting TV feeds, providing navigational aid, or collecting information on the soil. In most cases, it requires the satellite to be pointing in a specific orientation (also known as attitude).

In maneuvering mode, the satellite is performing orbital maneuvers. These orbital maneuvers are changes in velocity (expelling propellant at high speeds through a nozzle, despite the technology and propellent used) at specific points in the orbit and a specific direction. As in the payload maneuvers, they require a specific attitude. These maneuvers can be continuous over a certain period or can be small *burns* at certain intervals connected through coasting phases. For simplicity, a maneuver operation in this work refers to all these activities together.

The fault-handling mode is automatically activated when a satellite's automation encounters a problem that cannot be resolved automatically. In this mode, the satellite is kept in a safe attitude, and any other operation is forbidden to prevent further damage to the satellite until a maintenance operation is performed (automatically or manually), and the fault is cleared.

The maintenance mode allows other controllers to troubleshoot the satellite and prevents any other operation from being done by the satellite.

To control the satellites, a controller (automated or human) can provide real-time commands or time-deferred commands. Specific applications might also use location-based commands. The type of command (real-time, time-deferred, location-based) is not differentiated through the analysis, and both types were considered at the same time. However, some particular scenarios might not exist in time-deferred commands and are identified.

### 4.4. Losses and hazards

By using the goal and environmental constraints defined in the previous sections, it was possible to define the system losses. These losses are presented in Table 8. Loss L1, which was defined in direct relation to the goal G1, is also application-dependent and should be particularized for each particular application. Some examples are included for reference. The remaining losses apply to any constellation despite their application.

Depending on the redundancy and replenishment strategy of a constellation, loss L2, may or may not directly affect the service. This case is only considered in loss L1. Either way, it will generate a significant economic loss for the operator and should be avoided. Similarly, damage to a non-member satellite might or might not affect the service, but is also something that operators should avoid. The same principle applies to L3, L4, L5.

$\mathbf{Loss}$	Description
L1	Total or partial and permanent or temporal inability to provide the service in terms of reduced
	coverage or service level degradation on a subset of the area of interest. For example:
	- Communication services: An area is left out of coverage or an area has a degraded available
	bandwidth.
	- Asset monitoring and controlling: cannot receive messages from assets for a certain period.
	- Remote sensing: scientific/commercial data cannot be obtained from a particular area of
	interest
	- GNSS: navigation signals are not accurate or are not being transmitted.
L2	Loss or damage of a member satellite.
L3	Damage to a non-member satellite.
L4	Loss of life, injury to people or damage of assets in-ground (by re-entering satellites or debris)
L5	Inadvertent violation of regulations interfering with other human activities or operations not included
	in the previous losses like jamming communications.

# Table 8 - System losses definition

The losses defined here are not based on failures, in contrast to FTA, but cover any type of undesired output of the system, which is one of the advantages of STPA. Following the losses definition, system-level hazards that could lead to the losses were defined. These hazards are presented in Table 9. The hazard definition was done for a generic constellation, but examples for specifics applications are provided.

Hazard	Description	Related losses
H1	A healthy member satellite is unable to perform its objectives, i.e., does not, cannot or	L1
	incorrectly collects scientific data, relay communications, provide guidance aid. (i.e., incorrect attitude, lack of power, not executing plans, not receiving plans)	
НЗ	<ul> <li>A member satellite is in an inadequate orbit</li> <li>H3.1: A member satellite violates its relative position requirement in the constellation (only if AD1=Tight) [L1, L5]</li> <li>H3.2: A member satellite is in a collision trajectory with another orbiting body. [L1, L2, L3]</li> <li>H3.3: A member satellite is in a reentry trajectory towards a populated area [L4]</li> </ul>	L1, L2, L3, L4, L5
H2	A member satellite uses a payload over a forbidden target or an allowed area but with forbidden parameters	L5
H4	A member satellite exceeds safe attitude operating envelope (i.e., sensitive payloads pointing to the sun, pressurized vessels exposed to the sun, radiators exposed to sun, electronics exposed to extreme cold, solar panels not pointing to the sun)	L2, L3

Table 9 - System hazards

Hazard H1 has a direct impact on system reliability. A satellite that cannot perform its duties might directly impact the service provided. Likewise, a satellite in an inadequate orbit

can affect the service. However, it can also damage property or even hurt people in an uncontrolled reentry as defined by hazard H3. This hazard was sub-divided into more specific hazards to address these particular cases. Finally, from these system-level hazards, system-level constraints were derived. The complete list can be found in Table 10.

ID	Description	Hazards
SC-1	Member satellites must always be able (have the appropriate conditions) to perform its objectives	H1
SC-2	Member satellites' Payloads must always be used over allowed targets and with the allowed configuration as defined by international and national regulations.	H2
SC-3	If a member satellite's payload is incorrectly used over a forbidden target or with a forbidden configuration, it must be detected and corrected.	H2
SC-4	Member satellite positions must be maintained according to the relative position requirements. (If AD1=Tight)	H3.1
SC-5	If a member satellite's position requirement is violated, it must be detected and corrected. (If AD1=Tight)	H3.1
SC-6	Member satellites must not be in collision trajectories with other orbiting bodies.	H3.2
SC-7	If a member satellite is in a collision trajectory with other orbiting body, it must be detected and corrected, even if this violates SC-TBD	H3.2
SC-8	Member satellites must avoid reentry trajectories towards populated areas.	H3.3
SC-9	If a member satellite is in a reentry trajectory toward a populated area, it must be detected and corrected immediately.	H3.3
SC-10	Member satellite attitude must be kept within an operational envelope	H4
SC-11	If a member satellite's attitude is outside the operational envelope, it must be corrected immediately.	H4
SC-12	Satellites must always be controllable (responding to commands/plans) from the ground with a maximum gap of TBD	H1, H3
SC-13	If a satellite lost control after TBD time, it must safely passivate itself until further contact.	H2

#### Table 10 - System-level constraints

These constraints, while generic, provide system designers a unique perspective of the type of things that must be avoided to prevent losses. They define states to avoid and also, in some cases, what to do in case the constraints are violated. As an example, SC-13 establishes that a satellite that has lost contact with the ground must be passivated until further contact. What exactly is passivated will depend on the application. However, it might include stopping all communications to avoid jamming other satellites or users in the ground and violating regulations, depleting tanks to reduce the probability of in-orbit break-up, or even moving to a non-conflicting orbit. In 2010, Intelsat announced that the satellite Galaxy-15 stopped responding to commands due to an in-orbit anomaly leaving its transponders on (Allen, 2010). Moreover, the satellite, in a regulated geosynchronous orbit, started to drift from its required position and could not be corrected. This situation posed a risk of collision with other satellites as well as potential jamming broadcasting communications on the ground over forbidden areas during eight months before contact was regained, and the hazard was eliminated. During this time, most of the safety constraints of Table 10 were also violated. Understanding the system losses and hazards before the design has started can help eliminate these types of hazards.

Up this point in the analysis, goals, hazards, environmental and system-level constraints were defined for any constellation disregarding the architecture implemented. The next section presents the analysis of the selected architectures following the STPA methodology.

## 4.5. Architecture A1

Architecture A1 represents the most basic possible architecture in terms of Level of Automation. In contrast to the manual direct control that might be possible in an airplane or an industrial process, absolute attitude manual control by controlling each actuator is usually unpractical. While it might be possible to control, for example, each thruster or reaction wheel on a satellite to change its attitude, the complexity of doing it in a three-dimensional space with orbital dynamics considerations make it impracticable. Hence, these low-level tasks are performed by automated controllers within each satellite of the constellation.

Higher-level per satellite operations and all constellation's concerns are entirely managed and controlled by the mission operations organization. In other words, the satellites are unaware of the other satellites in the constellation. In the following sections, the resulting control structure of this concept, along with the unsafe control actions and causal scenarios, are presented, followed by an analysis of the emergent properties.

### 4.5.1. Control structure

Architecture A1's conceptual control structure is depicted in Figure 16. As in any control structure used in STAMP based analysis, the vertical axis represents authority while the horizontal axis is information flow not directly related to control actions, but needed.

A color code was used to represent characteristics of the elements of the control structure. Green controllers are human controllers and might be only one or more than one person. If a box represents more than one human, an internal coordination process is assumed, generating a unique mental model and control action. In contrast, yellow controllers are automated controllers implemented by any type of hardware and software combination. In the case of feedback and control lines, black lines represent classical control, feedback, and information flow, as in any STAMP-based analysis.

While only one satellite is fully detailed in the control structure, it is essential to note that all the satellites are considered. This multiplicity is represented by the dotted box surrounding each satellite and then replicated as Satellite 1, Satellite 2, Satellite N. To clearly show the implications of this difference, orange lines were used when the control action, feedback or information flow goes from or to all the satellites of the constellation. This is the assumption used in this first architecture for all the data between mission operations and the satellites.

In addition, dashed lines represent information that is used for health monitoring activities and not direct control of the process.

Finally, nowadays, all the communications between satellites and ground are implemented using digital communications and data corruption is rare. If a message arrives corrupted, it is detected and discarded, so an improper execution of a command is usually just a delay or a lost transmission and not a corruption of it.

From the control structure, it can be seen that a significant level of detail was included inside each satellite. While this detail might have been abstracted too, including details such as the arm/disarm propulsion commands, it helps to understand the number of commands, sequencing, and coordination needed to operate the constellation. Many other controllers were omitted because they are out of scope, for example, a thermal controller or a power controller. They can probably also command the propulsion, attitude, and payload subsystems if they need to in an emergency.

To conclude, training, operation procedures are not included for simplicity as information flow to the human controllers, but it should be assumed they exist. The rest of this section describes each of the controllers in the diagram, its responsibilities, and algorithms.



Figure 16 - A1 conceptual control structure

### 4.5.1.1. Controlled process

From a single satellite perspective, the three controlled processes are the orbit, the attitude, and the payload. If satellites are correctly located and controlled, from a constellation perspective, then the aggregation of all the individual satellite services will provide the constellation service as a whole. Of course, this combination is not entirely linear, and the constellation service is an emergent property of the system. The launch of the member satellite defines the initial state of the orbit. Once in orbit, it is affected by perturbations of the space environment like the atmospheric drag, the nonuniformity of the Earth's gravity field, and the presence of other massive bodies of the solar system. To compensate for these perturbations and to perform other orbital operations, a propulsion system controls the velocity of the member satellites by generating a force in the form of thrust.

Torque actuators control the attitude of the member satellites. As with the velocity, the attitude is also perturbed by the space environment, in particular atmospheric drag, magnetic forces, and solar radiation pressure.

Finally, the payload process of the member satellites is included. No details are provided because they depend heavily on the application. It might be an imaging device, a radar, a radio transceiver, a signal generator, or other any specific instrument. How to control these is left to the designer of the constellation.

### 4.5.1.2. Propulsion controller

The propulsion subsystem is in charge of producing thrust to change the velocity of the satellite, which, in effect, alters the orbit. Thrust is generated by expelling propellant in a particular orientation, and in some applications, the thrust orientation can slightly be defined by the propulsion subsystem. Nevertheless, a particular attitude is required to point the force coarsely. In most cases, propulsion systems are open-loop control systems in terms of controlling the orbit of a satellite, and they only control specific parameters of the propulsion hardware. This assumption is depicted by the absence of a sensor of velocity. The close loop control of the orbit is often in outer loops without knowledge of the propulsion subsystem.

The propulsion controller implements the lowest level of automation related to controlling the high-speed loops of the propulsion elements through an automated controller. The primary responsibilities are to:

- Produce thrust according to the requested commands by controlling, for example, the pressure of tanks, the amount of electrical power to a Hall effect thruster, and opening or closing of valves.
- Oversee the safety of the elements of the subsystem (not over-pressurizing a tank for example)

### **Operating modes**

The propulsion controller implements three different modes: a nominal mode in which propulsion maneuvers can be done, a fault-handling mode, and a shutdown or software update mode. In this last mode, the controller is unavailable for any operation and only responds to software update related commands. Within the nominal mode, disarmed, armed, thrusting sub-modes are implemented to allow system preparation and to prevent unintended thrusting. The arm modes prepare the subsystem for subsequent propulsion commands. In general, an arm mode might be needed by different propulsion systems to pressurize tanks, purge lines, and heat elements. The system can stay in this mode forever (except when there is a malfunction detected in which the controller goes to fault-handling mode), but being on an armed mode requires more power than being in a disarmed mode.

These modes and their transitions can be seen in Figure 17. Transitions in italics are automatic transitions that the controller can issue. All the remaining transitions are commanded externally.



Figure 17 - Propulsion subsystem operating modes

### **Interface**

When the system is armed, it can accept and execute "Propulsion commands." If the system is disarmed, it should not respond to a "Propulsion command." Depending on the system, it might take time for the system to be armed after a mode change is requested.

The disarm command does the opposite. It disables any thrust being generated and puts the subsystem into a safe state that can be held forever.

The propulsion command is a combination of thrust levels in the appropriate units (depending on the thruster design and configuration) and a thrust direction if applicable. Once triggered, the controller stays in thrusting mode until a thrust command cancels it with 0

thrust (goes back to armed), the system mode is set to disarm, or an internal malfunction is detected. As with any other commands, they can be sent as a real-time command or as time-deferred or location-deferred command.

There is only one display mode in which the automated controller provides to its controller the current Operating mode, thrust (estimated or measured), and alarms telemetry. Also, raw data from the actuator's sensors are provided for health monitoring.

### Control algorithm

The control algorithm is in charge of two main functions: generate control actions to control the actuator and maintain accurate information (models) about the state of the controlled process and external system components and environment that can impact the generation of control actions.

In this case, the propulsion system does not have a direct **model of the controlled process** (the velocity of the satellite), as explained before. However, it has a model of the actuators as a sub-controlled process. It might also have information about orientation in each satellite from manufacturing and calibration and pre-loaded information about the amount of propellant that has to be updated.

The controller does maintain the **model of the operational mode**. In this case, only the automation operating mode is considered, according to the state diagram in Figure 17. The controlled process mode (the satellite mode described in Figure 15) is not known or considered by the subsystem. Because there is only one controller —mission operations— there is no model of supervisory mode to track. As explained in the interface section, there is also only one display mode to consider.

Other possible models like the **model of the human controller and model of other controllers** are not applicable because the system does not have any other controller or the human controller is not monitored.

#### 4.5.1.3. Attitude controller

The attitude subsystem is in charge of controlling the orientation of the satellite with respect to a reference frame in line with a specified setpoint and to determine the current satellite position and velocity (ephemeris). In general, attitude subsystems combine measurements of the position of the sun, the stars, the magnetic field, angular velocity, and position and velocity in orbit to determine its current orientation and position with respect to a reference frame. All this is abstracted in the "Sensors" block. Control actions employing torque (produced by momentum exchange devices like reaction wheels, CMGs or magnetic rods, or off-axis applied forces by thrusters) are used to control the attitude in order to follow the commanded pointing mode and setpoint. As with sensors, they are all abstracted in the "actuators" block.

Pointing modes allow different types of profiles and are used for different tasks in any of the satellite modes, for example, pointing the solar panels to the sun on idling, looking down to acquire science measurements, or following a moving target on the ground.

The attitude controller implements the lowest level of automation related to control the high-speed loops of the actuators through an automated controller. The primary responsibilities are:

- Change or maintain the satellite attitude according to the requested setpoint and mode.
- Oversee the safety of the elements of the subsystem

#### **Operating modes**

The specific modes will depend on the final design and concept of operations of the constellation and the satellite. However, it can be assumed that the system has the primary nominal, shut-down, and fault-handling modes, as in the case of the propulsion subsystem.

### **Interface**

The only command considered in the analysis is an "attitude command" that is a combination of a pointing mode and a setpoint. The system assumes that the mode and the setpoint should be maintained unless a new command overrides it or an internal malfunction is detected.

Like any other commands, they can be sent as a real-time command or a time-delayed command. Like any other commands, they can be sent as a real-time command or as a timedeferred or location-deferred command.

There is only one display mode in which the automated controller provides to the supervisor the current operating mode, setpoint, and alarms telemetry. Also, raw data from the actuators and sensors are provided.

#### Control algorithm

The control algorithm maintains a **model of the controlled process** contains information about the current attitude, angular velocity, position, and velocity with feedback from sensors. Also, the control algorithm requires information on the orientation and position of these sensors and actuators in the satellite as well as the inertia tensor of the satellite. The control algorithm might also have perturbation models to correct in advance. This information is loaded on each satellite before launch and can be updated later on during operations. The controller also maintains the **model of the operational mode.** In this case, only the automation operating mode is considered, according to the three states defined previously. The controlled process mode (the satellite mode described in Figure 15) is not known or considered by the subsystem. Because there is only one controller, mission operations, there is no model of supervisory mode to track. As explained in the interface section, there is also only one display mode to consider, so no tracking of it is done either.

Other possible models like the **model of the human controller and model of other controllers** are not applicable because the system does not have any other controller or the human controller is not monitored.

### 4.5.1.4. Payload controller

The payload controller is in charge of controlling how the payload is used according to adhoc commands that depend on the application. It might also control the payload pointing if necessary employing mechanical movement or electronic steering, for example. Usually, however, payloads still need a coarse pointing provided by the entire satellite platform, which will be achieved through the attitude subsystem.

### **Operating modes**

The specific modes will depend on the final design and concept of operations of the constellation and the satellite. However, it can be assumed that the system has the primary nominal, shut-down, and fault-handling modes, as in the case of the propulsion subsystem.

#### **Interface**

As an example of things in the interface, "payload on" and "payload off" commands are included that might apply to all different types of payload. Also, mode, alarms, and ad-hoc information are provided to the controller of the subsystem.

#### Control algorithm

Because the control algorithm is entirely dependent on the application and the payload, the control algorithm is not analyzed.

### 4.5.1.5. Mission operations

Mission operations is a relatively complex organization in charge of the operations of the satellite constellation. This organization is responsible for the proper execution of the company

goals while maintaining a healthy and reliable constellation. For this analysis, it was modeled as four different controllers. Each of these controllers is described below.

### 4.5.1.6. Satellite controllers

The satellite controllers are the contact between the ground and the member satellites, and they directly command the satellites through terminals or operations software. They are also known in the industry as satellite operators or console operators. Their responsibilities are divided into operation tasks and monitoring tasks as following:

- Operations:
  - Apply the burn plans provided by Orbital dynamics team (by specializing each burn plan into specific satellite commands)
  - Apply the payload plans provided by the Payload team (by specializing each mission plan into specific satellite commands)
  - o Apply the defined maintenance and operations requested by other teams
  - Monitor that the automation is performing as expected
  - o Monitor satellite attitude for unsafe (out of range) operations
- Health monitoring:
  - Monitor the health of the satellites (and other elements of the system not included in this conceptual diagram) and resolve conflicts.
  - Provide troubleshooting and anomaly resolution

As seen in the control structure in Figure 16, they have to command every low-level detail of each subsystem such as arming/disarming turning on and of the payloads and coordinating those actions with the correct attitude. Depending on the application, providing this control might be a very demanding job.

The decision-making process is done by using the information in the mental models (which they have to maintain) plus the goals, training, written procedures, and experience. Satellite controllers are also affected by external controllers and external factors like time pressure and company objectives. For *each* satellite, satellite controllers have to maintain four different mental models:

- Model of automation: status and mode of each controller on each satellite (for the attitude controller, the propulsion controller, and the payload controller).
- Model of the controlled process: current orbit, attitude, and payload status of each satellite. Moreover, they also have to maintain the mode in which each satellite is (Figure 15). It is important to remember that this mode is only in the controller's mind (or tools) for this architecture.

- Model of the environment: what might affect the satellite operations like the ground station and connection issues, for example.

To update these models, satellite controllers use information and feedback provided by the satellites and other components of the system. However, in order to assess each satellite health, satellite controllers also monitor the process directly by using direct information from the sensors and some of the actuators of the satellite. This multiplicity of sources can lead to potential. For example, satellite controllers can check the attitude quaternions telemetry or the status telemetry to see if an attitude is correct or not. This information can be misleading or confusing and a potential source of bad decisions.

Moreover, satellite controllers coordinate with the payload and ODT to deconflict potential overlaps of operations that cannot happen. Coordination problems, however, might still create overlapping plans for a satellite. In order to deconflict, company-level priorities should be defined and communicated to these teams. Finally, if maintenance operations are needed, satellite controllers have full control over the satellite subsystems, so they only have to coordinate with ODT and Payload to do it.

### 4.5.1.7. Orbital dynamics team

The orbital dynamics team (ODT) is the team responsible for controlling the orbit of the satellite in line with mission management requirements and with system operational requirements. Specifically, they are responsible for:

- Defining the best constellation shape concerning the coverage requirements provided.
- Maintaining the satellite position in the defined constellation shape by monitoring the current state and issuing burn plans. (If AD1=Tight)
- Avoiding in-orbit collisions
- Decommissioning of satellites, when instructed by mission operations.

In order to support their decision-making process, the orbital dynamics team has to maintain different mental models.

- Model of the controlled process: Member satellite current orbit and information about the maneuvering capabilities of each satellite as well as state variables like the remaining propellant, for example.
- Model of automation: current status of the maneuver execution by satellite controllers.
- Model of the environment: Non-member satellites orbit that can be a hazard for the member satellites, perturbances that are affecting or will affect the current constellation shape (space weather)

 Model of other controllers: Because the satellite attitude is shared with the payload operations, ODT needs to maintain a model of what is the Payload team is doing in order not to overlap the operations.

To update these models, the ODT use position and velocity information (ephemeris) provided by the satellites as well as information from third-party sources if needed. They also use these third-party sources for potential collision detections, and they might also implement their algorithms for determining how and when to maneuver for relative satellite position and collision avoidance activities.

Some organizations do not have the capabilities to predict collisions and to perform maneuvers and rely only on third-party sources. Others use both. Appropriate prioritization and coordination of information are needed to avoid potential hazards. The ODT also uses the information provided by mission management about the configuration and capacity of each satellite. Status of burns is provided by the satellite controllers to update their mental models about the maneuvers and the propellant used, for example.

To control the satellites, the ODT communicates a "burn plan" for each of the satellites to the satellite controllers. A burn plan is specified as a series of burns with a defined thrust and direction for a specific duration. Also, specific timing and attitude for each burn are included. An example of a burn plan is provided in Table 11. By design, the ODT does not need to know specifics about how to operate the propulsion system of each satellites, which is done by the satellite controllers, but they need to know what each satellite is capable of doing. Then, a burn plan is specified in generic terms applicable to any satellite, and it is the responsibility of the mission operators to translate this into appropriate commands for each specific satellite in the constellation.

Satellite	Time	Attitude	Thrust	Thrust	Duration
			angle		
Satellite-1	2020-10-01 10:30:40 UTC	(0, 90, 90)	(0,0,0)	100mN	60s
Satellite-1	2020-10-01 12:05:40 UTC	(0, 90, 90)	(0,0,0)	100mN	60s
Satellite-1	2020-10-01 15:10:40 UTC	(0, 270, 90)	(0,0,0)	100mN	60s
Satellite-1	2020-10-01 16:45:40 UTC	(0, 270, 90)	(0,0,0)	100mN	60s

Table 11 - Burn plane example

### 4.5.1.8. Payload team

The payload team is in charge of planning the operations of the payload in line with the mission goals provided by mission management. Depending on the application, this responsibility might be defining what sensor or transmitter to use, how to configure transponders, or when and how to acquire images over a specific area of interest, for example. Independent of what they need to do, they communicate this in a payload plan for each satellite to the satellite controllers. A payload plan consists of:

- Series of payload ad-hoc commands with a specific time
- Attitude for each payload operation

In order to support their decision-making process, they have to maintain different mental models.

- Model of the controlled process: what is each satellite payload doing, where is each satellite of the constellation, what is each satellite capable of,
- Model of automation: current status of the payload operation executed by the satellite controllers.
- Model of other controllers: Because the satellite attitude is shared with the payload operations, ODT needs to maintain a model of what the ODT is doing in order not to overlap the operations.

To update these models, the payload team uses the information provided by the satellite controllers about the execution of the plans as well as satellite configuration and capabilities provided by the mission management.

### 4.5.1.9. Mission management

Mission management is responsible for the constellation operation in general. They define what coverage they need and what mission goals they have based on the provided company goals and client and users' requests (if any). Because coverage changes are very costly, slow, and sometimes out of reach, they have to predict which coverage they will need before starting operations. To do so, they need to coordinate with the orbital dynamics and payload teams to select and configure the proper launch that will provide the initial ephemeris to the satellites. This responsibility is not considered in the model and is left for future work. Mission management controls the satellite constellation by issuing coverage requirements and mission goals to the orbital dynamics team and the payload team, respectively.

In order to support their decision-making process, mission management has to maintain different mental models. They have a model of the controlled process, which is the satellite constellation as a whole, that is updated by information provided by the orbital dynamics team, the payload team, and the satellite controllers. This information is related to the current coverage capacity and the operational status of the satellites in the constellation.

# 4.5.2. Unsafe control actions

Using the control structure and safety constraints defined in the previous section, unsafe control actions were identified. Table 12 presents a fragment of the UCA table for this architecture. Each UCA is labeled as the UCA-Architecture-ID for further reference. The complete UCA table can be found in Appendix C. It is important to notice that STPA is a qualitative tool, and the number of control actions does not represent a proxy for the probability of occurrence or how risky a control action is.

Control action	Not providing	Providing	Too early, too late, out of order	Stopped too soon, applied too long
Thrust	UCA-A1.1: The propulsion controller does not generate thrust when it is armed and is commanded to do it [H3]	UCA-A1.2: The propulsion controller generates thrust in an incorrect direction or magnitude when it is armed and commanded to do it [H3] UCA-A1.3: The propulsion controller generates thrust when it is armed, but it was not commanded to do it [H3]	UCA-A1.4: The propulsion controller generates thrust with more than TBD of delay when it is armed and commanded to do it. [H3]	UCA-A1.5: The propulsion controller stops generating thrust when there is no alarm, it was commanded to, and the system is armed. [H3] UCA-A1.6: The propulsion controller keeps generating thrust after being commanded to stop by a thrust=0 or disarm command. [H3]
Torque	UCA-A1.7: The attitude controller does not provide torque when it is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	UCA-A1.8: The attitude controller provides a torque in the wrong direction or with the wrong magnitude when a torque is needed to maintain or follow a setpoint. [H1, H3, H2, H4] UCA-A1.9: The attitude control subsystem provides a torque when it is not needed [H1, H2, H3, H4]	UCA-A1.10: The attitude controller provides delayed torques when is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	UCA-A1.11: The attitude controller stops applying torque too soon when still needed to maintain or follow a setpoint. [H1, H3, H2, H4] UCA-A1.12: The attitude controller keeps applying torque when not needed anymore. [H1, H3, H2, H4]
Arm	UCA-A1.13: Satellite controllers do not provide an arm command before a propulsion command when performing orbital maneuvers (because the satellite is in an inadequate orbit) [H3]	N/A	UCA-A1.14: Mission operations provide an arm command too early (TBD minutes) before an orbital maneuver (resulting in a waste of energy that prevents the payload from operating) [H1]	N/A

Control	Not providing	Providing	Too early, too late, out of order	Stopped too soon, applied too long
			UCA.A1. 15: Satellite controllers provide an arm command too late to perform an orbital maneuver when a satellite is in an inadequate orbit. [H3]	
Disarm	UCA-A1.16: Satellite controllers do not provide a disarm command after concluding orbital maneuvers (resulting in a waste of energy that prevents the payload from operating) [H1]	UCA-A1.17: Satellite controllers provide a disarm command when the system is performing as expected and is doing orbital maneuvers (resulting in incomplete maneuvers) [H3]	UCA-A1.18: Satellite controllers provide a disarm too late after concluding orbital maneuvers (resulting in a waste of energy that prevents the payload from operating) [H1]	N/A
Attitude command	UCA-A1.26: Satellite controllers do not provide an attitude command when necessary for a payload or maneuver operation [H1, H3] UCA-A1.27: Satellite controllers do not provide a change attitude mode when the satellite is in an unsafe attitude (i.e., sun on a payload, no sun on solar panels, etc.) [H4]	<ul> <li>UCA-A1.28: Satellite controllers provide an attitude command with the incorrect mode or setpoint when it is needed by a payload or a maneuver operation [H1, H2, H3, H4]</li> <li>UCA-A1.29: Satellite controllers provide an attitude command with a forbidden setpoint and mode when doing maintenance operations. [H4]</li> <li>UCA-A1.30: Satellite controllers provide an attitude change when a satellite does not need it. [H1, H2, H3, H4]</li> <li>UCA-A1.31: Satellite controllers provide an attitude change for a payload operation when the satellite is doing a maneuver operation or vice- versa. [H1, H3]</li> </ul>	UCA-A1.32: Satellite controllers provide an attitude command too late when it is required by a payload or maneuver operation [H1, H2, H3]	N/A

Table 12 - A1 UCA Table summary

As can be seen in the second column, not providing a control action can be unsafe. For example, Arm and Payload on commands are preparatory commands. Not providing them will affect future propulsion or payload operations. At a higher level, not providing an attitude command will spoil any type of payload or maneuver operation or will prevent a satellite in an unsafe attitude to correct its orientation. At the highest level, not providing a burn plan will leave or put a satellite in an inadequate orbit that can flaw the mission operations, create an in-orbit collision, or damage people or assets in the ground during a reentry. Likewise, not providing a payload plan will leave the satellites idle not achieving mission goals.

Providing control actions can be unsafe too. Since having the correct attitude is needed for orbital maneuvers and payload operations, it can be unsafe to provide an attitude command for a payload when the satellite is doing an orbital maneuver, for example. These coordination problems should be resolved in the ground in this architecture because the level of automation on the satellite is minimum. Still, flawed coordination can provide scenarios for this UCA to happen, a problem also known as "shared resource coordination" (K. E. Johnson, 2017).

While some of these UCAs might be avoided if envelope protection systems or coordination is included in the satellites, that would disrupt the level of automation that characterizes this architecture.

Finally, the only control actions that potentially involve duration related unsafe control (stopped too soon or applied too long) are those analog controls actions found in the lower level of the control loop: Thrust, and Torque. This type of unsafe control action does not apply to the rest of the control actions.

From the UCAs found for this architecture, it was possible to derive component-level constraints that, if enforced, would eliminate or reduce the likelihood of these unsafe control actions. As examples, the constraints derived from the UCAs included above are provided in Table 13. The complete component-level constraints list can be found in Appendix C.

UCA	Derived Constraints
UCA-A1.1	SC-1: The propulsion controller must always generate thrust when it is armed and commanded
	to do it.
UCA-A1.6	SC-6: The propulsion controller must not generate thrust after being commanded to stop by a
	thrust $=0$ command or disarmed.
UCA-A1.8	SC-8: The attitude controller must provide torque in the correct direction and magnitude
	necessary to control the attitude of the satellite.
UCA-A1.11	SC-11: The attitude controller must provide torque for all the time needed to maintain or follow
	a setpoint.
UCA-A1.22	SC-22: Propulsion commands must be sent only when the satellite has acquired the necessary
	attitude for the burn.
UCA-A1.24	SC-24: Propulsion commands must not be provided when a satellite is doing a payload
	operation.
UCA-A1.31	SC-32: Attitude commands for an operation must not be provided during another operation.
UCA-A1.33	SC-34: A payload on command must be provided before any ad-hoc command is sent to the
	payload.
UCA-A1.35	SC-37: A payload on command must not be provided when the satellite has a forbidden
	attitude.
	SC-38: Forbidden attitudes information must be provided before starting operations.
UCA-A1.39	SC-42: A burn plan must always be provided when a satellite is in a collision trajectory to avoid
	it.

Table $13 - A1$	Component	level	constraints
-----------------	-----------	-------	-------------

These component-level constraints can be used to drive the requirement and specification definition for the different component levels in the following phases of the design, and they might also be useful for defining the component level verification and validation strategy. They provide a functional perspective that can be easily missed in the detailed design and manufacturing phases.

### 4.5.3. Causal scenarios

For each UCA found for this architecture, it was possible to derive causal scenarios that might lead to hazards. Also, dozens of scenarios were found that were not related to UCAs but were related to the incorrect communication or execution of control actions. Both types of scenarios are usually application-specific scenarios that depend strongly on the implementation of the satellite constellation. In addition, more generic scenarios that identify potential causal factors were created. The complete scenarios for this architecture can be found in Appendix A. As an example, from UCA-A1.1, the following scenarios were found:

- Scenario 1: The Propulsion subsystem physical controller goes into fault-handling or shut-down when a propulsion command is issued due to a physical controller failure,

causing the thrust not to be generated. As a result, the maneuver is flawed, and the satellite ends in an inadequate orbit. [H3]

- Scenario 4: The propulsion subsystem controller is armed and is commanded to generate thrust. The propulsion subsystem does not generate thrust because the information provided during the launch preparation of the satellite incorrectly indicates that tanks are empty. As a result, no thrust is generated, and the orbital maneuver is flawed, and the satellite ends in an inadequate orbit. [H3]
- Scenario 6: The propulsion subsystem controller is armed and is commanded to generate thrust by a propulsion command. The actuators are behaving as expected, but the propulsion subsystem does not generate thrust because it believes there is a problem with the actuators and disarms the subsystem. This flawed process model will occur if correct feedback (i.e., confirmation of a valve opening) is received with delay or never received and can happen if any of the following occur: there is a wiring or communication problem between a sensor and the controller, a sensor fails and does not report any data, or there is a problem reading a sensor data in the controller (i.e., the controller is busy with another higher-level task. As a result, no thrust is generated, and the orbital maneuver is flawed, and the satellite ends in an inadequate orbit. [H3]

These examples provide typical causal factors found in the analysis. The first scenario represents a physical failure, which is the type of scenario that can be found with FMEA or FTA tools too. However, the remaining scenarios are related to missing or incorrect information provided to the controller during a data load or during normal operations through sensors. This type of missing or incorrect information incorrectly updates the models in the controller that then leads to unsafe control actions. Also, scenarios in which safe control action were provided but were not executed or were improperly executed were found. As an example:

- Scenario 179: Payload issues a mission plan, but the plan does not arrive at the satellite controllers due to a communication problem. As a result, the satellite is unable to perform its objectives [H1].
- Scenario 180: Payload issues a mission plan, but the plan is ignored or received later because satellite controllers are busy doing other tasks. As a result, the satellite is unable to perform its objectives [H1]

In these last two scenarios, interactions between human controllers are considered. The communication problem described in scenario 179 might be a human communication problem or a software communication problem. Scenario 180 shows how a busy controller may lead to a potential hazard too. That is why correctly sizing the number of satellites per controller in
this architecture is critical. Confusion, overloading, coordination problems are all different causal factors that can be found by the analysis.

Other types of scenarios involve human controllers. For example, when conducting orbital maneuvers, satellite controllers need to coordinate the satellite attitude with the burns. Two example scenarios that can lead to hazards are.

- Scenario 60: Mission operations needs to perform orbital maneuvers on a satellite to correct its orbit. The system is armed. A wrong direction or magnitude is sent to the propulsion controller because the satellite controller inputs a typo or copy and pastes an incorrect value from a burn plan. As a result, the maneuver operation is flawed, and the satellite stays in an inadequate orbit [H3]
- Scenario 61: A member satellite is transitioning to the correct attitude for an orbital maneuver but has not arrived there yet. Satellite controllers provide a propulsion command because they incorrectly believe the satellite has the correct attitude. This flawed process model will occur if the attitude subsystem state (indicating a locked attitude) is not received or ignored and can happen if any of the following occur:
  - The controller ignores the state telemetry because it is usually very fast to lock the attitude.
  - The "transitioning" concept state is not implemented in the subsystem, and the controllers are incorrectly trained to derive it from the direct attitude telemetry (which might be confusing).
  - The state is sensed but not transmitted in the telemetry, and other telemetry makes the satellite controller believe it is locked.
  - The state is received in the ground but is not displayed in the operator console, and other telemetry makes him believe it is locked.

As a result, a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3]

These two scenarios are good examples of the complexity of the tasks that human operators need to do in this architecture. Similar scenarios are provided for payload operations as well. Time-deferred commands (when AD2 is intermittent) might aid in the preparation and testing of the control actions as well as to help identify missing feedback in advance.

In total, 181 causal scenarios were found related to unsafe control actions and improper or no execution of control actions. A summary of the different causal factors found for this architecture is presented in Figure 18 using the causal factors illustrated in Figure 11 with the distinction that inappropriate decisions were separated between those taken by humans and those taken by an automated controller.



Figure 18 - A1: Summary of causal factors

It is possible to see that most of the causal factors are related to improper decisions taken by human controllers. These improper decisions include flawed operating procedures, flawed training, mode confusion, and also controllers being too busy and ignoring critical information and not taking corrective actions. There is also a significant number of causal factors related to errors of commission, due to the complex tasks of translating and configuring commands manually as well as those related to confusion. It was also found to be very likely for human controllers to incorrectly interpret feedback due to the heterogeneous and large number of systems being monitored. Moreover, it was found that because most actions are coordinated and controlled by ground operations, communication issues with the satellites (delays or lost transmissions) might also create inadequate feedback or inadequate execution of control actions. These issues might worsen if the connectivity between satellites and ground is intermittent (AD2).

#### 4.5.4. Architecture conclusions

Architecture A1 represents the lowest possible level of automation that can be found in satellite constellations. Only very low-level tasks are automated on each satellite, and the satellite operations management is done entirely by human controllers within the mission operations team. This architecture suggests a relatively simple design at very high operational costs. It is possible to assess the system scalability by analyzing the control structure and the human controller responsibilities. Satellite controllers have two main tasks in this architecture: health monitoring and mission operations. In order to perform correct mission operations, they have to maintain multiple mental models of each satellite in order to safely and reliably operate the constellation. Most of the control action generation requires specific sequences and timing constraints that make these tasks even more challenging. Also, control action generation requires training and concentration. Satellite controllers have two direct controllers, Orbital Dynamics, and Payload teams, apart from potential external requests from the organization, and they have to coordinate their requests.

Concerning health monitoring, satellite controllers are also responsible for monitoring raw data coming from sensors and actuators to ensure that the satellites are performing as expected or request maintenance or troubleshooting by other teams. Since these tasks should be performed for every satellite in the constellation, the number of satellite controllers needed will be proportional to the constellation size to ensure they have enough time to perform all their duties with each of the satellites of the constellation without jeopardizing the operation. Also, a high level of expertise is required to address all these duties.

Similarly, the Orbital dynamics team has to control and monitor each satellite of the fleet to ensure that they are in the correct position and that they are not on collision trajectories. Then, they have to issue burn plans for each satellite in the constellation. While this responsibility is less time demanding than the satellite controllers, the amount of work will still scale with the constellation size. The expertise required will also be very high.

Likewise, the payload team has to issue payload plans and monitor the performance of each satellite of the fleet. Depending on the application, this might represent a very low or a very high workload. For example, in a communications application, usually, payloads are configured at the beginning, and additional configuration or plans are provided relatively separate in time responding to troubleshooting or changes of service. Instead, in earth observation application, the tasking on the satellites can be done in real-time and depending on the client's requests. The skill levels required will also vary with the application, but specific knowledge of the payload hardware and the overall satellite technology is usually required.

From the analysis of the causal scenarios and their related hazards, it is possible to evaluate the safety and reliability aspects of this architecture. As seen, most of the scenarios are related to the inappropriate decisions of human controllers, which is a direct consequence of the low or nonexistent level of automation of this architecture. These inappropriate decisions are consequences of flawed operating procedures, lack of training, misleading or ambiguous information provided to human controllers, bad interface design, and ultimately in how overloaded the controllers are. Apart from the inappropriate decisions, the remaining two major hazard causal factors identified are related to inadequate communication of control actions and feedback. These causal factors can be divided into three different types. The first type encompasses in-ground communication problems between different teams within the mission operation team. Coordination and resource sharing were found as a significant contributing factor as well as human communication problems caused by overwhelmed controllers. The second group corresponds to the communication between the ground and space. Since satellites are entirely controlled from the ground, any disruption in the communication is a potential scenario for safety or reliability concerns. Finally, intra-satellite communication problems are also a potential causal factor for safety and reliability problems.

Inappropriate decisions related to automation are relatively low compared to human decision making. Such inappropriate decisions reflect specification and implementation flaws in the propulsion, attitude, and payload controllers. Likewise, physical controller failure, actuator, and sensor problems are also a potential causal factor for safety and reliability. Traditional reliability engineering techniques can address some of these issues.

Given that most of the scenarios for this architecture are related to human error, and because human error is not random, designers and operators choosing an architecture like this should focus their efforts on designing systems with human factors considerations in mind. Also, the interconnection between satellites and ground was found critical, and designers and operators should focus on maximizing the connection time between satellites and ground to allow proper mental model updates. Finally, appropriate staffing levels (satellites per controller) should be carefully analyzed and addressed for each particular application in order to avoid controller overloading, which is the backbone of all the operations.

# 4.6. Architecture A2

Architecture A2 adds one level of automation on the satellites. In this case, an automated controller, the On-Board controller (OBC), is responsible for controlling the propulsion, attitude, and payload subsystems. The OBC now does most of the low-level tasks that were performed by human operators such as arming and disarming the propulsion system or coordinating the attitude mode in each operation. Health monitoring is still performed by mission operations manually. Constellation level management is still done entirely by mission operations.

### 4.6.1. Control structure

The control structure for this architecture is depicted in Figure 19. Unlike architecture A1, this architecture adds the OBC between mission operations and the satellites' subsystems. As

can be seen in the diagram, Propulsion, Attitude, and Payload subsystems are the same as in A1, with the same interfaces and algorithms. The only difference now is that the supervisor of these subsystems is now the OBC instead of mission operations. Orbital dynamics teams, the Payload team, and the satellite controllers now interface with the OBC, and thus, their control actions and responsibilities changed considerably. In the rest of this section, the new OBC is described as well as how mission operations roles, responsibilities, and interfaces are changed. The controllers and elements of the control structure that are identical to architecture A1 are not included here to avoid duplication.



Figure 19 - A2 conceptual control structure

# 4.6.1.1. On-board controller

The on-board controller is in charge of commanding the subsystems of the satellite. One of the most significant changes is that satellite operation mode is now controlled and maintained by this new on-board controller. Its primary responsibilities are:

- Execution of orbital maneuvers as specified by a maneuvering plan, through controlling the propulsion and attitude of the satellite.

- Execution of payload activities as specified by a payload plan, through controlling the payload and attitude of the satellite.
- Protection of the satellite hardware by limiting attitude inputs (similar to an aircraft envelope protection system) and by monitoring and correcting hazardous states such as "Forbidden attitudes."

In this level of automation, the satellite's onboard controller is just an assisting tool for assisting mission operations. It does not have the responsibility to deconflict overlapping plans, which is still done in the ground by mission operations. However, it deals with the coordination of payload and maneuvering operations as well as various subsystems interaction. The OBC is an automated controller that controls other automation (the propulsion controller, the attitude controller, and the payload controller). Because of this, the model of this automation is slightly more complicated than the model of the automated controller depicted in the generic conceptual architecture in Figure 9.

### **Operating modes**

The OBC implements three different modes. A nominal mode in which payload and maneuver operations can be done, a fault-handling mode and a shutdown or software update mode. In this last mode, the controller is unavailable for any operation and only responds to software update related commands. Within the nominal mode, each controller, and consequently the satellite, can be in maintenance, idle, payload, or maneuvering modes. The switch between modes is done by commands and plans provided by mission operations.



Figure 20 - OBC Operating modes

# Interface

A maneuver plan consists of maneuvers and the required parameters. Like any other plan, maneuver plans can be real-time or time deferred. Due to the specificity of a maneuver, most maneuvers are time deferred. Maneuvers are a combination of burns at different points in the orbit along with specific angles and durations. There are widespread maneuvers, like a Hohmann transfer, that changes the altitude of an orbit with two burns, but any specific maneuver can be implemented depending on the applications. GEO satellite maneuvers will probably be different from those for a LEO satellite constellation. Following the same example that was proposed for A1, a more straightforward interface and more abstract can be communicated to the OBC to perform a phasing maneuver.

- Maneuver 1:
  - o maneuver-type=Hohmann, delta-semimajor=+10Km
- Maneuver 2
  - o maneuver-type=Hohmann, delta-semimajor=-10Km

The OBC then coordinates and provides all the low-level commands that are needed to perform the maneuver, as was done previously by satellite controllers.

Payload plans are still the same as in architecture A1, with the difference that they are directly provided to the OBC without the intervention of the satellite controllers.

Finally, because the satellite controllers are not in direct control of the subsystems any more, it was necessary to add a maintenance mode command to change the mode of the satellite to allow manual maintenance to be provided by a satellite controller. In this mode, satellite controllers regain access to low-level functionality of the satellite and propulsion, and payload maneuvers are forbidden.

## Control algorithm

The OBC control algorithm can be divided into two principal control algorithms. One for controlling the satellite orbit by performing orbital maneuvers and one for controlling the use of the satellite payload. These two control algorithms require different types of models, with the only overlap in the models related to the attitude that is needed for both control actions. And, as with the other automated controllers, each control algorithm is divided into the control action generation and the update of the models.

For controlling the satellite maneuver operations, the OBC has to maintain a model of the controlled process, which in this case is the propulsion subsystem status and actuators status, the current orbit and the satellite attitude as well as configuration information like mass, propellant, and inertia moments. This update is done by sensor feedback from the propulsion and attitude controllers, respectively, and by information provided on ground previously to launch or updated during operations. The controller also has to maintain the status of the operational modes of the attitude and propulsion controllers. Then, with the updated models,

it has to provide the appropriate control actions for the propulsion controller (arm, disarm, and propulsion commands) and the attitude controller (attitude command).

Similarly, for controlling the satellite payload operations, the OBC has to maintain a **model** of the controlled process, which in this case is the satellite attitude and payload status also updated by sensors and indirect data loads. The controller also has to maintain the status of the operational modes of the attitude and payload controllers. With the updated models, it has to provide the appropriate control actions for the attitude controller (attitude commands) and payload controllers (payload on, payload off, and ad-hoc commands).

Moreover, the OBC now has the responsibility to keep track and implement the operational satellite mode presented in Figure 20. This current mode can be changed in several ways. The satellite controller can request the OBC to change to maintenance mode if needed. Also, the payload and maneuver plan will trigger mode changes when the conditions for the plans are met (i.e., a particular time or location is reached). Automatic mode changes to fault-handling or shutdown modes can also happen if the OBC detects a fault.

Other possible models like the **model of the human controller and model of other controllers** are not applicable because the system does not have any other controller or the human controller is not monitored.

### 4.6.1.2. Mission operations

Mission operations is still a very similar organization, and it is responsible for the proper execution of the company goals while maintaining a healthy and reliable constellation. They still have the entire constellation management responsibility, but the addition of a certain level of automation on the satellites simplifies some aspects of their duties. As in A1, the responsibilities and models for each of the sub-controllers of mission operations are included.

## 4.6.1.3. Satellite controllers

Satellite controllers' responsibilities are changed from A1. Their primary responsibilities now are:

- Operations:
  - Apply the defined maintenance and operations requested by other teams
  - Monitor that the automation is performing as expected
- Health monitoring:
  - Monitor the health of the satellites (and other elements of the system not included in this conceptual diagram) and resolve conflicts.
  - Provide troubleshooting and anomaly resolution

Most of the responsibilities have now been translated to the OBC, and the remaining responsibilities are maintenance and health monitoring. In addition, they have to monitor that the OBC is performing as expected. In contrast to architecture A1, to go to maintenance mode, a specific must be provided to the with the appropriate coordination with Payload and ODT. Satellite controllers time-sensitive workload changed, but the remaining responsibilities did not change, with the addition that they now have one more complex controller to monitor.

The decision-making process is done by using the information in the mental models they have to update, plus the goals, training, written procedures, and experience. Satellite controllers are also affected by external controllers and external factors like time pressure or objectives. For *each* satellite, they have to maintain four different mental models:

- Model of automation: status and mode of each controller on each satellite (for the attitude controller, the propulsion controller, and the payload controller with the addition of the OBC two internal controllers).
- Model of the controlled process: current orbit, attitude, and payload status of each satellite as well as which mode each satellite is in according to Figure 15.
- Model of the environment: what might affect the satellite operations such as the ground station and connection issues.

## 4.6.1.4. Orbital dynamics team

One significant change in this architecture is that ODT now provides and monitors the satellites directly without the intervention of the satellite controllers. While coordination and information sharing are still necessary, the low-level aspects of the maneuvering in a burn plan previously done by the satellite controllers are now translated into maneuvers plans that can go directly to the satellites. The team is still responsible for controlling the orbit of the satellite in accordance with mission management requirements and with system operational requirements. Specifically, they are responsible for:

- Defining the best constellation shape for the coverage requirements provided.
- Maintaining the satellite position in the defined constellation shape by monitoring the current state and issuing burn plans. (If AD1=Tight)
- Avoiding in-orbit collisions
- Decommissioning satellites, when instructed by mission operations by issuing burn plans.

To do so, the ODT provides maneuver plans for each satellite. In addition to the previous architecture, they not only have to monitor the controlled process (the orbit) but also ensure that the automation is doing what it should be doing. So, they are now supervisors of automation too. In order to support their decision-making process, they have to maintain different mental models.

- Model of the controlled process: Member satellite current orbit and information about the maneuvering capabilities of each satellite as well as state variables like the remaining propellant, for example.
- Model of automation: Mode and status of the OBC orbit controller and how it is going to behave under different maneuver plans.
- Model of the environment: Non-member satellite orbits that can be a hazard for the member satellites, perturbances that are affecting or will affect the current constellation shape (space weather)
- Model of other controllers: Because the satellite attitude is shared with the payload operations, ODT needs to maintain a model of what the Payload team is doing in order not to overlap the operations.

The update process of these models is essentially the same as in the previous architecture, with the addition of monitoring the OBC orbit controller through feedback provided through the plan status. With this update process, the ODT now issues maneuver plans which allow them to abstract the specifics of the low-level satellite operation and concentrate on the overall strategy of orbit maintenance.

# 4.6.1.5. Payload team

The payload team has the same responsibilities as in architecture A1, but now they rely on the OBC to execute payload operations. Similarly, to the ODT, the Payload team now provides direct control actions to the satellite without going through the satellite controllers. As with the maneuver plans, payload operations are now controlled through payload plans, which are higher-level commands that can then be translated by the OBC into attitude and payload commands. In order to support their decision-making process, they have to maintain different mental models.

- Model of the controlled process: what is each satellite payload doing, where is each satellite of the constellation, and what is each satellite capable of.
- Model of automation: Mode and status of the OBC payload controller and how it is going to behave under different maneuver plans.
- Model of other controllers: Because the satellite attitude is shared with the payload operations, ODT needs to maintain a model of what the ODT is doing in order not to overlap the operations.

As with the ODT, they now have to also monitor the automation of the OBC to ensure that it is doing what it is expected to do and to intervene if not.

### 4.6.1.6. Mission management

Mission management keeps the same role as in architecture A1. Because they oversee the payload team, orbital dynamics team, and satellite controllers, there is no change from their perspective of the system.

## 4.6.2. Unsafe control actions

From the control structure of this architecture, UCAs were identified following the same process as used for on architecture A1. Comparing the UCA table to the one in architecture A1, it is possible to note some similarities. Thrust and Torque UCAs are the same as those found for A1 as well as maneuver and payload plan (with the difference that burns plans are now maneuver plans). Similarly, all the UCAs related to the propulsion, attitude, and payload controllers are the same because the interfaces are still the same. However, the scenarios and causal factors for these UCAs are different because the OBC is providing them instead of mission operations are providing them. Table 14 provides a summary of these similarities and differences.

Control Action	A2 Comparison to A1
Thrust	Same UCAs
Torque	same scenarios
Arm	
Disarm	
Propulsion command	Same UCAs
Attitude command	Different scenarios
Payload on	
Payload off	
Maneuver plan	Same UCAs
Payload plan	same scenarios
Start maintenance	New for A2

Table 14 – A2 and A1 UCA Table comparison

Only new UCAs were created for the start maintenance command and are presented in Table 15. The complete UCA table can be found in Appendix D.

Control action	Not providing	Providing	Too early, too late, out of order	Stopped too soon, applied too long
Start maintena nce	UCA-A2.51: Satellite controllers do not provide a start maintenance command when a satellite is malfunctioning [H1, H3]	UCA-A2.52: Satellite controllers provide a start maintenance command when a satellite is functioning as expected. [H1]	UCA-A2.53: Satellite controllers provide start maintenance too late when a satellite is malfunctioning [H1, H3]	N/A

Table 15 - A2 UCA table fragment

As seen before, a simple command like maintenance can be unsafe if it is provided in the wrong context.

Because UCAs are mostly the same, only new constraints for satellite operators are created in contrast with architecture A1. Those UCAs that were constraints for mission operations are now constrains for the OBC, but the same concept applies. Only a few new component-level constraints are added from architecture A1 and are included in Table 16.

UCA	Derived Constraints
UCA-A2.52	SC-55: Satellite controllers must always provide maintenance operations through a start
	maintenance command when a satellite is manufactioning.
UCA-A2.53	SC-56: Satellite controllers must not provide a start maintenance command when a satellite
	does not require maintenance.
UCA-A2.54	SC-57: Satellite controllers must perform maintenance operations on a malfunctioning satellite
	within TBD minutes of detecting the malfunction.

Table 16 - A2 Component level constraints

# 4.6.3. Causal Scenarios

As seen in the previous section, only causal scenarios for the intermediate control actions in the control structure are different from those in A1. For example, the following are UCAs are from architectures A1 and A2, respectively:

- UCA-A1.21: Satellite controllers provide a propulsion command in a wrong direction or magnitude when the system is armed with respect to the command specified in the burn plan. [H3]
- UCA-A2.21: OBC provides a propulsion command needed for an orbital maneuver in a wrong direction or magnitude when the system is armed [H3]

The unsafe control action in these cases is almost the same, but the provider and the reasons why this can be wrong are entirely different. Table 17 shows a comparison of the causal scenarios identified for these two UCAs corresponding to architectures A1 and A2, respectively.

At first sight, it appears that UCA-A2.21 has more potential causal factors that can lead to the hazard than UCA-A1.21, but in turn, the same scenarios can be found in other controllers. For example, defining correct parameters for a burn as in Scenario 73 is a responsibility of the OBC in architecture A2. At the same time, in architecture A1 defining these parameters is a mixed responsibility between the satellite controllers and ODT. This causal factor can be understood in architecture A1 as an unsafe control action provided to the satellite controllers (Scenario 61).

UCA-A1.21	UCA-A2.21
Scenario 60: Mission operations needs to perform orbital maneuvers on a satellite to correct its orbit. The system is armed. A wrong direction or magnitude is sent to the propulsion controller because the satellite controller inputs a typo or copies and pastes an incorrect value from the burn plan. As a result, the maneuver operation is flawed, and the satellite stays in an inadequate orbit [H3]	Scenario 71: The satellite is doing orbital maneuvers. The propulsion subsystem is armed. The OBC provides a propulsion command with a wrong direction or magnitude because the algorithm specification is flawed. As a result, the satellite orbit is incorrectly modified, and the satellite ends in an inadequate orbit [H3]
Scenario 1: Mission operations needs to perform orbital maneuvers on a satellite to correct its orbit. The system is armed. A wrong direction or magnitude is sent to the propulsion controller because the burn plan incorrectly specified so. As a result, the maneuver operation is flawed, and the satellite stays in an inadequate orbit [H3]	Scenario 72: The satellite is doing orbital maneuvers. The propulsion subsystem is armed. The OBC provides a propulsion command with a wrong direction or magnitude because the algorithm specification is incorrectly implemented (does not follow the specification, i.e., wrong reference system or units used). As a result, the satellite orbit is incorrectly modified, and the satellite ends in an inadequate orbit [H3]
	<ul> <li>Scenario 73: The satellite is doing orbital maneuvers. The propulsion subsystem is armed. The OBC provides a propulsion command with a wrong direction or magnitude because it believes they are the correct parameters. This flawed process model will occur if the satellite configuration is incorrect and can happen if any of the following occur:</li> <li>Satellite mass is not updated after each burn</li> <li>Satellite current orbit is flawed</li> <li>The thrusters' location and orientation are incorrectly loaded during the launch preparation or was incorrectly updated in flight.</li> <li>The thrusters are misaligned due to a loss of structural integrity during the launch or a collision or worn-out thrusters</li> <li>As a result, the satellite orbit is incorrectly modified, and the satellite ends in an inadequate orbit [H3]</li> </ul>

More cases like these also arise with payload commands too. Scenarios are not automatically eliminated by adding automation but instead are translated and grouped into different components. The reasons for a flawed specification in the case of the algorithms in architecture A2 are entirely different from the contributing factors for a flawed training of a human controller, but the results are similar.

Arguably, it might be easier to verify and eliminate most of the flawed specification and implementation problems with algorithms than properly training and maintaining an alert and responsive human controller. The cost of both cases is challenging to compare and is out of the scope of this work but should be analyzed. It should be noted, however, that replacing humans with automation eliminates the potential flexibility of humans, for example, in dealing with unforeseen situations

Scalability is improved with A2 by reducing the workload and skills of the human controllers. A summary of all the causal scenarios found in A2 is presented in Figure 21. It is possible to see that the number of inappropriate decisions made by software has increased. In contrast, the human-made decisions have decreased due to the translation of responsibilities from human controllers to automated controllers. Communication problems causing inadequate feedback and execution of control actions are still present as well as controller failures.



Figure 21 - A2: summary of causal factors

## 4.6.4. Architecture conclusions

Architecture A2 includes the first level of automation to aid mission operations towards a more scalable and potentially safer and reliable system. Most time-sensitive responsibilities are now handled by the satellites at the cost of a slightly increased complexity of the system by adding one component in the satellites. Still, constellation management is done on the ground by human operators.

The scalability analysis of architecture A2 suggests a significant reduction in satellite controllers' workload. The responsibility of time-sensitive controlling and the potential hazard of wrongly inputting complex commands has been eliminated by translating these responsibilities to the OBC and other teams. Still, they need to perform maintenance as in the previous architecture.

However, a new responsibility arises with the addition of the automation: monitoring that the automation is performing as expected. This new responsibility requires a different type of knowledge and skills, and it is not necessarily easier than controlling the satellites manually. Furthermore, as in the previous architecture, they need to do this for all the satellites in the constellation.

The orbital dynamics team still has the same responsibilities as in architecture A1. However, the generation of control actions has been simplified by abstracting the commands from detailed burn plans to orbital maneuvers. However, they are now in direct control of the satellites, which increases their responsibilities considerably. They need to monitor the automation to ensure it is doing what it is supposed to do. As in architecture A1, they have to control each satellite independently. Their workload will probably be higher due to the monitoring of automation that was previously performed by the satellite controllers.

Similarly, the Payload team has not changed their responsibilities, and their control actions are still the same. Nevertheless, as with ODT, they now have direct control of the satellites, and they have to monitor the OBC automation to ensure that it is performing as expected. Hence, an increase in workload is expected.

From the analysis of the causal scenarios and their related hazards, it is possible to evaluate the safety and reliability aspects of this architecture. In contrast to architecture A2, most of the causal scenarios are now related to inappropriate decisions made by the automation instead of humans. Inappropriate human decisions are still a significant source of causal factors that were not eliminated. Instead, new responsibilities are added to human controllers to monitor the automation that create new causal scenarios that can lead to hazards.

Communication problems are still present because the constellation management is still happening on the ground, and management of the satellite constellation still depends on the communication of control actions and feedback from the ground to the satellites. Moreover, physical controller failure related hazards slightly increased due to the addition of one new controller. However, adding one controller to a satellite architecture implies the addition of one controller to each of the satellites in the constellation that need to be managed. So, physical controller failure should be multiplied by the size of the constellation to account for potential problems. Providing incorrect information or configuration to the controllers should also be weighted by the size of the constellation.

In conclusion, this new architecture reduces the workload of satellite controllers related to operations but increased the monitoring demand for them as well as for the rest of mission operations. Communication between the ground and satellites is still a critical factor that can affect the reliability and safety of the constellation considerably because the satellite management is still done from the ground. Mission operations have to manage each satellite individually, and hence the staffing will increase linearly (but probably slower) with the constellation size. A new source of causal factors that can lead to hazards appear due to the addition of the automation being the majority of the scenarios found. If designers choose this architecture instead of A1, the focus should be put on the correct specification and implementation of the control algorithms, their validation, and their testing.

# 4.7. Architecture A3

Architecture A3 adds one more level of automation to the constellation by adding a constellation controller on the ground. This new controller deals with the individual satellite management, removing this responsibility from mission operations who now oversee the constellation as a whole. Mission operations now focus on constellation management level monitoring and controlling the automated constellation. As explained in section 3.4.2, the level of automation represents a combination of the different management levels proposed in which some parts of the automation are fully autonomous.

In contrast, others that are less frequent are done by delegation, such as the decommissioning of a satellite. In most cases, human controller monitoring is done by exception. While this might be already known as a hazardous concept, it was found to be the only one that can improve the scalability to enable mega-constellations and the only one being considered by most operators giving it relevance to be studied.

# 4.7.1. Control structure

Architectures A3's control action is depicted in Figure 22. A new controller, the Constellation Controller, has been added between mission operations and the satellites, which is now responsible for managing each individual satellite by issuing control actions to each satellite OBC. The mission operations team now directly controls the constellation controller. Otherwise, the satellites' internal structure remains the same as in architecture A2.



Figure 22 – A3: Conceptual control structure

# 4.7.1.1. Constellation controller

The constellation controller controls and monitors the whole satellite constellation by directly managing the member satellites. Its primary responsibilities are:

- Orbit control: maintain the constellation shape, avoid in-orbit collisions and decommission satellites if needed
- Payload: Distribute the payload goals among the satellites.
- Health monitoring: make sure satellites are healthy and request maintenance if they are not.

Internally, the constellation controller has two different controllers, a shape controller, and a mission controller. This controller coordinates between these two lower-level controllers using priorities provided by mission management.

#### 4.7.1.2. Shape controller

The shape controller is in charge of maintaining the satellite constellation shape over time, avoiding in-orbit collisions, and decommissioning satellites if requested. It is controlled by a constellation shape command and a decommission command. A shape command will depend on the required type of constellation for each particular mission, for example:

- Communications mission with global coverage using a walker delta constellation; parameters i: t/p/f of a walker.
- Remoting sensing mission consisting of several SSO planes using a streets-of-coverage approach: planes (LTAN, altitude, and inclination) and the number of satellites per plane.
- Simple commands such as Satellite, Altitude, Eccentricity, Inclination, RAAN, and relative mean motion per satellite.

With these high-level constellation inputs, the shape controller monitors the different satellites of the constellation and issues the necessary maneuver plans to individual satellites to keep them in the correct location. It also has to avoid in-orbit collisions with member and non-member satellites by monitoring external collision notifications or by calculating potential collisions internally.

The shape controller has to update the model of the controlled process, which, in this case, is the ephemeris of each satellite and the status of each satellite on-board computer and subsystems. Moreover, the controller process has to maintain a model of the operational mode, which is the state that each satellite has or will have at a particular moment. Also, a model of the environment, consisting of the other satellites and potential collision notifications, has to be maintained to avoid in-orbit collisions. All these models are updated by the control algorithm of the shape controller with feedback provided by each of the satellites as well as third-party sources. Deconflicting inconsistencies between different sources is done within the controller.

### 4.7.1.3. Mission controller

The mission controller is in charge of tasking each satellite with the correct payload plan according to the instructed mission goals. As for the shape, what exactly this command is will depend on the application, for example:

- For asset monitoring: listen to incoming AIS transmission from ships when each satellite is above the water.
- For remote sensing: provide image coverage over a particular area of interest.
- For navigation satellites: Provide navigation aid signals all the time.
- For TV broadcast: provide coverage over a particular country and retransmit all the incoming feeds.

Multiple missions might be issued at the same time for multiple goals if needed. Deconflicting them is the responsibility of the mission controller.

In order to perform its duties, the mission controller has to maintain a model of the controlled process, which is, in this case, the location of each satellite in the constellation as well as the capacity that each satellite has in terms of payloads. The mission controller also has to maintain a model of the operational mode of each satellite and each payload subsystem to distribute the mission goals effectively. These models are updated by information and feedback provided by the satellites and by mission management.

## 4.7.1.4. Mission operations

For this architecture, mission operations have a very different role compared to architectures A1 and A2. While they still have the same responsibilities of managing the constellation, now they do not have to control each satellite independently. Responsibilities and models for each of the sub-controllers of mission operations are included below.

# 4.7.1.5. Satellite controllers

In this architecture, the Satellite controller's role is now mostly monitoring the constellation controller automation and responding to alarms. Satellite controllers do not have to monitor each satellite health or internal automation, which is now performed by the constellation controller. Their primary responsibilities now are:

- Operations:
  - Monitor that the automation is performing as expected
  - Apply the defined maintenance and operations requested by other teams
- Health monitoring:
  - Provide troubleshooting and anomaly resolution

However, while the responsibilities are reduced, the complexity of these tasks increases. Satellite controllers now have to maintain models of the automation, which in this case is not only the shape controller and the mission controller within the constellation controller but also the individual satellite states to ensure that the automation is performing as expected. To do so, satellite controllers use the feedback coming from the constellation controller, and they might also need to observe the individual status of each satellite. This feedback line is included in the diagram as a light dashed red line. If a manual resolution of a conflict with an individual satellite is needed, they should be able to control the satellites as in architecture A2.

#### 4.7.1.6. Orbital dynamics team

The ODT now has similar responsibilities, but the constellation controller now performs the management of the individual satellite orbit. In particular, monitoring for in-orbit collision and the correct position of the satellites in the constellation, one of the most time-intensive tasks, is now the responsibility of the constellation controller. The orbital dynamics team's new responsibilities are:

- Defining the best constellation shape for the coverage requirements provided and communicating it to the shape controller.
- Monitoring that the shape controller is performing as expected.
- Decommissioning satellites, when instructed by mission operations by issuing burn plans.

They communicate their constellation needs to the constellation controller through the constellation shape and decommission commands and then monitor that the system is performing as expected. In order to perform their duties, they have, as with satellite controllers, to maintain models of the shape controller automation, as well as each satellite orbit to verify that the system automation is working as expected. They also have to maintain a model of the non-member satellite orbits to ensure that the in-orbit collision avoidance is being handled

adequately. This information is shown in the control structure as a dashed black edge going from the third party SSA supplier.

Depending on the final automation strategy, the ODT might need to provide consent before the constellation controller executes an orbital maneuver. Intent and consent feedback and control lines are included in the control structure as an example. Nevertheless, a detailed analysis of the unsafe control actions that the automation strategy might create and possible causal scenarios is left for future work.

# 4.7.1.7. Payload Team

The payload team has the same responsibilities as in architectures A1 and A2, but the constellation controller now performs the management of the individual satellite orbits. They translate mission goals into particular mission objectives that are provided to the constellation controller. Then, the payload team monitors the system to ensure that it is behaving as expected.

In order to do this, the payload team has to maintain a model of the mission controller automation, in which state it is and how the it is controlling each satellite. Also, they have to keep updated a model of the constellation coverage to new objectives accordingly. This is done by information provided by the orbital dynamics team.

As with the ODT, depending on the final automation strategy, the payload team might also need to provide consent to different actions of the mission controller before each mission plan is issued to a satellite. Consent and intent flow are represented in the diagram as an example, but a detailed study of the implications is left for future work, as in the case of the ODT.

### 4.7.1.8. Mission management

Mission management keeps the same role as in architecture A1. Because they oversee the payload team, orbital dynamics team, and satellite controllers, there is no change from their perspective.

## 4.7.2. Unsafe control actions

From the control structure of this architecture, UCAs were identified following the same process as for architectures A1 and A2. Comparing the UCA table to the one found for A2, all the UCAs corresponding from the OBC down to the controlled process are the same as well as their associated scenarios because the architecture is the same. Maneuver plan, payload plan, and start maintenance also have the same unsafe control action definition. However, the reasons and causal scenarios are different because now they are provided by the constellation controller instead of mission operations. A comparison of the UCA table for A3 with respect to A2 is provided in Table 18. The complete UCA table for architecture A3 can be found in Appendix E.

Control action	A3 Comparison to
	A2
Thrust	Same UCAs
Torque	Same Scenarios
Arm	
Disarm	
Propulsion command	
Attitude command	
Payload on	
Payload off	
Maneuver plan	Same UCAs
Payload plan	Different scenarios
Start maintenance	
Constellation shape	Different UCAs and
De-orbit	scenarios
Mission goals	

Table 18 - A3 and A2 UCA Table comparison

New UCAs are now considered including the Constellation Shape, De-Orbit, and Mission goals commands. The new control actions are now at constellation management levels. These control actions are implicitly defined within the control algorithms or decision-making processes of the different teams of mission operations. In this architecture, they are externalized and communicated to the constellation controller and can also lead to hazards. A fragment of the complete UCA table including these new control actions is provided in Table 19.

From these new UCAs, it is possible to define new component-level constraints. These new constraints are presented in Table 20. As in the previous architecture, these hazard and system-level constraints definition can guide constellation designers to design a safer and more reliable system by particularizing the constraints into more specific system-level and then component-level requirements.

Control	Not providing	Providing	Too early, too late, out	Too soon /
action			of order	Too late
Constella	UCA-A3.56: The ODT does	UCA-A3.57: The ODT	N/A	N/A
tion	not provide a constellation	provides a constellation		
shape	shape when the current one	shape with the wrong		
•	is not compatible with the	parameters when a change		
	coverage requirements.	in the shape is needed.		
	[H3.1]	[H3.1].		
De-orbit	UCA-A3.58: ODT does not	UCA-A3.59: ODT	UCA-A3.60: ODT	N/A
	provide a satellite de-orbit	provides a satellite de-	provides a satellite de-	
	command when requested	orbit command with	orbit command too	
	by mission management.	wrong parameters when	late when requested by	
	[H3.2]	requested by mission	mission management.	
		management. [H3.2, H3.3]	[H3.2]	
Mission	UCA-A3.61: Payload team	UCA-A3.62: Payload team	UCA-A3.63: Payload	N/A
goals	does not provide a mission	provides a mission	team provides a	
	objective when needed for a	objective with incorrect	mission objective too	
	new mission goal [H1]	parameters [H1, H2]	late when needed for a	
			new mission goal [H1].	
	new mission goal [H1]	parameters [H1, H2]	late when needed for a new mission goal [H1].	

Table 19 - Fragment of UCA table for architecture A3

UCA	Derived Constraints
UCA-A3.56	SC-14: ODT must monitor that the current constellation coverage meets the coverage
	requirements.
	SC-15: If the constellation coverage does not meet the coverage requirements, ODT must
	provide a constellation shape to correct it.
UCA-A3.57	SC-16: ODT must provide constellation shape commands with the correct parameters when the
	current constellation coverage does not meet the coverage requirements.
UCA-A3.58	SC-17: ODT must provide the proper de-orbit command when requested by mission
	management.
UCA-A3.59	SC-18: ODT must provide de-orbit commands with correct parameters (avoiding populated
	areas, for example).
UCA-A3.60	SC-19: ODT must provide de-orbit commands TBD minutes after being requested by mission
	management to avoid losing contact with the satellite or avoid running out of propellant or
	maneuvering capacity to de-orbit a satellite.
UCA-A3.61	SC-20: Payload team must provide mission objectives in line with mission goals when requested
UCA-A3.62	SC-21: Payload team must provide mission objectives with the correct parameters when needed
	for a mission goal.
UCA-A3.63	SC-22: Payload team must provide mission objectives TBD minutes after being requested by a
	mission goal to avoid losing the opportunity window.

Table 20 - A3 Component level constraints

## 4.7.3. Causal scenarios

Compared to A2, there is a new set of causal scenarios found for A3. Some of these scenarios are related to the same UCAs as in A2 but are now provided by the constellation controller instead and those associated with the new UCAS. For example, the following UCAs can be found in A2 and A3:

Architecture A2	Architecture A3	
UCA-A2.40: ODT does not provide a maneuver plan	UCA-A3.40: The shape controller does not provide a	
when a satellite is reaching or outside the location	maneuver plan when a satellite is reaching or outside	
requirement [H3.1]	the location requirement [H3.1]	
UCA-A2.43: ODT provides a maneuver plan when a	UCA-A3.43: The shape controller provides a	
satellite is performing a payload operation [H1, H3]	maneuver plan when a satellite is performing a	
	payload operation [H1, H3]	
UCA-A2.49: Payload team provides a payload plan	UCA-A3.49: The constellation controller issues a	
when a satellite is performing a maneuver operation	payload plan when a satellite is performing a	
[H1, H3]	maneuver operation [H1, H3]	
Table 21 - A2 and A3 example UCAs comparison		

While the unsafe action and associated hazards are the same, the reasons why the Constellation controller and the ODT would provide them are entirely different. In this case, control actions are generated by the control algorithm in the constellation controller, and the causal factors are different. For example, for UCA-A3.40, the following scenarios were found:

- Scenario 127: A member satellite is reaching the limit of or is outside the location requirement. The constellation controller fails or is non-operative. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in an inadequate orbit. [H3.1]
- Scenario 128: A member satellite is reaching the limit of or is outside the location requirement. This condition is not detected because the detection system in the constellation controller is flawed or takes too long. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in an inadequate orbit. [H3.1]
- Scenario 129: A member satellite is reaching the limit of or is outside the location requirement. The constellation controller gets contradictory ephemeris information from satellite telemetry and third-party SSA supplier. A maneuver plan is not issued to the OBC because the requirements did not specify what should be done when there is contradictory information. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in an inadequate orbit. [H3.1]
- Scenario 131: A member satellite is reaching the limit of or is outside the location requirement. The constellation controller does not send a maneuver plan to the OBC because it incorrectly believes that the satellite is in a correct orbit. This flawed process

model will occur if satellite ephemeris is not received or received too late, and outdated information is used. This can happen if any of the following occur:

- There is no ephemeris information from the third-party SSA, and ephemeris from the satellite is not received due to a communication problem or arrives too late and outdated ephemeris.
- There is no ephemeris information from the satellite, and ephemeris from the third-party is not received due to a communication problem or arrives too late.
   As a result, the satellite stays in an inadequate orbit. [H3.1]

Scenario 127 represents a physical failure of the controller, Scenario 128, and 129 a flawed specification or implemented algorithm, respectively, and scenario 131 inadequate feedback. The same type of scenarios was found for all the automated controllers that are now added in architecture A3 with respect to A2. The complete list of causal scenarios can be found in Appendix E.

Also, new causal scenarios were found for the new UCAs present in this architecture. A few examples are provided in Table 22.

UCA	Scenarios
UCA-A3.56: The ODT does not provide a constellation shape when the current one is not compatible with the coverage requirements. [H3.1]	<ul> <li>Scenario 164: A new constellation coverage is required (i.e., changing an altitude or LTAN of individual satellites in a plane). ODT does not provide a constellation shape command because it is not monitoring the constellation shape waiting for an alarm. As a result, the satellites are in an inadequate orbit [H3.1].</li> <li>Scenario 165: A new constellation coverage is required (i.e., changing an altitude or LTAN of individual satellites in a plane). ODT does not provide a constellation shape command because they incorrectly believe that the constellation has the correct shape. This flawed process model can occur if information about the satellite ephemeris is not updated due to a communications problem. As a result, the satellites are in an inadequate orbit [H3.1].</li> </ul>
UCA-A3.62: Payload team provides a mission objective with incorrect parameters [H1, H2]	<ul> <li>Scenario 171: The constellation has the required coverage, and satellites are operational. The payload team provides a mission objective with incorrect parameters (incorrect target location, acquisition or transmission requirements, etc.) to the payload team due to a misunderstanding of the mission goals due to lack of experience or training. As a result, satellites are unable to perform their objectives [H1, H2]</li> <li>Scenario 172: Payload team provides a mission incompatible with the constellation capacity because they incorrectly believe that the coverage requirement issued to the ODT is satisfied. This flawed process model will occur if coverage status</li> </ul>

UCA	Scenarios
	feedback information is wrongly interpreted or ignored and can happen if any of the following occur:
	- The payload team does not check the information before issuing a goal because
	they are used to the constellation, and it has always met the requirement in the
	past.
	- The payload team misunderstood the coverage that the constellation has due to
	a lack of training.
	As a result, no satellite can perform its objectives towards the mission goal. [H1]
	Scenario 173: Payload team provides a mission incompatible with the constellation
	capacity because they incorrectly believe that the coverage requirement issued to
	the ODT is satisfied. This flawed process model will occur if coverage status never
	arrives or is delayed and is incorrectly assumed as good and can happen if any of
	the following occur:
	- ODT does not communicate current constellation coverage capabilities on time
	(or at all)
	- ODT does communicate current constellation coverage on time (or at all) to
	mission management due to communication problems.
	- ODT coverage report takes too long, and when it arrives, it is outdated.
	As a result, no satellite can perform its objectives towards the mission goal. [H1]
	Scenario 175: The constellation has the required coverage, but not all the satellites
	are operational or updated with the correct software. The payload team provides a
	mission objective that is outside the current constellation capacity because they
	incorrectly believe that satellites are operational and updated. This flawed process
	model will occur if constellation operational status feedback never arrives or
	arrives late and is assumed right and can happen if any of the following occur:
	- The satellite controllers never issue a constellation status.
	- Constellation status is issued but is never received by mission management due
	to communications problems.
	- Constellation's operational status updating process takes too long, and the
	information is outdated when needed.
	As a result, no satellite can perform its objectives towards the mission goal. [H1]

Table 22 - A3: Example causal scenarios

Remarkably, these new scenarios regarding managing the constellation are also applicable for the architectures A1 and A2, but they were not identified at the level of abstraction of those architectures. One possibility is that all these causal scenarios also existed in the previous cases but were implicit in the decision-making processes of the different human controllers and not evident at the control structure level. This separation of responsibilities allowed identifying a whole new set of causal scenarios that, in retrospect, are also valid for previous architectures. A summary of all the causal scenarios found in architecture A3 is presented in Figure 23. The number of inappropriate decisions made by the software is higher than those related to human-made decisions due to the translation of responsibilities from human controllers to automated controllers. Still, communication problems causing inadequate feedback and execution of control actions are present as well as controller failures.



Figure 23 - A3: causal scenarios summary

## 4.7.4. Architecture conclusions

Architecture A3 provides a new level of automation that allows human controllers to manage a constellation instead of a group of individual satellites in comparison to previous architectures. Moreover, individual satellite management by humans does not improve the scalability of the system considerably.

The scalability analysis performed using the control structure of A2 showed a significant improvement compared to architectures A1 and A2. From the diagram, it is possible to see that only satellite controllers have red control actions and feedback. This implies that most of the organization is not involved in individual satellite management. At the same time, the rest of the mission operations now only provides control actions and receives feedback at a constellation level. Even more important, operational duties of the satellite controllers are now mostly maintenance, which is most probably a low-frequency responsibility. However, as happened in architecture A2, the addition of a new automated controller creates a new responsibility for the human controllers to monitor it apart from the controlled process to ensure that it is working as expected. From the analysis of the causal scenarios and their related hazards, it is possible to evaluate the safety and reliability aspects of this architecture. Most of the causal scenarios are now related to inappropriate decisions made by the automation instead of humans. However, new responsibilities were added to human controllers to monitor the automation, which creates new causal scenarios for hazards. Responsibilities and potential contributions to hazards have been translated from humans to automation but not eliminated. Incorrect or inadequate feedback is still a potential source of problems that is independent of the type of controllers. Communication problems are still present because the constellation management is still happening on the ground, and management of the satellite constellation still depends on the communication of control actions and feedback from the ground to the satellites. Finally, physical controller failure related hazards slightly increased due to the addition of one new controller.

In conclusion, adding the constellation controller considerably helped in the scalability of the system and allowed human controllers to remain in the loop making the most high-level and impactful decisions. This new level of abstraction helped find new types of causal factors that were hidden in previous architectures. Finally, system reliability and safety issues are still present but are now handled and caused by different causal factors. At this level of automation, it is critical to address the design of the human-automation interface carefully with the help of human factors experts to avoid complacency, overreliance and other common pitfalls that might threaten the safety and reliability of the system.

### 4.8. Architecture comparison

The architectures studied produced different very different results regarding scalability, safety, and reliability. A comparison of them is presented here.

Regarding scalability, architecture A1 showed the worst performance. Satellite controllers, payload teams, and orbital dynamics teams are all in charge of every aspect of the individual satellite operation, requiring a staff that depends heavily on the constellation size. The staff also need to have an extensive set of skills to manage sophisticated spacecraft with real-time or near real-time duties.

Architecture A2 showed an improvement in scalability by relocating most real-time and sequencing-dependent tasks to the onboard controller on each satellite. This improvement reduces the workload considerably but still requires individual management of satellite by mission operations. Arguably, it might be possible to manage a constellation like A2 with a considerably smaller staff than in A1.

Finally, architecture A3 resulted in a disruptive change in scalability by transferring all the individual satellite responsibilities to the constellation controller leaving only constellation management responsibilities to mission operations. However, in architectures A2 and A3, the incorporation of new automation adds new monitoring responsibilities to mission operations. Human operators now monitor the automation, and then, it is not possible, or unwise, to altogether remove them from the system. This is most probably less demanding than the gains from moving to A2 to A3, netting a positive scalability effect in A3.

Comparing the system's reliability and safety is not as straightforward as scalability. It is not possible to determine the probability of occurrences of the different scenarios from the results obtained with STPA (that would, in turn, change the focus of the analysis towards a probabilistic risk assessment). However, it is possible to examine the different types of causal factors that can lead to hazards in a qualitative way. Figure 24 shows a comparison of the causal factors found for each architecture. The most significant difference is found between hazards caused by inappropriate decisions made by humans versus those made by automation. Architecture A1 has predominant human inappropriate decisions as a causal factor, while architecture A3 has mostly automated inappropriate decisions. This shows that the addition of automation does not remove the potential causal factors that can lead to hazards but instead only change their causal factors. More causal factors were found in architecture A2 than in A1 and in A3 than A2 because there are more components and interactions that can contribute to the losses.



Figure 24 - Comparison of causal factors

Also, the number of causal factors associated with inadequate feedback and execution increased from architecture A1 to architecture A3 due to the increased number of interactions needed between components of the system, suggesting that more automated systems might be more unreliable or unsafe in this aspect. Finally, the controller failure causal factors also increased due to the increase in automated controllers. Interestingly, the chart in Figure 24 does not correctly represent the amount of potential causal factors found in architectures A2 and A3 because only one OBC is considered in each case when one OBC per satellite was added, scaling the number of causal factors linearly with the constellation size. However, there might be a differentiation in those systemic causal factors and those individual causal factors that in a harsh environment like Earth orbit can predominate. As explained in the architecture A3 conclusions, most of the human-related scenarios found in A3 should also be considered in architectures A1 and A2 but were not directly found during the process.

Up to this point, most of the differences arise from the level of automation (AD5). However, the remaining architectural decisions (AD1-AD4) also impacted each architecture. All the analyses were made considering a tight constellation shape. However, not having the requirement of a tight constellation shape removes a considerable number of hazards, UCAs, and causal scenarios, reducing the most demanding tasks from the ODT in architectures A1 and A2 impacting positively in the scalability. For architecture A3, scalability (concerning the management of the constellation) is not affected by the constellation shape because it is handled by the automation.

Similarly, ground connectivity has a significant impact on architecture A1 where all the time-sensitive operations are done manually. Having an intermittent connection to the satellites will affect the update of the mental models of the satellite controllers and reduce the chances of intervention for time-critical threats. This problem is considerably minimized in A2 and A3, where the OBC performs most time-sensitive tasks in the satellites without the need for low-level management from the ground. Finally, inter-satellite connectivity from a control perspective was found irrelevant. No architecture needed the addition of control or feedback lines directly between satellites. However, having inter-satellite connectivity to provide a backhaul network and reduce gaps in connectivity to the ground is still an advantage, as explained. In contrast, having inter-satellite connectivity will be mandatory for a distributed architecture, in which automated roles such as collision avoidance or re-tasking is implemented on each satellite.

Table 23 presents a summary of the effect of the different architectural decisions on the scalability, reliability, and safety of the constellation.

Architecture	Scalability	Safety and reliability
AD1: Shape [Loose / Tight]	A tight shape increases the staffing need in ODT if LoA is not	A tight shape increases the number of hazards and the associated causal factors.
	autonomous.	
AD2:	Does not affect	Intermittent ground connectivity affects
Ground		negatively, mostly if LoA is manual.
connectivity		
AD3:	Does not affect	Does not affect
Inter satellite		
Connectivity		
AD4:	Not having maneuver capability	Not having maneuvering capability impacts
Maneuvering	reduces considerably the staffing	negatively on the safety of the system because
capability	needed.	hazardous states cannot be avoided or corrected.
۸ ۳۲۰	Manual and assisted control requires	Increasing the level of automation does not
Level of	staffing that grows linearly (but at	eliminate the hazards but only changes the type
automation	different rates) with the constellation	of causal factors associated.
	size. Autonomous has a minimal	
	correspondence between staffing and	
	constellation size but not zero.	

Table 23 - Impact of architectural decisions in system properties

# Chapter 5: Conclusions, recommendations and future work

This research aimed to understand the implications that the size and the architecture of a satellite constellation might have on the system emergent properties of scalability, safety, and reliability. The study of these emergent properties is imperative for satellite constellation designers and operators because the increasing scale of these ventures is creating new challenges and risks compared to all previous missions. Technical and economic success relies on how a satellite constellation operation can be scaled and how safe and reliable it is. Based on real-world constellations, three generic, yet representative, conceptual architectures were analyzed using STPA and compared to assess their differences and advantages.

Using the novel concept of a conceptual architecture proved to be a proper tool for analyzing this type of system. It helped to analyze the problem from a perspective that showed many design issues that can seriously affect the emergent properties of a system without having any particular details about the implementation. Remarkably, at this level of abstraction, a large number of critical issues appeared in the analysis that most probably would only appear later during operations, when it is already too late to fix them effectively and cheaply. This experience showed that investing time at this level of abstraction is worthy and should not be skipped.

Moreover, STPA as an analysis method helped refine the architectures and deeply understand the critical interactions between its components. However, the generic approach of the research made defining causal scenarios challenging. When using STPA, casual scenarios are specified with some knowledge of the system, which was unavailable at this level of abstraction. Nevertheless, it was possible to define generic casual scenarios that might represent real casual scenarios in a more detailed development phase. Overall, the methodology proved to be a comprehensive and straightforward systems engineering tool that can help to determine how to define the right product.

The results suggest that system scalability can be improved by gradually adding automation to take over the responsibilities of human operators. However, the real breakthrough appears when the human controller's responsibilities are focused on managing the constellation as a whole instead of managing each satellite individually. This separation can only happen if an automated constellation controller is included between human controllers and the satellites, as was represented in architecture A3. If this is not the case, then, independent of how much automated each satellite has, the staffing required to operate the constellation will depend on the constellation size. However, this approach might be the only option for designers if a reduced cost of operation is necessary. The results also revealed that adding automation does not necessarily improve the safety and reliability of the constellation. Most of the causal factors that lead to hazards and, eventually, commercial and technical losses appeared to be transferred from human-related causal factors to software-related causal factors. Inappropriate decisions caused by confused, tired, or ill-trained human controllers were converted into software specification and implementation flaws. Which type of problems are harder and more expensive to solve is still an open question, and it also relates to the size of the constellation. In addition, designers have the consider the flexibility of human operators to make decisions in previously undefined circumstances and prevent serious losses compared to automation, where all responses must be predefined or learned from experience (which may be missing or misleading).

According to the case studies analyzed during the research, it might be possible to maintain a constellation operation of 100 satellites with less than eight people in mission operations. Trade-off analysis between the operational cost of manual operation and the development cost of an automated system should be performed to determine the best option for each system.

In addition, a considerable number of causal scenarios were found to be independent of the decision-making process and hence the type of controller. Those causal scenarios were related to feedback and control action communication issues, not only between ground and space segments but also within each segment. The unsafe interaction of the elements of a system is known as a significant contributing factor in modern complex systems, and the addition of automation components proved to increase the complexity, the number of interactions, and, consequently, the causal scenarios that can affect the system. At the same time, the addition of automation requires human monitoring, and this new task might be even more demanding than controlling the system manually in some cases.

Other architectural characteristics also showed a considerable effect on the system's emergent properties. Satellite connectivity was shown to be critical, in particular for systems with a very low level of automation. Real-time or near real-time operations require constant monitoring and controlling. Human operations need to maintain updated multiple models to drive their decision-making process while highly automated satellites can operate without contact with the ground for more extended periods.

Interestingly, it was found that no communication was required between satellites when using a centralized constellation controller on the ground. However, inter-satellite communication is a helpful strategy to improve satellite connectivity and minimize communication gaps.

Finally, it was found that a loose constellation shape might alleviate the amount of workload of satellite controllers and the potential service problems considerably due to the high demand of keeping the satellites in the correct place. However, in-orbit collision and satellite decommissioning cannot be avoided, and not having maneuvering capabilities was found to decrease the constellation safety and reliability due to the lack of control-authority under hazardous situations.

Based on these conclusions, future satellite constellation designers and operators should carefully analyze the architectural approach of their constellations. The analyzed architectures and the derived constraints and requirements can be used as a starting point to design specific satellite missions.

Most importantly, practitioners should analyze and decide what to automate and how to do it safely and reliably in the early stages of development. In this study, each architecture represented a complete manual or automated alternative, but different parts of the systems can be automated at different levels. For example, infrequent operations like commissioning or decommissioning are good candidates for human controllers, while station-keeping and in-orbit collision avoidance might be better for an automated system. How to implement the automation is also critical. Designing a safe and reliable human-automation system has been proved to be challenging. As proposed by Leveson, human-factors experts should be consulted and incorporated in the design teams to help with the design of the automation systems. It might also be necessary to perform studies to understand the optimal trade-off between automation and human staffing, depending on the expected size of the constellation.

Moreover, it might be beneficial to study other well-established industries, like the aviation industry, to understand the effects of automation in human controllers, as in the case of pilots and air-traffic controllers. It might also be worthy of understanding why extremely complex automation systems, like the advanced automated air traffic control system, never was used in order to avoid the same pitfalls. This study serves as more evidence that complex systems, such as satellite constellations cannot be treated by simple decomposition —a holistic, topdown approach is required. Using a conceptual architecture concept can be an effective way to find potential design issues long before the first drawing is made.

Finally, further research is needed to understand the nuances of the operations of such a complex system. Most of the analysis in this research was focused on the technical implementation of the satellite constellation and not on the specific design of the mission operations team and the human-automation interface. The responsibilities and models proposed in this research for the different components of mission operations can be used as a starting point for future research. Aspects like coordination, prioritization, training, and other human-factors issues were only slightly covered in this work, and a more detailed study is imperative to ensure auspicious satellite constellations.

Systems engineering and space exploration were born at the same time and have symbiotically evolved since then. Nevertheless, systems engineering might be falling behind in this new mega-constellation's era. Bottom-up and decomposition approaches applied to a complex system are not effective anymore given the size and complexity of the new space systems. A shift in paradigm to a holistic, top-down approach like the one used by STPA, is imperative.
#### Chapter 6: References

- Abdulkhaleq, A., & Wagner, S. (2015). A controlled experiment for the empirical evaluation of safety analysis techniques for safety-critical software. Proceedings of the 19th International Conference on Evaluation and Assessment in Software Engineering -EASE '15, 1–10. https://doi.org/10.1145/2745802.2745817
- Allen, J. (2010). The Galaxy 15 Anomaly: Another Satellite in the Wrong Place at a Critical Time. Space Weather, 8(6), n/a-n/a. https://doi.org/10.1029/2010SW000588
- Beech, T. W., Cornara, S., Mora, M. B., & Dutruel-Lecohier, G. (1999). A study of three satellite constellation design algorithms. 4th International Symposium on Space Flight Dynamics., 11.
- Billings, C. E. (1997). Aviation automation: The search for a human-centered approach. Lawrence Erlbaum Associates Publishers.
- Billings, C. E. (2009). Aviation Automation: The Search for A Human-centered Approach. CRC Press. https://doi.org/10.1201/9781315137995
- Budianto, I. A., & Olds, J. R. (2004). Design and Deployment of a Satellite Constellation Using Collaborative Optimization. Journal of Spacecraft and Rockets, 41(6), 956–963. https://doi.org/10.2514/1.14254
- Bujewski, T., Turner, S., Bush, J., & Knebel, G. (2005). Automation in satellite TT&C systems—A survey of international best practices and lessons learned. 2005 IEEE Aerospace Conference, 3980–3987. https://doi.org/10.1109/AERO.2005.1559703
- Crawley, E., Cameron, B., & Selva, D. (2016). System architecture: Strategy and product development for complex systems. Pearson.
- Crisp, N. H., Smith, K., & Hollingsworth, P. (2015). Launch and deployment of distributed small satellite systems. Acta Astronautica, 114, 65–78. https://doi.org/10.1016/j.actaastro.2015.04.015
- Daehnick, C., Klinghoffer, I., Maritz, B., & Wiseman, B. (2020). Large LEO satellite constellations: Will it be different this time? 13.
- de Weck, O. L., de Neufville, R., & Chaize, M. (2004). Staged Deployment of Communications Satellite Constellations in Low Earth Orbit. Journal of Aerospace Computing, Information, and Communication, 1(3), 119–136. https://doi.org/10.2514/1.6346
- del Portillo, I., Cameron, B. G., & Crawley, E. F. (2019). A technical comparison of three low earth orbit satellite constellation systems to provide global broadband. Acta Astronautica, 159, 123–135. https://doi.org/10.1016/j.actaastro.2019.03.040

- Drmola, J., & Hubik, T. (2018). Kessler Syndrome: System Dynamics Model. Space Policy, 44–45, 29–39. https://doi.org/10.1016/j.spacepol.2018.03.003
- Dunn, N. C. (2013). Satellite System Safety Analysis Using STPA.
- Erik Kulu. (2016, 2020). NewSpace Index. https://www.newspace.im/
- Farmer, M., & Culver, R. (1995). LOW-COST, AUTOMATED SATELLITE OPERATIONS. 11.
- Fleming, C., Ishimatsu, T., Miyamoto, Y., Nakao, H., Katahira, M., Thomas, J., & Leveson, N. (2011). SAFETY GUIDED SPACECRAFT DESIGN USING MODEL-BASED SPECIFICATIONS. 8.
- Foreman, V. L. (2018). Emergence of second-generation low earth orbit satellite constellations: A prospective technical, economic, and policy analysis [Massachusetts Institute of Technology]. http://hdl.handle.net/1721.1/119297
- France, M. E. (2017). STPA Engineering for Humans. 110.
- Friedberg, I., McLaughlin, K., Smith, P., Laverty, D., & Sezer, S. (2017). STPA-SafeSec: Safety and security analysis for cyber-physical systems. *Journal of Information Security and Applications*, 34, 183–196. https://doi.org/10.1016/j.jisa.2016.05.008
- Greg Wyler. (2019). Space needs to be regulated before humans ruin it. https://www.cnn.com/2019/07/22/perspectives/space-low-earth-orbit-satellites/index.html
- Griffin, M. D. (2010). IAC-10.D1.5.4 HOW DO WE FIX SYSTEM ENGINEERING? 9.
- Guariniello, C., Mockus, L., Raz, A. K., & DeLaurentis, D. A. (2019). Towards Intelligent Architecting of Aerospace System-of-Systems. 2019 IEEE Aerospace Conference, 1– 11. https://doi.org/10.1109/AERO.2019.8742173
- Hawkins, A., Carrico, J., Motiwala, S., & MacLachlan, C. (2017). Flight Dynamics Operations And Collision Avoidance For The Skysat Imaging Constellation. 20.
- Howard, J., Oza, D., & Smith, D. (2006, June 19). Best Practices for Operations of Satellite Constellations. SpaceOps 2006 Conference. SpaceOps 2006 Conference, Rome, Italy. https://doi.org/10.2514/6.2006-5866
- Jakob, P., Shimizu, S., Yoshikawa, S., & Ho, K. (2019). Optimal Satellite Constellation Spare Strategy Using Multi-Echelon Inventory Control. Journal of Spacecraft and Rockets, 56(5), 1449–1461. https://doi.org/10.2514/1.A34387
- J.-C Liou, A K Anilkumar, B Bastida, T Hanada, H Krag, H Lewis, M X J Raj, M M Rao, A Rossi, & R K Sharma. (2013). STABILITY OF THE FUTURE LEO ENVIRONMENT – AN IADC COMPARISON STUDY. https://doi.org/10.13140/2.1.3595.6487

Johnson, C. D. (2017). Handbook for new actors in space.

- Johnson, K. E. (2017). Systems-theoretic safety analyses extended for coordination. Massachusetts Institute of Technology.
- Kelly, A., & Case, W. (2006, June 19). Constellation Operations—Lessons Learned for Future Exploration. SpaceOps 2006 Conference. SpaceOps 2006 Conference, Rome, Italy. https://doi.org/10.2514/6.2006-5809
- Kelso, D. T. S. (2009). Analysis of the Iridium 33-Cosmos 2251 Collision. 11.
- Kessler, D. J., & Cour-Palais, B. G. (1978). Collision frequency of artificial satellites: The creation of a debris belt. *Journal of Geophysical Research*, 83(A6), 2637. https://doi.org/10.1029/JA083iA06p02637
- Lang, T. J., & Adams, W. S. (1998). A Comparison of Satellite Constellations for Continuous Global Coverage. In J. C. Ha (Ed.), *Mission Design & Implementation of Satellite Constellations* (Vol. 1, pp. 51–62). Springer Netherlands. https://doi.org/10.1007/978-94-011-5088-0'5
- Le Moigne, J., Dabney, P., de Weck, O., Foreman, V., Grogan, P., Holland, M., Hughes, S., & Nag, S. (2017). Tradespace analysis tool for designing constellations (TAT-C). 2017 IEEE International Geoscience and Remote Sensing Symposium (IGARSS), 1181–1184. https://doi.org/10.1109/IGARSS.2017.8127168
- Leveson, N. (1995). SafeWare: System safety and computers. Addison-Wesley.
- Leveson, N. (2004). A new accident model for engineering safer systems. Safety Science, 42(4), 237–270. https://doi.org/10.1016/S0925-7535(03)00047-X
- Leveson, N. (2013). The Drawbacks in Using The Term 'System of Systems.' Biomedical Instrumentation & Technology, 47(2), 115–118. https://doi.org/10.2345/0899-8205-47.2.115
- Leveson, N. (2020). An Improved Design Process for Complex, Control-Based Systems Using STPA and a Conceptual Architecture.
- Leveson, N., Daouk, M., Dulac, N., & Marais, K. (2003). Applying STAMP in Accident Analysis. 27.
- Leveson, N. G. (2004). The Role of Software in Spacecraft Accidents. 27.
- Leveson, N. G. (2012). Engineering a safer world systems thinking applied to safety. MIT Press. http://www.books24x7.com/marc.asp?bookid=47540
- Leveson, N. G. (2017). *Engineering a safer world: Systems thinking applied to safety* (New paperback edition). The MIT Press.
- Lewin, A. W. (1998). Low-Cost Operation of the ORBCOMM Satellite Constellation. 13.
- Longanbach, M., & McGill, L. (2018, May 28). Scaling Fleet Operations: The Growth and Results of SkySat Mission Operations. 15th International Conference on Space

*Operations.* 15th International Conference on Space Operations, Marseille, France. https://doi.org/10.2514/6.2018-2706

- Maclay, Everetts, & Engelhardt. (2019, January 23). Responsible satellite operations in the era of large constellations. *SpaceNews*. https://spacenews.com/op-ed-responsiblesatellite-operations-in-the-era-of-large-constellations/
- Maier, M. W. (1998). Architecting principles for systems-of-systems. 18.
- McDowell, J. C. (2020). The Low Earth Orbit Satellite Population and Impacts of the SpaceX Starlink Constellation. *The Astrophysical Journal*, 892(2), L36. https://doi.org/10.3847/2041-8213/ab8016
- N. Leveson. (2003, August). A New Approach to Hazard Analysis for Complex Systems. Int. Conference of the System Safety Society, Ottawa.
- N. Leveson, & J. Thomas. (2018). STPA Handbook.
- Orbital Debris Mitigation Standard Practices. (2019). U.S. Government.
- Radtke, J., Kebschull, C., & Stoll, E. (2017). Interactions of the space debris environment with mega constellations—Using the example of the OneWeb constellation. Acta Astronautica, 131, 55–68. https://doi.org/10.1016/j.actaastro.2016.11.021
- Reiland, N., Rosengren, A. J., Malhotra, R., & Bombardelli, C. (2020). Assessing and Minimizing Collisions in Satellite Mega-Constellations. ArXiv:2002.00430 [Astro-Ph]. http://arxiv.org/abs/2002.00430
- Rossi, A., Petit, A., & McKnight, D. (2019). Examining Short-term Space Safety Effects from LEO Constellations and Clusters. 9.
- Sage, A. P., & Cuppan, C. D. (2001). On the Systems Engineering and Management of Systems of Systems and Federations of Systems. *Information Knowledge Systems Management*, 2(4), 325–345.
- Sarter, N. B., & Woods, D. D. (1995). How in the World Did We Ever Get into That Mode? Mode Error and Awareness in Supervisory Control. Human Factors: The Journal of the Human Factors and Ergonomics Society, 37(1), 5–19. https://doi.org/10.1518/001872095779049516
- Schwarz, R., Kuchar, J., Hastings, D., Deyst, J., & Kolitz, S. (1996). Determination of Effects of Satellite Operation Automation on Life Cycle Costs. Space Mission Operations and Ground Data Systems - SpaceOps '96, Proceedings of the Fourth International Symposium.
- Smith, D., & Hendrickson, R. (1995). Mission control for the 48-satellite Globalstar constellation. *Proceedings of MILCOM '95, 2*, 828–832. https://doi.org/10.1109/MILCOM.1995.483643

- Steering Group and Working Group 4. (2007). *IADC-2002-01-IADC-Space Debris-Guidelines-Revision1.pdf*. INTER-AGENCY SPACE DEBRIS COORDINATION COMMITTEE.
- Sulaman, S. M., Beer, A., Felderer, M., & Höst, M. (2019). Comparison of the FMEA and STPA safety analysis methods-a case study. Software Quality Journal, 27(1), 349– 387. https://doi.org/10.1007/s11219-017-9396-0
- Swan, P., & Swan, P. (1997, September 23). A revolution in progress—IRIDIUM LEO operations. Defense and Space Programs Conference and Exhibit - Critical Defense and Space Programs for the Future. Defense and Space Programs Conference and Exhibit - Critical Defense and Space Programs for the Future, Huntsville, AL, U.S.A. https://doi.org/10.2514/6.1997-3954
- Thomas J., Leveson Nancy, Naoki Ishimama, Masa Katahira, Nobuyuki Hoshino, & Kazuki Kakimoto. (2017). A Process for STPA: STAMP Accident Model of HITOMI and Expansion to Future Safety Culture. STAMP Conference 2017.
- Union of Concerned Scientists. (2020). UCS Satellite Database. https://www.ucsusa.org/resources/satellite-database
- Walker, J. G. (1984). Satellite Constellations. *Journal of the British Interplanetary Society*, 37, 559.
- Weinberg, G. M. (2001). An introduction to general systems thinking: Gerald M. Weinberg (Silver anniversary ed). Dorset House.
- Wertz, J. R., Everett, D. F., & Puschell, J. J. (Eds.). (2011). Space mission engineering: The new SMAD. Microcosm Press: Sold and distributed worldwide by Microcosm Astronautics Books.
- XML Specification for Navigation Data Messages. (2010). Consultative Committee for Space Data Systems (CCSDS).

# Appendix A

## Constellation database

Most relevant commercial constellations ordered by planned size as of June 2020.

Name	Operator	Application	Planned	Actual	Orbit	$\mathbf{First}$	$\operatorname{Last}$	Status
			Size	size		launch	launch	
Starlink	SpaceX	Communications	4425	541	LEO	2018	2020	Deployment
Project Kuiper	Amazon	Communications	3236	0	LEO			Planned
REC	$\operatorname{SatRevolution}$	Remote sensing	1024	2	LEO	2019	2019	Prototyping
OneWeb	OneWeb	Communications	648	74	LEO	2019	2020	Deployment
Aleph-1	Satellogic	Remote sensing	300	8	LEO	1985	2020	Deployment
Swarm	Swarm	Asset monitoring	150	9	LEO	2018	2019	Deployment
Flock (Doves)	Planet	Remote sensing	150	382	LEO	2014	2019	Operational
Lemur	Spire global	Asset monitoring	150	112	LEO	2014	2019	Operational
Iridium 1	Iridium communications	Communications	95	95	LEO	1997	2002	Decommissioned
Iridium- NEXT	Iridium communications	Communications	75	75	LEO	2017	2019	Operational
Ladybug	Commsat	Asset monitoring	72	7	LEO	2018	2018	Deployment
BlackSky	Spaceflight	Remote sensing	60	4	LEO	2018	2019	Deployment
AprizeSat	AprizeSat / Spacequest	Asset monitoring	48	2	LEO	2004	2004	Operational
Apocalypse	Guodian Gaoke	Asset monitoring	38	7	LEO	2018	2020	Deployment
Orbcomm OG1	Orbcomm	Communications	36	61	LEO	1993	2015	Decommissioned
Zhuhai-1	Zhuhai Orbita	Remote sensing	34	12	LEO	2017	2019	Operational
Landmapper	Astro Digital	Remote sensing	25	0	LEO			Deployment
CICERO	GeoOptics	Remote sensing	24	7	LEO	2017	2018	Deployment
O3b MEO	SES	Communications	24	19	MEO	2013	2019	Operational
Globalstar (2nd gen)	Globalstart	Communications	24	24	LEO	2010	2013	Operational
Skysats	Planet	Remote sensing	24	18	LEO	2013	2020	Operational
Orbcomm OG2	Orbcomm	Asset monitoring	18	17	LEO	2014	2015	Operational

ICEYE	Iceye	Remote sensing	18	5	LEO	2018	2019	Deployment
Jilin-1	Chang Guang	Remote sensing	16	16	LEO	2015	2020	Operational
O3b mPOWER	SES	Communications	16	0	MEO			Planned
exactView	EaxctEarth	Asset monitoring	9	5	LEO	2011	2014	Operational
NAVIC	ISRO	GNSS	7	9	GEO/GSO	2013	2018	Operational
RapidEye	Planet	Remote sensing	5	5	LEO	2008	2008	Decommissioning
SuperView- 1	SpaceWill	Remote sensing	4	4	LEO	2016	2018	Operational

Table 24 - Satellite constellations database main entries

#### Sources

- Catalog of orbiting satellites <u>Space-Track.org</u> created and maintained by the 18th Space Control Squadron of the United States Air Force.
- Catalog of orbiting satellites <u>JSR SATCAT</u> created and maintained by Jonathan C. McDowell
- Catalog of active satellite <u>UCS Satellite Database</u> created and maintained by the Union of Concerned Scientists of the United States.
- Catalog of orbiting spacecraft <u>CelesTrak</u> created and maintained by Dr. T.S Kelso
- Catalog of launched spacecraft into orbit <u>JSR Launch Logs</u> created and maintained by Jonathan C. McDowell
- Satellite constellation list from <u>https://en.wikipedia.org/wiki/Satellite`constellation</u>
- NewSpace constellation index <u>https://www.newspace.im/</u>
- Websites of each constellation operator when available.

### Appendix B

#### Constellation topology examples

In the following plots, the shape of different constellations that are currently in deployment or operational phases are presented. These plots illustrate the relative position of different satellites within each constellation.



#### Flock constellation plot

Figure 25 - Loose shape constellation in operations example

Iridium constellation plot



Figure 26 - Operational tight shape constellation example



**Oneweb constellation plot** 

Figure 27 - Early stages of a constellation deployment example

#### Starlink constellation plot



Figure 28 - Tight shape constellation in deployment example

# Appendix C – Architecture A1

# Unsafe control actions table

Control	Not providing	Providing	Too early, too late,	Stopped too soon,
action			out of order	applied too long
Thrust	UCA-A1.1: The propulsion controller does not generate thrust when it is armed and is commanded to do it [H3]	UCA-A1.2: The propulsion controller generates thrust in an incorrect direction or magnitude when it is armed and commanded to do it [H3]	UCA-A1.4: The propulsion controller generates thrust with more than TBD of delay when it is armed and commanded to do it. [H3]	UCA-A1.5: The propulsion controller stops generating thrust when there is no alarm, it was commanded to, and the system is armed. [H3]
		UCA-A1.3: The propulsion controller generates thrust when it is armed, but it was not commanded to do it [H3]		UCA-A1.6: The propulsion controller keeps generating thrust after being commanded to stop by a thrust=0 or disarm command. [H3]
Torque	UCA-A1.7: The attitude controller does not provide torque when it is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	UCA-A1.8: The attitude controller provides a torque in the wrong direction or with the wrong magnitude when it is needed to maintain or follow a setpoint. [H1, H3, H2, H4] UCA-A1.9: The attitude control subsystem provides a torque when it is not needed [H1, H2, H3, H4]	UCA-A1.10: The attitude controller provides delayed torques when is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	UCA-A1.11: The attitude controller stops applying torque too soon when still needed to maintain or follow a setpoint. [H1, H3, H2, H4] UCA-A1.12: The attitude controller keeps applying torque when not needed anymore. [H1, H3, H2, H4]
Arm	UCA-A1.13: Satellite controllers do not provide an arm command before a propulsion command when performing orbital maneuvers (because the satellite is in an inadequate orbit) [H3]	N/A	UCA-A1.14: Mission operations provide an arm command too early (¿TBD minutes) before an orbital maneuver (resulting in a waste of energy that prevents the payload from operating) [H1] UCA.A1. 15: Satellite controllers provide an arm command too late to perform an orbital maneuver when a satellite is in an inadequate orbit. [H3]	N/A

Disarm	UCA-A1.16: Satellite controllers do not provide a disarm command after concluding orbital maneuvers (resulting in a waste of energy that prevents the payload from operating) [H1]	UCA-A1.17: Satellite controllers provide a disarm command when the system is performing as expected and is doing orbital maneuvers (resulting in incomplete maneuvers) [H3]	UCA-A1.18: Satellite controllers provide a disarm too late after concluding orbital maneuvers (resulting in a waste of energy that prevents the payload from operating) [H1]	N/A
Propulsio n command	UCA-A1.19: Satellite controllers do not provide propulsion command when requested in a burn plan. [H3]	UCA-A1.20: Satellite controllers provide a propulsion command when the satellite is armed but was not specified in the burn plan. [H3] UCA-A1.21: Satellite controllers provide a propulsion command in a wrong direction or magnitude when the system is armed, and it is specified in the burn plan. [H3] UCA-A1.22: Satellite controllers provide a propulsion command when the system is armed, and the satellite has not reached the correct attitude. [H3] UCA-A1.23: Satellite controllers provide a propulsion command for an orbital maneuver when the propulsion subsystem is not armed [H3] UCA-A1.24: Satellite controllers provide a propulsion command for an orbital maneuver when the propulsion command for an orbital maneuver when the satellite is doing a payload maneuver [H1]	UCA-A1.25: Satellite controllers provide a propulsion command later than specified in the burn plan when the satellite is doing orbital maneuvers [H3]	N/A
Attitude command	UCA-A1.26: Satellite controllers do not provide an attitude	UCA-A1.28: Satellite controllers provide an attitude command	UCA-A1.32: Satellite controllers provide an attitude command too	N/A
	command when necessary for a	with the incorrect mode or setpoint	late when it is required by a payload	
		120		

	payload or maneuver operation [H1, H3] UCA-A1.27: Satellite controllers do not provide a change attitude mode when the satellite is in an unsafe attitude (i.e., sun on a payload, no sun on solar panels, etc.) [H4]	<ul> <li>when it is needed by a payload or a maneuver operation [H1, H2, H3, H4]</li> <li>UCA-A1.29: Satellite controllers provide an attitude command with a forbidden setpoint and mode when doing maintenance operations. [H4]</li> <li>UCA-A1.30: Satellite controllers provide an attitude change when a satellite does not need it. [H1, H2, H3, H4]</li> <li>UCA-A1.31: Satellite controllers provide an attitude change when a satellite does not need it. [H1, H2, H3, H4]</li> </ul>	or maneuver operation [H1, H2, H3]	
	UCA A1 33: Satellite	attitude change for a payload operation when the satellite is doing a maneuver operation or vice- versa. [H1, H3] UCA A1 34: Satellite	N/A	N/A
Payload on	controllers do not provide a payload on command before sending ad-hoc payload commands when is needed [H1]	controllers provide a payload on command when the satellite is over or pointing to a forbidden area (assuming that there is an active payload like a radio or a radar) [H2]	IN/ A	N/A
		UCA-A1.35: Satellite controllers provide a payload on command when the satellite is in a forbidden attitude (resulting in a damaged payload) [H4]		
Payload off	UCA-A1.36: Satellite controllers do not provide a payload off command when it is not needed anymore (for active payloads or wasting energy) [H1, H2, H4]	N/A	UCA-A1.37: Satellite controllers provide a payload off command too late after a payload operation [H1, H2, H4] UCA-A1.38: Satellite controllers provide a	N/A

			payload off command when the satellite is still performing a payload operation, and the subsystem has no alarms[H1]	
Burn plan	UCA-A1.39: ODT does not provide a burn plan when a satellite is in a collision trajectory. [H3.2] UCA-A1.40: ODT does not provide a burn plan when a satellite is reaching or outside the location requirement [H3.1] UCA-A1.41: ODT does not provide a burn plan when a satellite is in a reentry trajectory over a populated area. [H3.3]	UCA-A1.42: ODT provides a burn plan when a satellite is on the correct orbit and not in a collision or reentry over a populated area trajectory [H3] UCA-A1.43: ODT provides a burn plan when a satellite is performing a payload operation [H1, H3] UCA-A1.44: ODT provides a burn plan with incorrect angles or forces when a satellite is in an inadequate orbit[H3] UCA-A1.45: ODT provides a burn plan that puts the satellite in reentry trajectory over a populated area trajectory when decommissioning a satellite [H3] UCA-A1.46: ODT provides a burn plan that puts the satellite in a potential collision trajectory when doing station-keeping maneuvers [H3]	UCA-A1.47: ODT provides a burn plan too late when the satellite is on a collision trajectory, reentry trajectory over a populated area or reaching the limit ofof the relative position requirement [H3]	N/A
Payload plan	UCA-A1.48: Payload team does not provide a payload plan when needed for a mission goal [H1]	UCA-A1.49: Payload provides a payload plan when a satellite is performing a maneuver operation [H1, H3] UCA-A1.50: Payload team provides a payload plan with incorrect parameters. [H1]	UCA-A1.51: Payload provides a payload plan too late when the needed for a mission goal [H3]	N/A
	Table 25 -	AI UCA TableCom	ponent-level constrai	nts

UCA	System-level constraints
UCA-A1.1: The propulsion controller does not generate thrust when it is armed and is commanded to do it [H3]	SC-1: The propulsion controller must always generate thrust when it is armed and commanded to do it
UCA-A1.2: The propulsion controller generates thrust in an incorrect direction or magnitude when it is armed and commanded to do it [H3]	SC-2: The propulsion controller must not generate trust in a different direction or magnitude than requested when armed.
UCA-A1.3: The propulsion controller generates thrust when it is armed, but it was not commanded to do it [H3]	SC-3: The propulsion controller must only generate trust when it is armed and commanded to do it.
UCA-A1.4: The propulsion controller generates thrust with more than TBD of delay when it is armed and commanded to do it. [H3]	SC-4: The propulsion controller must start producing thrust within TBD seconds after being commanded to do it.
UCA-A1.5: The propulsion controller stops generating thrust when there is no alarm, it was commanded to, and the system is armed. [H3]	SC-5: The propulsion controller must generate thrust for the amount of time commanded and no less than that when there are no problems with it.
UCA-A1.6: The propulsion controller keeps generating thrust after being commanded to stop by a thrust=0 or disarm command. [H3]	SC-6: The propulsion controller must not generate thrust after being commanded to stop by a thrust=0 command or disarmed.
UCA-A1.7: The attitude controller does not provide torque when it is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	SC-7: The attitude controller must always generate torque when it is needed to maintain or follow a setpoint.
UCA-A1.8: The attitude controller provides a torque in the wrong direction or with the wrong magnitude when it is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	SC-8: The attitude controller must provide torque in the correct direction and magnitude necessary to control the attitude of the satellite.
UCA-A1.9: The attitude control subsystem provides a torque when it is not needed [H1, H2, H3, H4]	SC-9: The attitude controller must only provide a torque when it is needed because the satellite is not in the setpoint.
UCA-A1.10: The attitude controller provides delayed torques when is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	SC-10: The attitude controller must provide torque with less than TBD seconds after detected to be needed
UCA-A1.11: The attitude controller stops applying torque too soon when still needed to maintain or follow a setpoint. [H1, H3, H2, H4]	SC-11: The attitude controller must provide torque for all the time needed to maintain or follow a setpoint.
UCA-A1.12: The attitude controller keeps applying torque when not needed anymore. [H1, H3, H2, H4]	SC-12: The attitude controller must only apply torque when needed.

UCA-A1.13: Satellite controllers do not provide an arm command before a propulsion command when performing orbital maneuvers (because the satellite is in an inadequate orbit) [H3]	SC-13: An arm command must always be e provided before providing propulsion commands.
UCA-A1.14: Satellite controllers provide an arm command too early (¿TBD minutes) before an orbital maneuver (resulting in a waste of energy that prevents the payload from operating) [H1]	SC-14: An arm command must be sent not more than TBD minutes before a propulsion command. (to save energy).
UCA.A1. 15: Satellite controllers provide an arm command too late to perform an orbital maneuver when a satellite is in an inadequate orbit. [H3]	SC-15: An arm command must be sent not later than TBD minutes before a propulsion command. (to allow time to prepare the system)
UCA-A1.16: Satellite controllers do not provide a disarm command after concluding orbital maneuvers (resulting in a waste of energy that prevents the payload from operating) [H1]	SC-16: A disarm command must be provided when orbital maneuvers are finished (to save energy and to prevent unexpected thrusting)
UCA-A1.17: Satellite controllers provide a disarm command when the system is performing as expected and is doing orbital maneuvers (resulting in incomplete maneuvers) [H3]	SC-17: A disarm command must be only provided when no more propulsion commands are needed.
UCA-A1.18: Satellite controllers provide a disarm too late after concluding orbital maneuvers (resulting in a waste of energy that prevents the payload from operating) [H1]	SC-18: A disarm command must be provided as soon as the last propulsion command is issued for a maneuver (to save energy and to prevent unexpected thrusting)
UCA-A1.19: Satellite controllers do not provide propulsion command when requested in a burn plan. [H3]	SC-19: Propulsion commands must be sent if requested in a burn plan. (they cannot be skipped by all means)
UCA-A1.20: Satellite controllers provide a propulsion command when the satellite is armed but was not specified in the burn plan. [H3]	SC-20: Propulsion commands must only be provided if specified in a burn plan.
UCA-A1.21: Satellite controllers provide a propulsion command in a wrong direction or magnitude when the system is armed, and it is specified in the burn plan. [H3]	SC-21: Propulsion commands must always be in the same direction and magnitude as specified in the burn plan.
UCA-A1.22: Satellite controllers provide a propulsion command when the system is armed,	SC-22: Propulsion commands must be sent only when the satellite has acquired the necessary attitude for the burn.

and the satellite has not reached the correct attitude. [H3]	
UCA-A1.23: Satellite controllers provide a propulsion command for an orbital maneuver when the propulsion subsystem is not armed [H3]	SC-23: The propulsion subsystem must be armed before providing propulsion commands.
UCA-A1.24: Satellite controllers provide a propulsion command for an orbital maneuver when the satellite is doing a payload maneuver [H1]	SC-24: Propulsion commands must not be provided when a satellite is doing a payload operation.
UCA-A1.25: Satellite controllers provide a propulsion command later than specified in the burn plan when the satellite is doing orbital maneuvers [H3]	SC-25: Propulsion commands must be provided at the specific time required by the burn plan.
UCA-A1.26: Satellite controllers do not provide an attitude command when necessary for a payload or maneuver operation [H1, H3]	SC-26: Attitude commands must always be issued before doing any payload or maneuver operation.
UCA-A1.27: Satellite controllers do not provide a change attitude mode when the satellite is in an unsafe attitude (i.e., sun on a payload, no sun on solar panels, etc.) [H4]	SC-27: An attitude command must be provided if the satellite has an unsafe attitude. SC-28: Unsafe attitude must be specified before starting operations.
UCA-A1.28: Satellite controllers provide an attitude command with the incorrect mode or setpoint when it is needed by a payload or a maneuver operation [H1, H2, H3, H4]	SC-29: Attitude commands must be provided with the exact mode and setpoint as specified in a payload or maneuver operation.
UCA-A1.29: Satellite controllers provide an attitude command with a forbidden setpoint and mode when doing maintenance operations. [H4]	SC-30: Attitude commands with forbidden setpoint must never be provided.
UCA-A1.30: Satellite controllers provide an attitude change when a satellite does not need it. [H1, H2, H3, H4]	SC-31: Attitude commands must only be provided when required by a payload, maneuver, or maintenance operation.
UCA-A1.31: Satellite controllers provide an attitude change for a payload operation when the satellite is doing a maneuver operation or vice- versa. [H1, H3]	SC-32: Attitude commands for an operation must not be provided during another operation.
UCA-A1.32: Satellite controllers provide an attitude command too late when it is required by a payload or maneuver operation [H1, H2, H3]	SC-33: Attitude commands must be provided TBD seconds in advance to an operation when a specific attitude is required. (to let it stabilize)

UCA-A1.33: Satellite controllers do not provide a payload on command before sending ad-hoc payload commands when is needed [H1]	SC-34: A payload on command must be provided before any ad-hoc command is sent to the payload.
UCA-A1.34: Satellite controllers provide a payload on command when the satellite is over or pointing to a forbidden area (assuming that there is an active payload like a radio or a radar) [H2]	SC-35: A payload on command must not be provided over forbidden areas. SC-36: Forbidden areas must be provided before starting operations.
UCA-A1.35: Satellite controllers provide a payload on command when the satellite is in a forbidden attitude (resulting in a damaged payload) [H4]	SC-37: A payload on command must not be provided when the satellite has a forbidden attitude. SC-38: Forbidden attitudes must be provided before starting operations.
UCA-A1.36: Satellite controllers do not provide a payload off command when it is not needed anymore (for active payloads or wasting energy) [H1, H2, H4]	SC-39: A payload off command must be provided after concluding payload operation (to avoid wasting energy, damaging the payload or using it over forbidden areas)
UCA-A1.37: Satellite controllers provide a payload off command too late after a payload operation [H1, H2, H4]	SC-40: A payload off command must be sent immediately after concluding payload operations. (to save energy)
UCA-A1.38: Satellite controllers provide a payload off command when the satellite is still performing a payload operation, and the subsystem has no alarms[H1]	SC-41: A payload off command must not be sent when the satellite is doing a payload operation.
UCA-A1.39: ODT does not provide a burn plan when a satellite is in a collision trajectory. [H3.2]	SC-42: A burn plan must always be provided when a satellite is in a collision trajectory to avoid it.
UCA-A1.40: ODT does not provide a burn plan when a satellite is reaching or outside the location requirement [H3.1]	SC-43: A burn plan must always be provided when a satellite is reaching the limit of or outside the location requirement to correct it.
UCA-A1.41: ODT does not provide a burn plan when a satellite is in a reentry trajectory over a populated area. [H3.3]	SC-44: A burn plan must always be provided if a satellite is in a reentry trajectory over a populated area to avoid it.
UCA-A1.42: ODT provides a burn plan when a satellite is on the correct orbit and not in a collision or reentry over a populated area trajectory [H3]	SC-45: Burn plans must not be provided when the satellite is on the correct orbit and not in a collision or reentry over populated area trajectory.

UCA-A1.43: ODT provides a burn plan when a satellite is performing a payload operation [H1, H3]	SC-46: Burn plans must not be provided when a satellite is doing a payload operation. (priorities TBD)
UCA-A1.44: ODT provides a burn plan with incorrect angles or forces when a satellite is in an inadequate orbit[H3]	SC-47: Burn plans must be provided with correct angles and forces for each specific satellite when needed.
UCA-A1.45: ODT provides a burn plan that puts the satellite in reentry trajectory over a populated area trajectory when decommissioning a satellite [H3]	SC-48: Burn plans must not put a satellite in a reentry trajectory over a populated area when decommissioning a satellite.
UCA-A1.46: ODT provides a burn plan that puts the satellite in a potential collision trajectory when doing station-keeping maneuvers [H3]	SC-49: Burn plans must not put a satellite in a collision trajectory to another orbiting body.
UCA-A1.47: ODT provides a burn plan too late when the satellite is on a collision trajectory, reentry trajectory over a populated area or reaching the limit of the relative position requirement [H3]	SC-50: Burn plans must be timely (TBD) provided when a satellite is in a collision trajectory, reentry trajectory over a populated area, or reaching the limit of the position requirement.
UCA-A1.48: Payload team does not provide a payload plan when needed for a mission goal [H1]	SC-51: Payload plans must be provided when required by a mission goal.
UCA-A1.49: Payload provides a payload plan when a satellite is performing a maneuver operation [H1, H3]	SC-52: Payload plans must not be provided when a satellite is doing maneuver operations.
UCA-A1.50: Payload team provides a payload plan with incorrect parameters. [H1]	SC-53: Payload plans must be provided with the correct parameters.
UCA-A1.51: Payload provides a payload plan too late when the needed for a mission goal [H3]	SC-54: Payload plans must be timely (TBD) provided when required by a mission goal.

## Table 26 - A1 Component-level constraints

## Causal scenarios

UCA	Scenarios
0.011	
UCA-A1.1: The	Scenario 1: The Propulsion subsystem physical controller goes into fault-handling
propulsion controller	or shut-down when a propulsion command is issued due to a physical controller
does not generate thrust	failure, causing the thrust not to be generated. As a result, the maneuver is
when it is armed and is	flawed, and the satellite ends in an inadequate orbit. [H3]
commanded to do it	
[H3]	Scenario 2: The propulsion subsystem controller is armed and is commanded to
	generate thrust by a propulsion command. The propulsion subsystem does not

generate thrust because the algorithm to control the actuators is not designed for the particular actuators in the satellite (it was incorrectly updated with the software for another satellite). As a result, no thrust is generated, the orbital maneuver is flawed, and the satellite ends in an inadequate orbit. [H3]

Scenario 3: The propulsion subsystem controller is armed and is commanded to generate thrust by a propulsion command. The propulsion subsystem does not generate thrust because the algorithm to control the actuators become inadequate over time. This can happen if, for example, a valve degraded and needs additional power to open. As a result, no thrust is generated, the orbital maneuver is flawed, and the satellite ends in an inadequate orbit. [H3]

Scenario 4: The propulsion subsystem controller is armed and is commanded to generate thrust. The propulsion subsystem does not generate thrust because provided information during the launch preparation of the satellite incorrectly loaded the amount of propellant as empty. As a result, no thrust is generated, the orbital maneuver is flawed, and the satellite ends in an inadequate orbit. [H3]

Scenario 5: The propulsion subsystem controller is armed and is commanded to generate thrust by a propulsion command. The actuators are behaving as expected, but the propulsion subsystem does not generate thrust because it incorrectly believes there is a problem with them and goes to fault-handling mode. This flawed process model will occur if incorrect feedback is received from the actuator. This can happen if any of the following occur:

- A sensor on the actuators fails to report incorrect feedback (i.e., a damaged pressure transducer)
- Sensors on the actuators are not suited for that specific operational condition (i.e., an out of scale pressure transmitter)

- A sensor signal from the actuator is corrupted in the transmission. As a result, no thrust is generated, the orbital maneuver is flawed, and the satellite ends in an inadequate orbit. [H3]

Scenario 6: The propulsion subsystem controller is armed and is commanded to generate thrust by a propulsion command. The actuators are behaving as expected, but the propulsion subsystem does not generate thrust because it believes there is a problem with them and disarms the subsystem. This flawed process model will occur if correct feedback (i.e., confirmation of a valve opening) is received with delay or never received. This can happen if any of the following occur:

- There is a wiring or communication problem between a sensor and the controller.
- A sensor fails and does not report any data.
- There is a problem reading a sensor data in the controller (i.e., the controller is busy with another higher-level task)

As a result, no thrust is generated, and the orbital maneuver is flawed, and the satellite ends in an inadequate orbit. [H3]

UCA-A1.2: The propulsion controller generates thrust in an incorrect direction or magnitude when it is armed and commanded to do it [H3] Scenario 7: The propulsion subsystem controller is commanded with a certain amount of thrust and direction, but a failure in the controller (like a broken output stage transistor for an analog actuator or a broken communication interface for a digital one) makes it generate incorrectly in value or direction. As a result, the maneuver is flawed, and the satellite ends in an inadequate orbit [H3].

Scenario 8: The propulsion subsystem controller is armed and is commanded to generate thrust by a propulsion command. The propulsion generates thrust with an incorrect magnitude or direction because the algorithm to control the actuators is not designed for the particular actuators in the satellite (it was incorrectly updated with the software for another satellite). As a result, no thrust is generated, and the orbital maneuver is flawed, and the satellite ends in an inadequate orbit. [H3]

Scenario 9: The propulsion subsystem controller is armed and is commanded to generate thrust by a propulsion command. The propulsion subsystem generates thrust with an incorrect magnitude or direction because the algorithm to control the actuators become inadequate over time. This can happen if, for example, the thrusters are misaligned due to a loss of structural integrity during the launch or a collision or worn-out thrusters. As a result, the orbital maneuver is flawed, and the satellite ends in an inadequate orbit [H3].

Scenario 10: The propulsion subsystem controller is armed and is commanded to generate thrust. The propulsion subsystem generates thrust with an incorrect magnitude and/or direction because provided information about the configuration of the actuator during the launch preparation was incorrectly loaded or updated in flight (from another satellite or with typos). As a result, a maneuver is flawed, and the satellite ends in an inadequate orbit [H3].

Scenario 11: (1.4.1) The propulsion subsystem controller is armed and is commanded to generate thrust by a propulsion command. The propulsion subsystem generates incorrectly thrust in value or direction because it incorrectly believes it is doing it correctly. This flawed process model will occur if incorrect feedback is received from the actuator. This can happen if any of the following occur:

- Sensors on the actuators fail reporting incorrect feedback (i.e., a damaged pressure transducer)
- Sensors on the actuators are not suited for that specific operational condition (i.e., an out of scale pressure transmitter)

- A sensor signal from the actuator is corrupted in the transmission. As a result, a maneuver is flawed, and the satellite ends in an inadequate orbit [H3].

	<ul> <li>Scenario 12: (1.4.3) The propulsion subsystem controller is armed and is commanded to generate thrust by a propulsion command. The propulsion subsystem generates incorrectly thrust in value or direction because it incorrectly believes it is doing it correctly. This flawed process model will occur if correct feedback is received with a certain delay. This can happen if any of the following occur: <ul> <li>There is a wiring or communication problem between a sensor and the controller.</li> <li>There is a problem reading a sensor data in the controller (i.e., the controller is busy with a higher-level task)</li> </ul> </li> <li>As a result, a maneuver is flawed, and the satellite ends in an inadequate orbit [H3].</li> <li>Scenario 13: (1.4.3) The propulsion subsystem controller is armed and is commanded to generate thrust by a propulsion command. The propulsion subsystem generates incorrectly thrust in value or direction because it there is no direct feedback from the controller process to close the loop (assuming that the feedback from the actuator might be wrong: i.e., a Hall effect thruster might have the same feedback from the actuator if there is xenon coming out of the system or not, and there is no way to measure it). As a result, a maneuver is flawed, and the satellite ends in an inadequate orbit [H3].</li> </ul>
UCA-A1.3: The propulsion controller generates thrust when it	Scenario 14: (1.1) The propulsion subsystem physical controller fails triggering a thrust signal to the actuator. As a result, thrust command is unexpectedly generated, and the satellite ends in an inadequate orbit. [H3]
is armed, but it was not commanded to do it [H3]	Scenario 15: The propulsion subsystem is unarmed. A propulsion command was sent previously that was not cleared (i.e., the satellite went to the fault-handling mode and recovered but did not clear the thrust command). When the controller is changed to arm mode, the systems starts generating thrust. As a result, thrust is unexpectedly generated, and the satellite ends in an inadequate orbit. [H3]
UCA-A1.4: The propulsion controller generates thrust with more than TBD of delay when it is armed and commanded to do it. [H3]	Scenario 16: (1.2.1) The propulsion subsystem is commanded to produce thrust, but processing delays within the controller result in a delayed thrust signal to the actuator. As a result, a maneuver is flawed, and the satellite ends in an inadequate orbit [H3].
UCA-A1.5: The propulsion controller stops generating thrust when there is no alarm, it was commanded to,	Scenario 17: (1.1) The Propulsion subsystem physical controller fails or goes offline during a propulsion maneuver, and the thrust is interrupted. As a result, a maneuver is flawed, and the satellite ends in an inadequate orbit [H3].

and the system is armed. [H3]	<ul> <li>Scenario 18: (1.4.1) The subsystem is producing thrust, and the actuators are behaving as expected. The propulsion subsystem stops sending a thrust command to the actuators because it believes there is a problem with them. This flawed process model will occur if incorrect feedback is received from the actuator. This can happen if any of the following occur: <ul> <li>Sensors on the actuators fail reporting incorrect feedback (i.e., a damaged pressure transducer)</li> <li>Sensors on the actuators are not suited for that specific operational condition (i.e., an out of scale pressure transmitter)</li> <li>A sensor signal from the actuator is corrupted in the transmission.</li> </ul> </li> <li>As a result, the thrust is interrupted, and the satellite ends in an inadequate orbit [H3].</li> <li>Scenario 19: (1.4.3) The actuators are behaving as expected. The propulsion subsystem stops sending a thrust command to the actuators because it believes there is a problem with them. This flawed process model will occur if the feedback is never received. This can happen if any of the following occur: <ul> <li>There is a wiring or communication problem between a sensor and the controller.</li> <li>A sensor fails and does not report any data.</li> </ul> </li> <li>There is a problem reading a sensor data in the controller (i.e., the controller is busy with another higher level task)</li> <li>As a result, the thrust is interrupted, and the satellite ends in an inadequate orbit [H3].</li> </ul>
UCA-A1.6: The propulsion controller keeps generating thrust after being commanded to stop by a thrust=0 or disarm command. [H3]	Scenario 20: (1.1) The propulsion subsystem's physical controller fails and does not stop the actuator (a fixed transistor, for example). As a result, the thrust command is generated for more time than required, and the satellite ends in an inadequate orbit [H3].
UCA-A1.7: The attitude controller does not provide torque when it is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	Scenario 21: (1.1) The attitude controller fails or goes offline when the attitude subsystem needs to generate torque to maintain or follow a setpoint. As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
	Scenario 22: The attitude controller does not generate torque maintain or follow a setpoint because the algorithm is not designed for the particular actuators and sensors in the satellite since it was incorrectly updated with the software for another satellite. As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can

damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 23: (1.4.1) The attitude controller needs to generate torque maintain or follow a setpoint. The subsystem does not command the actuators to generate torque because it believes it is already in the setpoint. This flawed process model will occur if incorrect attitude feedback is received from the sensors. This can happen if any of the following occur:

- The satellite attitude is drifting slowly from the setpoint, but the attitude sensors do not detect it because they are not designed for that scale.
- The attitude sensor is flawed and incorrectly indicate that the attitude is in the setpoint.
- The attitude sensor data is corrupted in the transmission and does not show a drift from the setpoint.

As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 24: (1.4.3) The attitude controller needs to generate torque to change or maintain the attitude specified. The subsystem does not command the actuators to generate torque because it believes it is not needed. This flawed process model will occur if attitude feedback is never received or received late from the attitude sensors. This can happen if any of the following occur:

- The sensor data takes too long to arrive at the controller due to a communication bus overload, and the subsystem uses old data.
- The sensor fails and does not report any data, and the subsystem uses old data.
- There is a problem reading the sensor data in the controller, and the subsystem uses old data.

As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 25: (1.1) The attitude controller needs to generate torque to maintain or follow a setpoint but a failure in the controller output (like a broken output stage transistor for an analog actuator or a broken communication interface for a digital one) incorrectly commands the actuator. As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

UCA-A1.8: The attitude controller provides a torque in the wrong direction or with the wrong magnitude when it is needed to maintain or follow a setpoint. [H1, H3, H2, H4] Scenario 26: (1.2.1/1.2.2) The attitude controller needs to generate torque to maintain or follow a setpoint but a flawed specification/implementation of the control algorithm (incorrect reference frame, incorrect units, incorrect time constants, a sequence of angles, etc.) commands the actuators with the wrong magnitude or direction. As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 27: (1.2.3) The attitude controller needs to generate torque to maintain or follow a setpoint but commands the actuators with the wrong magnitude or direction because the inertia tensor was not updated after a change in mass during a propulsion maneuver or after a change in the structure of the satellite (i.e., deployment of a boom). As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 28: The attitude controller needs to generate torque to maintain or follow a setpoint. The sensors and the actuators are working as expected but command the actuators with the wrong magnitude or direction because provided information about the actuators and sensors position and orientation during the launch preparation was incorrectly loaded or updated in flight (from another satellite for example or with typos). As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 29: The attitude controller needs to generate torque to maintain or follow a setpoint but commands the actuators with the wrong magnitude or direction because the algorithm is not designed for the particular actuators and sensors in the satellite since it was incorrectly updated with the software for another satellite. As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 30: (1.2.3) The attitude controller needs to generate torque to maintain or follow a setpoint but commands the actuators with the wrong magnitude or direction because the control algorithm becomes inadequate over time since it did not consider the degradation of the actuators. As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 31: (1.4.1) The attitude controller needs to generate torque to maintain or follow a setpoint. The controller commands the actuators with the wrong magnitude or direction because it believes that is the necessary correction to do. This flawed process model will occur if correct feedback is received with delay or never received. This can happen if any of the following occur:

- There is a wiring problem between the sensor and the controller.
- The sensor fails and does not report any data.

- There is a problem reading the sensor data in the controller As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

UCA-A1.9: The attitude control subsystem provides a torque when it is not needed [H1, H2, H3, H4] Scenario 32: (1.1) The attitude physical controller fails triggering a torque command to the actuators. As a result, the attitude is incorrectly altered, and the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4], or the satellite uses an active payload over a forbidden target [H2].

Scenario 33: The attitude is as expected, and the controller does not need to correct it. The controller provides a torque command to the actuators because it believes that is not in the correct attitude. This flawed process model will occur if incorrect attitude feedback is received from the sensors. This can happen if any of the following occur:

- The attitude sensor is flawed and incorrectly indicate the attitude (a broken sensor for example)
- The attitude sensor bias has changed inadvertently due to solar radiation effects.
- The information provided by the GPS is flawed, and the sensors incorrectly calculate the attitude.

As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 34: The attitude is as expected, and the controller does not need to correct it. The controller provides a torque command to the actuators because it believes that it is not in the correct attitude. This can happen if incorrect

	information about the actuators and sensors position and orientation flight (from another satellite or with typos, for example) is provided to the satellite during a software update. As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
	Scenario 35: The attitude is as expected, and the controller does not need to correct it. The controller is restarted (because of a software update, maintenance operation, fault-handling, etc.), and the default attitude at startup is used until new data is determined and fed by the sensors. With the default attitude values, it incorrectly believes that a corrective torque is needed. As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
UCA-A1.10: The attitude controller provides delayed torques when is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	Scenario 36: (1.2.1) The attitude subsystem needs to generate torque to change or maintain the attitude of a satellite, but processing delays within the controller result in a delayed torque command to the actuator. As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
	<ul> <li>Scenario 37: (1.4.3) The attitude subsystem needs to generate torque to change or maintain the attitude of the satellite. The controller provides a delayed control action to the actuators because the information from the sensors is delayed. This can happen if any of the following occur: <ul> <li>Sensors are taking more than usual to update the attitude</li> <li>Sensors are working as expected, but communication problems prevent the messages from arriving on time.</li> <li>The controller is busy with higher-priority tasks, and the reading of the new inputs from the sensors is delayed.</li> </ul> </li> <li>As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]</li> </ul>
UCA-A1.11: The attitude controller stops applying torque too soon when still needed to maintain or follow a	Scenario 38: (1.1) The attitude physical controller fails or goes offline, causing the torque not to be generated while the system still needs it. As a result, the required attitude is not met, and the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up

setpoint. [H1, H3, H2, H4]	in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
	<ul> <li>Scenario 39: (1.4.1) The attitude actuators and sensors are behaving as expected.</li> <li>The attitude controller stops sending a torque command to the actuators because it incorrectly believes there is a problem with any of them. This flawed process model will occur if an alarm is received, out of range data is received, or no data is received from the actuator's sensors or the attitude sensors. This can happen if any of the following occur: <ul> <li>A sensor fails reporting an incorrect, faulty condition or out of range values.</li> </ul> </li> </ul>
	- Sensor information never arrives at the controller, and the controller assumes it is non-working.
	- There is a problem reading the sensor data in the controller As a result, the required attitude is not met, and the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
	Scenario 40: (1.2.1 / 1.2.2) The attitude subsystem needs to generate torque to change or maintain the attitude of the satellite. The controller stops sending torque commands to the actuators because it incorrectly believes that has reached the setpoint. This flawed process model will occur if the dead-band of the controller is incorrectly specified/configured. As a result, the required attitude is not met, and the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
UCA-A1.12: The attitude controller keeps applying torque when not needed anymore. [H1, H3, H2, H4]	Scenario 41: (1.1) The attitude subsystem physical controller fails preventing it from stopping the actuator (a wheel accelerating). As a result, the required attitude is not met, and the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
	<ul> <li>Scenario 42: (1.4.3) The attitude of the satellite arrived at the setpoint, but the controller keeps commanding the actuators because the information from the sensors is delayed. This can happen if any of the following occur: <ul> <li>Sensors are taking more than usual to update the attitude</li> <li>Sensors are working as expected, but communication problems prevent the messages from arriving on time.</li> </ul> </li> </ul>

- The controller is busy with higher-priority tasks, and the reading of the new inputs from the sensors is delayed.

As a result, the required attitude is not met, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2] UCA-A1.13: Satellite Scenario 43: Satellite controllers are about to do orbital maneuvers as requested controllers do not by a burn plan when a satellite is in an inadequate orbit. The propulsion provide an arm subsystem is disarmed. The satellite controller does not send the arm command command before a because the operating procedures did not specify that an arm command should be propulsion command sent before starting maneuver operations. As a result, no thrust will be generated when performing orbital in the following propulsion command, and the satellite stays in an inadequate maneuvers (because the orbit. [H3] satellite is in an inadequate orbit) [H3] Scenario 44: Satellite controllers are about to do orbital maneuvers as requested by a burn plan when a satellite is in an inadequate orbit. The propulsion subsystem is disarmed. The satellite controller does not send the arm command due to lack of training or because it is busy with another task. As a result, no thrust will be generated in the following propulsion command, and the satellite stays in an inadequate orbit. [H3] Scenario 45: Satellite controllers are about to do orbital maneuvers as requested by a burn plan when a satellite is in an inadequate orbit. The satellite was armed and by a satellite controller command, but now the controller is disarmed due to an unexpected reboot. The satellite controllers do not send an arm command before issuing a propulsion command because they do not realize the indirect change of mode. This can happen if: Satellite controllers are not trained to check for arm/disarm before each command or periodically. Satellite controllers are unaware that this can happen Satellite controllers do not check the telemetry because they are overloaded with other tasks (like supervising other satellites). The status display is not easily accessible in the controller screen.

- The mode never arrives due to communications problems or because the satellite is not in contact with the ground.

As a result, no thrust will be generated in the following propulsion command, and the satellite stays in an inadequate orbit [H3]

Scenario 46: Satellite controllers are about to do orbital maneuvers as requested by a burn plan when a satellite is in an inadequate orbit. The propulsion subsystem is disarmed. The satellite Satellite controllers do not provide the arm command because they incorrectly believe that the subsystem is armed. This flawed process model will occur if the current arm/disarm status is received, but it is incorrectly interpreted or ignored by the operator. This can happen if any of the following occur:

- The operator is inadvertently looking at the telemetry of another satellite.
- The operator ignores the new value on the screen and assumes it is armed because it usually is.
- The status display is not easily accessible in the controller screen.
- The telemetry is coded ambiguously or confusingly (using numbers instead of words for example)

As a result, no thrust will be generated in the following propulsion command, and the satellite stays in an inadequate orbit [H3]

Scenario 47: Satellite controllers are about to do orbital maneuvers as requested by a burn plan when a satellite is in an inadequate orbit. The propulsion subsystem is disarmed. The satellite controllers provide the arm command too late because they believe it is harmless, and it will alleviate workload in the future. This can happen if:

- The operational procedure does not specify minimum and maximum time for arming the system before issuing a propulsion command.
- The minimum and maximum time is specified, but there is no rationale that can make the controllers consider that in their mental model, so they believe it is harmless

As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].

Scenario 48: Satellite controllers are about to do orbital maneuvers as requested by a burn plan when a satellite is in an inadequate orbit. The propulsion subsystem is disarmed. The satellite controllers provide the arm command too late because the operational procedures do not specify how much time in advance it should be to prepare the system. As a result, the system will not be armed on time, and no thrust will be generated in the following propulsion command, and the satellite stays in an inadequate orbit [H3]

Scenario 49: Satellite controllers are about to do orbital maneuvers as requested by a burn plan when a satellite is in an inadequate orbit. The propulsion subsystem is disarmed. The satellite controllers provide the arm command too late because they were busy with another task. As a result, the system will not be armed on time, and no thrust will be generated in the following propulsion command, and the satellite stays in an inadequate orbit [H3]

UCA-A1.16: Satellite controllers do not provide a disarm command after concluding orbital maneuvers (resulting in a waste of energy that

te Scenario 50: Satellite controllers concluded orbital maneuvers with a member satellite. The propulsion subsystem is armed. The satellite controllers do not send the disarm command because the operating procedures did not specify that a disarm command should be sent after doing maneuver operations. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].

UCA-A1.14: Satellite controllers provide an arm command too early (¿TBD minutes) before an orbital maneuver (resulting in a waste of energy that prevents the payload from operating) [H1]

controllers provide an arm command too late to perform an orbital maneuver when a satellite is in an inadequate orbit. [H3]

UCA.A1. 15: Satellite

prevents the payload from operating) [H1] Scenario 51: Satellite controllers concluded orbital maneuvers with a member satellite. The propulsion subsystem is armed. The satellite controllers do not send the disarm arm command due to a lack of training. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].

Scenario 52: Satellite controllers concluded orbital maneuvers with a member satellite. The propulsion subsystem is armed. The satellite controllers do not send the disarm arm command because they were busy with other tasks and forget to do it. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].

UCA-A1.17: Satellite controllers provide a disarm command when the system is performing as expected and is doing orbital maneuvers (resulting in incomplete maneuvers) [H3] Scenario 53: Satellite controllers are doing orbital maneuvers with a member satellite. The satellite controllers provide a disarm command because they incorrectly believe that the maneuvers are finished. This flawed process model will occur because there is no telemetry indicating that the satellite is doing maneuvers while it still is doing them, and the thrust telemetry can indicate no thrust. This can be true if the satellite is in the coasting phase of an orbital maneuver, and there is no way to determine that the satellite is in the middle of a maneuver but in the controller mind. As a result, the maneuver operation is flawed, and the satellite ends in an inadequate orbit [H3]

Scenario 54: Satellite controllers are doing orbital maneuvers. The subsystem is behaving as expected. The satellite controllers provide a disarm command to stop the maneuver because they believe that the system is not performing as expected. This flawed process model will occur if incorrect information is received from the subsystem or if it is wrongly interpreted. This can happen if any of the following occur:

- The controller is looking to the telemetry of another satellite.
  - The subsystem reports incorrect data due to a faulty sensor.

As a result, the maneuver operation is flawed, and the satellite ends in an inadequate orbit [H3]

Scenario 55: Satellite controllers are doing orbital maneuvers. The subsystem is behaving as expected. Satellite controllers provide a disarm command to stop the maneuver because they believe that the system is not performing as expected. This flawed process model will occur if the received information is wrongly interpreted by the controllers. This can happen if any of the following occur:

- The alarm telemetry is ambiguous or confusing
- The controller is looking to direct actuator telemetry, and there is no information on the expected correct values for a parameter
- The telemetry display is difficult to read

As a result, the maneuver operation is flawed, and the satellite ends in an inadequate orbit [H3]

	Scenario 56: Satellite controllers are doing maneuver operations. The subsystem is behaving as expected. The satellite controllers provide a disarm command to the satellite that was necessary for another satellite. As a result, the maneuver operation is flawed, and the satellite ends in an inadequate orbit [H3]
UCA-A1.18: Satellite controllers provide a disarm too late after concluding orbital maneuvers (resulting in a waste of energy that prevents the payload from operating) [H1]	Scenario 57: Satellite controllers have finished doing payload operations as requested by a payload plan. The payload is on. The satellite controller does not send the payload off command in the specified timeframe due to a lack of training or because it is busy with another task. As a result, the system uses more power than expected and cannot execute future payload operations because of lack of power [H1]
UCA-A1.19: Satellite controllers do not provide propulsion command when requested in a burn plan. [H3]	Scenario 58: Satellite controllers are about to do orbital maneuvers as requested by a burn plan when a satellite is in an inadequate orbit. The propulsion subsystem is armed. The satellite controllers do not send the propulsion command because they are busy with another task.
UCA-A1.20: Satellite controllers provide a propulsion command when the satellite is armed but was not specified in the burn plan. [H3]	Scenario 59: A satellite is armed because Satellite controllers are doing orbital maneuvers. Satellite controllers provide a propulsion command that was not specified in the burn plan because they inadvertently confuse which satellite they were supervising. As a result, the satellite orbit is incorrectly modified, and the satellite ends in an inadequate orbit [H3].
UCA-A1.21: Satellite controllers provide a propulsion command in a wrong direction or magnitude when the system is armed, and it	Scenario 60: Satellite controllers need to perform orbital maneuvers on a satellite to correct its orbit. The system is armed. An incorrect direction or magnitude is sent to the propulsion controller because the satellite controller inputs a typo or copy-paste an incorrect value from the burn plan. As a result, the maneuver operation is flawed, and the satellite stays in an inadequate orbit [H3]
is specified in the burn plan. [H3]	Scenario 61: Satellite controllers need to perform orbital maneuvers on a satellite to correct its orbit. The system is armed. An incorrect direction or magnitude is sent to the propulsion controller because the burn plan incorrectly specified so. As a result, the maneuver operation is flawed, and the satellite stays in an inadequate orbit [H3]
UCA-A1.22: Satellite controllers provide a propulsion command when the system is	Scenario 62: A member satellite is transitioning to the correct attitude for an orbital maneuver but did not arrive there yet. Satellite controllers provide a propulsion command because they incorrectly believe the satellite has the correct attitude. This flawed process model will occur if the attitude subsystem state

armed, and the satellite has not reached the correct attitude. [H3] (indicating a locked attitude) is not received or ignored. This can happen if any of the following occur:

- The controller ignores the state telemetry because it is usually very fast to lock the attitude.
- The "transitioning" concept state is not implemented in the subsystem, and the controllers are incorrectly trained to derive it from the direct attitude telemetry (which might be confusing).
- The state is sensed but not transmitted in the telemetry, and other telemetry makes him believe it is locked.
- The state is received in the ground but is not displayed in the operator console, and other telemetry makes him believe it is locked.

As a result, a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3]

Scenario 63: The satellite is doing orbital maneuvers. The propulsion subsystem is disarmed. The satellite controllers send a propulsion command because the operating procedures did not specify to check for that. As a result, the burn is not done, and the satellite ends in an inadequate orbit [H3]

Scenario 64: The satellite is doing orbital maneuvers. The propulsion subsystem is disarmed. The satellite controllers send a propulsion command because they believe the system is armed. This flawed process model will occur if the subsystem mode feedback is ignored (and assumed as armed) or wrongly interpreted. This can happen if any of the following:

- The controller is looking to the telemetry of another satellite.
- The controller is busy and believes it is armed due to previous experiences

As a result, the burn is not done, and the satellite ends in an inadequate orbit [H3]

Scenario 65: The satellite is doing orbital maneuvers. The propulsion subsystem is disarmed. The satellite controllers send a propulsion command because they believe the system is armed. This flawed process model will occur if the subsystem mode feedback is never received or received late by the controller. This can happen if any of the following:

- The subsystem changed its mode indirectly, and new telemetry did not arrive yet due to a communication problem
- The value is not displayed in the controller terminal (and assumed armed).

As a result, the burn is not done, and the satellite ends in an inadequate orbit [H3]

UCA-A1.24: Satellite controllers provide a propulsion command for Scenario 66: A satellite is doing a payload operation. The controller receives a burn plan to execute that was inadequately coordinated (Unsafe control action

UCA-A1.23: Satellite controllers provide a propulsion command for an orbital maneuver when the propulsion subsystem is not armed

[H3]

an orbital maneuver when the satellite is doing a payload maneuver [H1] received from other controllers) and sends a propulsion command to execute it. As a result, the payload operation is flawed. [H1]

Scenario 67: A satellite is doing a payload operation. The controller receives a sends a propulsion command to perform a test because he believes that it is safe to do it. This flawed process model will occur if the satellite controller has incorrect or wrongly interpreted information about the state of the satellite. This can happen if any of the following:

- The controller is looking to the telemetry of another satellite
- The operational state is manually tracked in the satellite controllers' mind, but the information "corrupted," the person is not available, or it takes too long to answer.

- The operational mode is tracked on a flawed software system. As a result, the payload operation is flawed. [H1]

Scenario 68: A satellite is armed because Satellite controllers are doing orbital maneuvers. Satellite controllers provide propulsion later than specified in the burn plan because they were busy with another higher-priority task. As a result, the satellite orbit is incorrectly modified, and the satellite ends in an inadequate orbit [H3].

Scenario 69: (1.4.1) A maneuver or a payload plan needs a specific attitude. The satellite controllers do not provide a corrective attitude command because they incorrectly believe the satellite was with the correct attitude. This flawed process model will occur if an incorrect attitude from the satellite is received. This can happen if any of the following occur:

- The satellite's attitude determination algorithm is flawed

- The satellite's attitude determination sensors are not working correctly.

As a result, the satellite is in an incorrect attitude for the requested plan and cannot perform its mission objectives (science, comms, etc.) [H1] or correct the inadequate orbit [H3].

Scenario 70: (1.4.2) A maneuver or a payload plan needs a specific attitude. Satellite controllers do not provide a corrective attitude command because they incorrectly believe the satellite was with the correct attitude. This flawed process model will occur if the attitude from the satellite is interpreted wrongly. This can happen if any of the following occur:

- The attitude telemetry is ambiguous (for example If using quaternions without a definition of the fields)
- There is no information on the expected correct values for the attitude telemetry

UCA-A1.25: Satellite controllers provide a propulsion command later than specified in the burn plan when the satellite is doing orbital maneuvers [H3]

UCA-A1.26: Satellite controllers do not provide an attitude command when necessary for a payload or maneuver operation [H1, H3] - The telemetry display is difficult to read (for example if using quaternions directly)

As a result, the satellite is in an incorrect attitude for the requested plan and cannot perform its mission objectives (science, comms, etc.) [H1] or correct the inadequate orbit [H3].

Scenario 71: Satellite controllers are about to do orbital maneuvers as requested by a burn plan when a satellite is in an inadequate orbit. The propulsion subsystem is armed. The satellite controller does not send the propulsion command due to a lack of training or because it is busy with another task. As a result, the satellite is in an incorrect attitude for the requested plan and cannot perform its mission objectives (science, comms, etc.) [H1] or correct the inadequate orbit [H3].

Scenario 72: (1.2.2) A member satellite attitude is in an unsafe position. Satellite controllers do not provide an attitude command because the operating procedures did not specify to monitor this or how to proceed if this occurs. As a result, the satellite attitude stays in an unsafe position that can damage the hardware [H4]

provide a change did n attitude mode when the satellite is in an unsafe attitude (i.e.,, sun on a Scen payload, no sun on solar cont panels, etc.) [H4] the s

UCA-A1.27: Satellite

controllers do not

Scenario 73: (1.4.1) A member satellite attitude is in an unsafe position. Satellite controllers do not provide an attitude command because they incorrectly believe the satellite was not in an unsafe position. This flawed process model will occur if an incorrect attitude from the satellite is received. This can happen if any of the following occur:

- The attitude information is corrupted in the transmission or during the representation in the controller screen.
- The satellite's attitude determination algorithm is flawed
- The satellite's attitude determination sensors are not working properly.

As a result, the satellite attitude stays in an unsafe position that can damage the hardware [H4]

Scenario 74: (1.4.2) A member satellite attitude is in an unsafe position. Satellite controllers do not provide an attitude command because they incorrectly believe the satellite was not in an unsafe position. This flawed process model will occur if the attitude from the satellite is interpreted wrongly or ignored. This can happen if any of the following occur:

- The attitude telemetry is ambiguous (for example If using quaternions without a definition of the fields)
- There is no information on the expected safe values for the attitude telemetry (which might be position and time-dependent)
- The telemetry display is difficult to read (for example if using quaternions directly)
- The operator is busy and ignores the hazardous attitude of telemetry.

As a result, the satellite attitude stays in an unsafe position that can damage the hardware [H4]

Scenario 75: (1.4.3) A member satellite attitude is in an unsafe position. Satellite controllers do not provide an attitude command because they incorrectly believe the satellite was not in an unsafe position. This flawed process model will occur if the attitude from the satellite is delayed or never arrived (and outdated data is used). This can happen if there are communication problems with the satellite. As a result, the satellite attitude stays in an unsafe position that can damage the hardware [H4]

Scenario 76: (1.2.2) Satellite controllers need to modify a satellite attitude as requested in maneuvers or payload plan, but they the incorrect mode and/or setpoint is sent in the attitude command to the attitude controller. This can happen if the controller inputs a typo or copy-paste an incorrect value as specified. As a result, the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 77: (1.2.2) Satellite controllers need to modify a satellite attitude as requested in a maneuver or payload plan, but the incorrect mode and/or setpoint is sent in the attitude command to the attitude controller. This can happen due to a lack of training in how to translate plans attitude requests into specific attitude commands for a particular satellite. As a result, the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

UCA-A1.29: Satellite controllers provide an attitude command with a forbidden setpoint and mode when doing maintenance operations. [H4] Scenario 78: Satellite controllers need to do maintenance operations with the satellite and incorrectly command the attitude controller into a forbidden attitude because they do not have the necessary information on what is safe or not safe to do. As a result, the satellite ends up in an unsafe attitude that can damage the hardware [H4]

UCA-A1.30: Satellite controllers provide an attitude change when a satellite does not need it. [H1, H2, H3, H4] Scenario 79: (1.X) A satellite has the required attitude for a payload operation, idle, or maneuver operation. Satellite controllers provide an attitude change command because they inadvertently command the incorrect satellite. As a result, the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

needed by a payload or a maneuver operation [H1, H2, H3, H4]

UCA-A1.28: Satellite

controllers provide an

the incorrect mode or

setpoint when it is

attitude command with
Scenario 80: (1.4.1) A satellite has the required attitude for a payload operation, idle, or maneuver operation. Satellite controllers provide an attitude change command because they incorrectly believe the satellite has not the required attitude. This flawed process model will occur If an incorrect attitude is received from the satellite. This can happen If any of the following occur:

- The attitude information is corrupted in the transmission or during the representation in the controller screen.
- The satellite's attitude determination algorithm in the satellite is flawed
  - The satellite's attitude determination sensors are not working properly.

As a result, the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 81: (1.4.2) A satellite has the required attitude for a payload operation, idle, or maneuver operation. Satellite controllers provide an attitude change command because they incorrectly believe the satellite has not the required attitude. This flawed process model will occur If the correct attitude is received from the satellite, but it is wrongly interpreted. This can happen If any of the following occur:

- The attitude telemetry is ambiguous (for example If using quaternions without a definition of the fields)
- There is no information on the expected safe values for the attitude telemetry (which might be position and time-dependent)
- The telemetry display is difficult to read (for example if using quaternions directly)

- The attitude telemetry there are looking at is from another satellite. As a result, a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 82: A satellite is doing a maneuver operation. The controller receives a payload plan to execute that was inadequately coordinated (Unsafe control action received from other controllers) and sends an attitude command for it. As a result, the payload operation is flawed [H1], and a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3

Scenario 83: A satellite is doing a maneuver or payload operation. The controller receives a sends an attitude command to perform a test because he believes that it is safe to do it. This flawed process model will occur if the satellite controller has incorrect or wrongly interpreted information about the state of the satellite. This can happen if any of the following:

- The controller is looking to the telemetry of another satellite

UCA-A1.31: Satellite controllers provide an attitude change for a payload operation when the satellite is doing a maneuver operation or vice-versa. [H1, H3] - The operational state is manually tracked in the satellite controllers' mind, but the information "corrupted," the person is not available, or it takes too long to answer.

- The operational mode is tracked on a flawed software system. As a result, the payload operation is flawed [H1] or a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3

UCA-A1.32: Satellite controllers provide an attitude command too late when it is required by a payload or maneuver operation [H1, H2, H3]

because they were busy with another task, or the process of generating the command takes too long. As a result, the attitude will not be ready on time, and the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], or the satellite uses an active payload over a forbidden target [H2]

Scenario 84: A satellite needs to change its attitude as requested by a maneuver or

payload plan. The satellite controllers provide an attitude command too late

UCA-A1.33: Satellite controllers do not provide a payload on command before sending ad-hoc payload commands when is needed [H1] Scenario 85: Satellite controllers are about to do payload operations maneuvers as requested by a payload. The satellite controller does not send the payload on command because the operating procedures did not specify that such a command should be sent before starting payload operations. As a result, the payload operation is flawed, and the satellite cannot perform its mission. [H1]

Scenario 86: Satellite controllers are about to do payload operations maneuvers as requested by a payload. The satellite controller does not send the payload on command due to lack of training or because it is busy with another task. As a result, the payload operation is flawed, and the satellite cannot perform its mission. [H1]

Scenario 87: Satellite controllers are about to do payload operations maneuvers as requested by a payload. The payload was turned on in the past by a satellite controller command, but now the payload is off due to an unexpected reboot. The satellite controllers do not send a payload on command before issuing a propulsion command because they do not realize the indirect change of mode. This can happen if:

- Satellite controllers are not trained to check for arm/disarm before each command or periodically.
- Satellite controllers are unaware that this can happen
- Satellite controllers do not check the telemetry because they are overloaded with other tasks (like supervising other satellites).

- The status display is not easily accessible in the controller screen.

As a result, the payload operation is flawed, and the satellite cannot perform its mission. [H1]

Scenario 88: Satellite controllers are about to do payload operations maneuvers as requested by a payload. The satellite controllers do not provide the payload on command because they incorrectly believe that it is already on. This flawed process model will occur if the current status is received, but it is incorrectly interpreted or ignored by the operator. This can happen if any of the following occur:

- The controller is inadvertently looking at the telemetry of another satellite.
- The controller ignores the new value on the screen and assumes it is armed because it usually is.
- The status display is not easily accessible in the controller screen.
- The telemetry is coded in an ambiguous or confusing way (using numbers instead of words for example)

As a result, the payload operation is flawed, and the satellite cannot perform its mission. [H2]

Scenario 89: A satellite controller sends a payload on command when the satellite is over or pointing to a forbidden area (i.e., to do a test requested by an engineering team or a maintenance operation) because the operating procedures did not specify that such command shouldn't be sent over specific areas or conditions. As a result, the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2]

Scenario 90: A satellite controller sends a payload on command when the satellite is over or pointing to a forbidden area (i.e., to do a test requested by an engineering team or a maintenance operation) because they believe the satellite is not over a forbidden area. This flawed model will occur if the information on forbidden areas is incorrect or does not exist. This can happen if any of the following occur:

- The forbidden areas are outdated in the operational constraints.
- The operational constraints do not specify any forbidden area.

As a result, the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2]

Scenario 91: A satellite controller sends a payload on command when the satellite is over or pointing to a forbidden area (i.e., to do a test requested by an engineering team or a maintenance operation) because they believe the satellite is not over a forbidden area. This flawed model will occur if the satellite controller incorrectly interprets satellite attitude and position data. This can happen if:

- The controller is inadvertently looking at the telemetry of another satellite.
- The satellite telemetry is ambiguous or difficult to interpret (for example, using cartesian position instead of latitude/longitude or if quaternions instead of a "simpler" system.

UCA-A1.34: Satellite controllers provide a payload on command when the satellite is over or pointing to a forbidden area (assuming that there is an active payload like a radio or a radar) [H2] As a result, the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2]

UCA-A1.35: Satellite controllers provide a payload on command when the satellite is in a forbidden attitude (resulting in a damaged payload) [H4] Scenario 92: A satellite is pointing into a forbidden (hazardous) attitude for the payload. A satellite controller sends a payload on command when it was requested to do it (i.e., to do a test requested by an engineering team or a maintenance operation) because the operating procedures did not specify that such command should not be sent with particular attitudes. As a result, a satellite payload is on in a forbidden attitude for a payload [H4].

Scenario 93: A satellite is pointing into a forbidden (hazardous) attitude for the payload. A satellite controller sends a payload on command when it was requested to do it (i.e., to do a test requested by an engineering team or a maintenance operation) because they believe it is not with a forbidden attitude. This flawed model will occur if the information about forbidden attitudes is incorrect or does not exist. As a result, a satellite payload is on in a forbidden attitude for a payload [H4].

Scenario 94: A satellite is pointing into a forbidden (hazardous) attitude for the payload. A satellite controller sends a payload on command when it was requested to do it (i.e., to do a test requested by an engineering team or a maintenance operation) because they believe it is not with a forbidden attitude. This flawed model will occur if the satellite controller incorrectly interprets satellite attitude information. This can happen if:

- The controller is inadvertently looking at the telemetry of another satellite.
- The satellite telemetry is ambiguous or difficult to interpret (if using quaternions instead of a "simpler" system)

As a result, a satellite payload is on in a forbidden attitude for a payload [H4].

UCA-A1.36: Satellite controllers do not provide a payload off command when it is not needed anymore (for active payloads or wasting energy) [H1, H2, H4] Scenario 95: Satellite controllers concluded payload operations with a member satellite. The payload is on. The satellite controllers do not send the disarm command because the operating procedures did not specify that a payload off command should be sent after doing maneuver operations. As a result, the system uses more power than expected and cannot execute future payload operations because of lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2].

Scenario 96: Satellite controllers concluded payload operations with a member satellite. The payload is on. The satellite controllers do not send a payload off command due to a lack of training. As a result, the system uses more power than expected and cannot execute future payload operations because of lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2].

	Scenario 97: Satellite controllers concluded payload operations with a member satellite. The payload is on. The satellite controllers do not send payload off command because they were busy with other tasks and forget to do it. As a result, the system uses more power than expected and cannot execute future payload operations because of lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2].
	Scenario 98: Satellite controllers concluded payload operations with a member satellite. The payload is on. The satellite controllers do not send a payload off command because they know it will be used shortly and this will alleviate their workload. As a result, the system uses more power than expected and cannot execute future payload operations because of lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2].
UCA-A1.37: Satellite controllers provide a payload off command too late after a payload operation [H1, H2, H4]	Scenario 99: Satellite controllers have finished doing payload operations as requested by a payload plan. The payload is on. The satellite controller does not send the payload off command in the specified timeframe due to a lack of training or because it is busy with another task. As a result, the system uses more power than expected and cannot execute future payload operations because of lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2].
UCA-A1.38: Satellite controllers provide a payload off command when the satellite is still performing a payload operation, and the subsystem has no alarms[H1]	<ul> <li>Scenario 100: Satellite controllers are doing payload operations. The subsystem is behaving as expected. The satellite controllers provide payload off command because they believe that the subsystem is not performing as expected. This flawed process model will occur if incorrect information is received from the subsystem or if it is wrongly interpreted. This can happen if any of the following occur: <ul> <li>The controller is looking to the telemetry of another satellite.</li> <li>The subsystem reports incorrect data due to a faulty sensor.</li> <li>The telemetry coming from the subsystem "looks" odd for a controller despite there are no alarms.</li> </ul> </li> <li>As a result, the payload operation is flawed, and the satellite is unable to perform its objectives. [H1]</li> </ul>
UCA-A1.39: ODT does	Scenario 101: Satellite controllers are doing payload operations. The subsystem is behaving as expected. The satellite controllers provide a payload off command to the satellite that was necessary for another satellite. As a result, the payload operation is flawed, and the satellite is unable to perform its objectives. [H1] Scenario 102: A member satellite is in a potential collision trajectory with another orbiting body. The potential collision is not detected because the collision

when a satellite is in a collision trajectory. [H3.2] detection system (an algorithm) implementation flawed, and there is no alternative source for collision notices available. As a result, the burn plan is not sent to the satellite controllers, and the satellite stays in a collision trajectory. [H3.2]

Scenario 103: A member satellite is in a potential collision trajectory with another orbiting body. Satellite controllers get contradictory collision information from satellite telemetry and third-party SSA supplier. The propulsion commands are not sent because the operating procedures did not specify what should be done when there is contradictory information. As a result, the burn plan is not sent to the satellite controllers, and the satellite stays in a collision trajectory. [H3.2]

Scenario 104: A member satellite is in a potential collision trajectory with another orbiting body. A potential collision is detected, but another controller says it will interfere with payload operations, and there are no specified priorities. As a result, the burn plan is not sent to the satellite controllers, and the satellite stays in a collision trajectory. [H3.2]

Scenario 105: A member satellite is in a potential collision trajectory with another orbiting body. ODT does not send a maneuver to the satellite controllers because they incorrectly believe that the satellite is not in a collision trajectory. This flawed process model will occur if the potential collision information is not received, determined from the ephemeris, or is received too late. This can happen if any of the following occur:

- The collision detection system in the ground is flawed and does not detect the hazard or takes too long to do it.
- The ephemeris the satellites provide is flawed or is not received, and there is no third party SSA provider.
- The collision notice never arrives from the third party SSA provider due to a communications problem.
- The third-party supplier (if this is the only option) is flawed or does not communicate the notice on time.

As a result, the burn plan is not sent to the satellite controllers, and the satellite stays in a collision trajectory. [H3.2]

Scenario 106: A member satellite is in a potential collision trajectory with another orbiting body. A burn plan is not issued because the ODT incorrectly believes that a previous corrective plan they sent was executed successfully. This can happen if the plan execution feedback from the Satellite controllers is ignored or incorrectly interpreted. As a result, the burn plan is not sent to the satellite controllers, and the satellite stays in a collision trajectory. [H3.2]

UCA-A1.40: ODT does not provide a burn plan

doesScenario 107: A member satellite is reaching the limit of or is outside the locationa planrequirement. ODT gets contradictory ephemeris information from satellite

when a satellite is reaching or outside the location requirement [H3.1] telemetry and third-party SSA supplier. A burn plan is not issued to the satellite controllers because the operating procedures did not specify what should be done when there is contradictory information. As a result, the satellite stays in an inadequate orbit. [H3.1]

Scenario 108: A member satellite is reaching the limit of or is outside the location requirement. A burn plan is not issued to the satellite controllers because ODT believes that the satellite is in the correct position. This flawed process model will occur if they do not receive the satellite ephemeris at all or for a certain period of TBD. This can happen if the following occur:

- There is no ephemeris information from the third-party SSA, and ephemeris from the satellite is not received due to a communication problem or arrives too late, and outdated ephemeris showing no conflict is still in use.
- There is no ephemeris information from the satellite, and ephemeris from the third-party is not received due to a communication problem or arrives too late, and outdated ephemeris showing no conflict is still in use.

As a result, the satellite stays in an inadequate orbit. [H3.1]

Scenario 109: A member satellite is reaching the limit of or is outside the location requirement. A burn plan is not issued to the satellite controllers because ODT believes that the satellite is in the correct position. This flawed process model will occur if they receive correct ephemeris, but it is ignored because Satellite controllers are busy with another task, or it is believed to be from another satellite. As a result, the burn plan is not issued to the satellite controllers, and the satellite stays in an inadequate orbit. [H3.1]

Scenario 110: A member satellite is reaching the limit of or is outside the location requirement. A burn plan is not issued to the satellite controllers because Satellite controllers believe that the satellite is in the correct position. This flawed process model will occur if they receive incorrect ephemeris form the satellite or an external source of ephemeris. This can happen if any of the following occur:

- The GPS in the satellite is flawed, and it incorrectly determines the position and velocity, and there is no other source of ephemeris.
- The ephemeris from the satellite is unavailable, and the third party source is corrupted or from another satellite.

As a result, the burn plan is not issued to the satellite controllers, and the satellite stays in an inadequate orbit. [H3.1]

Scenario 111: A member satellite is reaching the limit of or is outside the location requirement. A burn plan is not issued because the ODT incorrectly believes that a previous corrective plan they sent was executed successfully. This can happen if the plan execution feedback from the Satellite controllers is ignored or incorrectly

interpreted. As a result, the burn plan is not issued to the satellite controllers, and the satellite stays in an inadequate orbit. [H3.1]

UCA-A1.41: ODT does not provide a burn plan when a satellite is in a reentry trajectory over a populated area. [H3.3] Scenario 112: A member satellite is in a reentry trajectory over a populated area. This is not detected by the ODT team because the detection algorithm is flawed, and propulsion commands are not sent. As a result, a burn plan is not issued to the satellite controller, and the satellite keeps being in a reentry trajectory over a populated area. [H3.3]

Scenario 113: A member satellite is in a reentry trajectory over a populated area. A burn plan is not issued to the satellite controllers because Satellite controllers believe that the satellite is not on a reentry trajectory over a populated area. This flawed process model will occur if they receive incorrect ephemeris form the satellite or an external source of ephemeris. This can happen if any of the following occur:

- The GPS in the satellite is flawed, and it incorrectly determines the position and velocity, and there is no other source of ephemeris.
- The ephemeris from the satellite is unavailable, and the third party source is corrupted or from another satellite.

As a result, a burn plan is not issued to the satellite controller, and the satellite keeps being in a reentry trajectory over a populated area. [H3.3]

Scenario 114: A member satellite is in a reentry trajectory over a populated area. A burn plan is not issued to the satellite controllers because Satellite controllers believe that the satellite is not on a reentry trajectory over a populated area. This can happen if the received ephemeris is ignored or if there is no autonomous monitoring system in place, and human controllers are busy with other tasks. As a result, a burn plan is not issued to the satellite controller, and the satellite keeps being in a reentry trajectory over a populated area. [H3.3]

Scenario 115: A member satellite is in a reentry trajectory over a populated area. A burn plan is not issued because the ODT incorrectly believes that a previous corrective plan they sent was executed successfully. This can happen if the plan execution feedback from the Satellite controllers is ignored or incorrectly interpreted. As a result, the burn plan is not sent to the satellite controllers, and the satellite stays in a collision trajectory. [H3.2]

UCA-A1.42: ODT provides a burn plan when a satellite is on the correct orbit and not in a collision or reentry over a Scenario 116: A member satellite has the required orbit and is not in a collision or a reentry trajectory. ODT issues a burn plan to the satellite controllers because they believe that the satellite is in an incorrect orbit. This flawed process model will occur if incorrect satellite ephemeris is received. This can happen if any of the following occurs:

- The GPS in the satellite is flawed, and it incorrectly determines the position and velocity, and there is no other source of ephemeris.

populated area trajectory [H3]	<ul> <li>The GPS telemetry is corrupted during the transmission to ground, and there is no other source of ephemeris.</li> <li>The ephemeris from the satellite is unavailable, and the third-party source is corrupted or from another satellite.</li> <li>As a result, the satellite ends in an inadequate orbit [H3]</li> <li>Scenario 117: A member satellite has the required orbit and is not in a collision or a reentry trajectory. Satellite controllers provide a propulsion command to the satellite because they believe that the satellite is in an incorrect orbit. This flawed process model will occur if Satellite controllers confuse the ephemeris with another satellite in an inadequate orbit. As a result, the satellite ends in an inadequate orbit [H3]</li> </ul>
UCA-A1.43: ODT	Scenario 118: A satellite is doing payload operations. ODT team issues a burn
provides a burn plan when a satellite is performing a payload operation [H1, H3]	plan because the operational procedures do not specify to coordinate with the OD team and satellite controllers team before doing it. As a result, the maneuver is flawed, and the satellite ends in an inadequate orbit [H3], or the payload operation is flawed [H1].
	Scenario 119: A satellite is doing payload operations. ODT team issues a payload plan due to inadequate coordination with the ODT and satellite controllers (because they are busy doing other tasks or there are no clear coordination rules). As a result, the maneuver is flawed, and the satellite ends in an inadequate orbit [H3], or the payload operation is flawed [H1].
	<ul> <li>Scenario 120: A satellite is doing maneuver operations. The payload team issues a burn plan because they believe the satellite is not doing payload operations. This flawed process model will occur if the operational satellite mode used in the coordination is incorrect. This can happen if any of the following occur: <ul> <li>The operational state is manually tracked in the satellite controllers' mind, but the information "corrupted," the person is not available, or it takes too long to answer.</li> <li>The operational mode is tracked on a software system that is flawed.</li> <li>The operational mode is confused from the one of another satellite.</li> </ul> </li> <li>As a result, the maneuver is flawed, and the satellite ends in an inadequate orbit [H3] the payload operation is flawed [H1].</li> </ul>
UCA-A1 44. ODT	Scenario 121: ODT needs to perform orbital maneuvers on a satellite to corrects
provides a burn plan with incorrect angles or forces when a satellite is in an inadequate orbit[H3]	its orbit and an incorrect direction or magnitude in the burn plan because of the internal orbital maneuvering algorithm (human or software) was flawed (i.e., incorrect reference system used). As a result, the maneuver operation is flawed, and the satellite keeps being in an inadequate orbit [H3]

Scenario 122: ODT needs to perform orbital maneuvers on a satellite to

corrects its orbit. The system is armed. ODT provides a burn plan in the wrong direction or with the wrong magnitude because they incorrectly believe it is in the correct direction and magnitude for that specific satellite. This flawed process model will occur if incorrect information about the satellite is received, it is never received, or it is ignored. This can happen if:

- The constellation manifest is corrupted, and the information about the satellite configuration is wrong.
- The constellation manifest never arrives, and they assume a wrong configuration for a particular satellite.
- The constellation manifest is ignored, and they assume a wrong configuration for a particular satellite.

As a result, the maneuver operation is flawed, and the satellite keeps being in an inadequate orbit [H3]

Scenario 123: ODT needs to perform orbital maneuvers on a satellite to corrects its orbit. The system is armed. ODT provides a burn plan with a wrong direction or with the wrong magnitude because they incorrectly believe it is in the correct direction and magnitude for that specific satellite. This flawed process model will occur if incorrect satellite ephemeris is received. This can happen if any of the following occurs:

- The GPS in the satellite is flawed, and it incorrectly determines the position and velocity, and there is no other source of ephemeris.
- The ephemeris used corresponds to another satellite.
- The ephemeris from the satellite is unavailable, and the third-party source is corrupted or from another satellite.

As a result, the maneuver operation is flawed, and the satellite keeps being in an inadequate orbit [H3]

UCA-A1.45: ODT	Scenario 124: ODT needs to decommission a satellite by de-orbiting it. A burn
provides a burn plan	plan is issued to the satellite controllers that put the satellite in a reentry
that puts the satellite in	trajectory that inadvertently falls over a populated area because the operational
reentry trajectory over	constraints did not mention checking for populated areas for determining reentry
a populated area	trajectories. As a result, the satellite is in a reentry trajectory over a populated
trajectory when	area [H3.3]
decommissioning a	
satellite [H3]	Scenario 125: ODT needs to decommission a satellite by de-orbiting it. A burn

plan is issued to the satellite controllers that put the satellite in a reentry trajectory that inadvertently falls over a populated area because the tools used to determine the trajectory were flawed (incorrect algorithms, outdated maps, outdated environmental information, etc.) and indicated a safe reentry. As a result, the satellite is in a reentry trajectory over a populated area [H3.3]

UCA-A1.46: ODT provides a burn plan that puts the satellite in

Scenario 126: ODT needs to correct a satellite relative position in the constellation. A burn plan is issued to the satellite controllers that put the satellite in a potential collision trajectory with another orbiting body because the

a potential collision trajectory when doing station-keeping maneuvers [H3]	operational procedures did not mention to check for potential collisions or due to lack of training. As a result, the satellite ends in a potential collision trajectory with another orbiting body. [H3.2] Scenario 127: ODT needs to correct a satellite relative position in the constellation. A burn plan is issued to the satellite controllers that put the satellite in a potential collision trajectory with another orbiting body because the algorithm used to determine potential collision was flawed. As a result, the satellite ends in a potential collision trajectory with another orbiting body. [H3.2] Scenario 128: ODT needs to correct a satellite relative position in the constellation. A burn plan is issued to the satellite controllers that put the satellite in potential collision trajectory with another orbiting body. [H3.2] Scenario 128: ODT needs to correct a satellite relative position in the constellation. A burn plan is issued to the satellite controllers that put the satellite in potential collision trajectory with another orbiting body because the algorithm used to determine used incorrect, outdated, or incomplete ephemeris from other satellites. As a result, the satellite ends in a potential collision trajectory with another orbiting body. [H3.2]
UCA-A1.47: ODT provides a burn plan too late when the satellite is on a collision trajectory, reentry trajectory over a populated area or reaching the limit ofof the relative position requirement [H3]	<ul> <li>Scenario 129: A satellite is on a collision trajectory, reentry trajectory over a populated area, or reaching the limit of the relative position requirement. ODT issues a burn plan to correct the orbit too late because they did not realize the hazardous state. This flawed process model will occur if ephemeris information of the satellite takes too long to arrive. This can happen if any of the following occur: <ul> <li>Updated satellite ephemeris on the satellite is not received because there is no communication with the satellite, and there is no third-party ephemeris source.</li> <li>Satellite ephemeris is not available, and third-party ephemeris is not available on time.</li> <li>Satellite ephemeris is delayed because of a communication problem, and there is no third-party ephemeris available.</li> </ul> </li> <li>As a result, the maneuver is done late, and the satellite ends in an inadequate orbit [H3]</li> </ul>
	Scenario 130: A satellite is on a collision trajectory, reentry trajectory over a populated area, or reaching the limit of the relative position requirement. ODT issues a burn plan to correct the orbit too late because they did not realize the hazardous state. This flawed process model will occur if control algorithms or decision-making processes take too long to detect it. As a result, the required maneuver is done late, and the satellite ends in an inadequate orbit [H3]
UCA-A1.48: Payload team does not provide a payload plan when needed for a mission goal [H1]	Scenario 131: A mission goal requires to perform a payload operation. The translation of goals to specific mission plans is done manually by the payload team. They do not issue a payload plan for a specific satellite because they are busy with other tasks and missed the opportunity. As a result, a satellite is unable to perform its objectives [H1]

UCA-A1.49: Payload provides a payload plan when a satellite is performing a maneuver operation [H1, H3] Scenario 132: A mission goal requires to perform a payload operation. The translation of goals to specific mission plans is done with a software tool. The software tool is flawed and does not generate a payload plan for a specific satellite. As a result, the satellite is unable to perform its objectives [H1] Scenario 133: A satellite is doing maneuver operations. The payload team issues a payload plan because the operational procedures do not specify to coordinate with the OD team and satellite controllers team before doing it. As a result, the maneuver is flawed, and the satellite ends in an inadequate orbit [H3], or the payload operation is flawed [H1].

Scenario 134: A satellite is doing maneuver operations. Payload team issues a payload plan due to inadequate coordination with the ODT and satellite controllers (because they are busy doing other tasks or there are no clear coordination rules). As a result, the maneuver is flawed, and the satellite ends in an inadequate orbit [H3], or the payload operation is flawed [H1].

Scenario 135: A satellite is doing maneuver operations. The payload team issues a payload plan because they believe the satellite is not doing maneuver operations. This flawed process model will occur if the operational satellite mode used in the coordination is incorrect. This can happen if any of the following occur:

- The operational state is manually tracked in the satellite controllers' mind, but the information "corrupted," the person is not available, or it takes too long to answer.
- The operational mode is tracked on a flawed software system.

- The operational mode is confused from the one of another satellite. As a result, the maneuver is flawed, and the satellite ends in an inadequate orbit [H3] the payload operation is flawed [H1].

Scenario 136: A mission goal requires to perform a payload operation. The translation of goals to specific mission plans algorithms (software or human) is flawed and generates an inadequate payload plan for the satellite. As a result, the satellite is unable to perform its objectives [H1]

Scenario 137: A mission goal requests to perform a payload operation. The payload team does issue a payload plan too late for a specific satellite because they are busy with other tasks missing the opportunity. As a result, a satellite is unable to perform its objectives [H1]

Scenario 138: A mission goal requests to perform a payload operation. The payload team does issue a payload plan too late for a specific satellite because their internal algorithm takes too long to create the plan. As a result, a satellite is unable to perform its objectives [H1]

Table 27 - A1 UCA related Causal scenarios

UCA-A1.50: Payload team provides a payload plan with incorrect parameters. [H1] UCA-A1.51: Payload provides a payload plan too late when the needed for a mission goal [H3]

Control action	Scenarios		
Thrust	Scenario 139: The propulsion subsystem tries to produce thrust, but the electrical signals		
	never reach the actuators due to a wiring or communications problem. As a result, no		
	thrust is produced, and the satellite ends in an inadequate orbit [H3]		
	Scenario 140: The propulsion subsystem tries to produce thrust, but the electrical signals are improperly conditioned due to interference, damaged wiring, etc. As a result, no or incorrect thrust is produced, and the satellite ends in an inadequate orbit [H3]		
	Scenario 141: The propulsion subsystem commands the actuator, but the thrust is not generated due to actuator failure. As a result, no thrust is produced, and the satellite ends in an inadequate orbit [H3]		
	Scenario 142: The propulsion subsystem commands the actuator, but the thrust is insufficient due to actuator malfunction (lack of pressure or valve failure, i.e.). As a result, no thrust is produced, and the satellite ends in an inadequate orbit [H3]		
	Scenario 143: The propulsion subsystem commands the actuator, but the thrust is inadvertently misaligned due to manufacturing-related problems. As a result, thrust is produced in an unpredicted orientation or magnitude, and the satellite ends in an inadequate orbit [H3]		
	Scenario 144: The propulsion subsystem does not command the actuators, but the thrust is generated due to an actuator failure (a valve failing open, i.e.). As a result, the satellite orbit is inadvertently modified, and the satellite ends in an inadequate orbit [H3]		
	Scenario 145: The propulsion controller commands the actuator to stop producing thrust, but a failure in the actuator keeps producing thrust. (Stuck valve for example). As a result, the satellite orbit is inadvertently modified, and the satellite ends in an inadequate orbit [H3]		
	Scenario 146: The propulsion controller does not produce any thrust, but unexpected external disturbances (a sudden change in atmospheric density altering the drag, a collision with another orbital body) apply a velocity change to a satellite. As a result, the satellite orbit is inadvertently modified, and the satellite ends in an inadequate orbit [H3]		
	Scenario 147: The propulsion subsystem commands the actuator, but no thrust is generated due to a lack of propellant. As a result, no thrust is produced, and the satellite ends in an inadequate orbit [H3]		
Torque command	Scenario 148: The attitude subsystem tries to command the actuators, but the electrical signals never reach the actuators due to a wiring or communications problem. As a result, there is no controlling authority on the satellite attitude and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the		

satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 149: The attitude subsystem tries to command the actuators, but the electrical signals are improperly conditioned due to interference, damaged wiring, etc. As a result, there is no controlling authority on the satellite attitude and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 150: The actuator receives the attitude command, but torque is not generated due to actuator failure. As a result, there is no controlling authority on the satellite attitude and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 151: The attitude subsystem commands the actuator, but the torque is insufficient due to actuator malfunction or degradation. As a result, there control authority is reduced or improper on the satellite attitude and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 152: The attitude subsystem commands the actuator, but the torque is misaligned due to a manufacturing/assembly related problems. As a result, their control authority is defective on the satellite attitude and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 153: The attitude subsystem commands the actuator, but the torque is delayed due to actuator failure. As a result, the control authority on the satellite attitude is defective, and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 154: The attitude controller does not command the actuators, but torque is generated (due to valve failure, for example). As a result, there is no controlling authority on the satellite attitude and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

	Scenario 155: The attitude controller commands the actuator to stop producing torque, but a failure in the actuator keeps producing it (Stuck valve, for example). As a result, there is no controlling authority on the satellite attitude and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
Arm	Scenario 156: Satellite controllers send the arm command when starting orbital maneuvers with a member satellite, but the message never arrived at the satellite due to communications problems. As a result, the system is not prepared for future propulsion commands on time, and the orbital maneuver is flawed, resulting, and the satellite ends in an inadequate orbit [H3].
	Scenario 157: Satellite controllers send the arm command when starting orbital maneuvers with a member satellite, but the subsystem takes longer than expected to become armed. As a result, the system is not prepared for on time for propulsion commands, and the orbital maneuver is flawed, resulting, and the satellite ends in an inadequate orbit [H3].
	Scenario 158: Satellite controllers send the arm command when starting orbital maneuvers, but the subsystem is offline. As a result, the system is not prepared for future propulsion commands, and the orbital maneuver is flawed, resulting, and the satellite ends in an inadequate orbit [H3].
	Scenario 159: Satellite controllers send the arm command when starting orbital maneuvers with a member satellite, but the message arrives with more than TBD seconds of delay to the satellite. As a result, the system is not prepared on time for future propulsion commands, and the orbital maneuver is flawed, resulting, and the satellite ends in an inadequate orbit [H3].
	Scenario 160: Satellite controllers do not send the arm command, but another controller in the satellite network or the ground sends the arm command or the system arms unexpectedly. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].
Disarm	Scenario 161: Satellite controllers send the disarm command when finishing orbital maneuvers with a member satellite, but the message never arrived at the satellite due to communications problems. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].
	Scenario 162: Satellite controllers send the disarm command when finishing orbital maneuvers with a member satellite, but the message arrives with delay to the satellite due to communications problems. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].
	Scenario 163: Satellite controllers send the disarm command when finishing orbital maneuvers with a member satellite, but the subsystem takes longer than expected to

	disarm because it is busy doing another task. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].
	Scenario 164: Satellite controllers do not send the disarm command, but another controller in the satellite or the ground sends it while doing an orbital maneuver. As a result, an orbital maneuver is flawed, and the satellite ends in an inadequate orbit [H3]
Propulsion command	Scenario 165: Satellite controllers send a propulsion command to perform orbital maneuvers with a member satellite, but the message never arrived at the satellite due to communications problems. As a result, an orbital maneuver is flawed, and the satellite ends in an inadequate orbit [H3]
	Scenario 166: Satellite controllers send a propulsion command to perform orbital maneuvers with a member satellite, but the message arrives with more than TBD seconds of delay to the satellite. As a result, an orbital maneuver is flawed, and the satellite ends in an inadequate orbit [H3]
	Scenario 167: Satellite controllers do not send the propulsion command, but another controller in the satellite or in-ground sends it, or the system starts propulsion unexpectedly. As a result, a maneuver is done unproperly, or the satellite change its orbit inadvertently and ends in an inadequate orbit [H3]
Attitude command	Scenario 168: Satellite controllers send an attitude command to a member satellite, but the message never arrives at the satellite due to communications problems. As a result, there is no controlling authority on the satellite attitude and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
	Scenario 169: Satellite controllers send an attitude command to a member satellite, but the message arrives with more than TBD seconds of delay to the satellite due to communication problems. As a result, a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
	Scenario 170: Satellite controllers do not send the attitude command but another controller in the satellite or in-ground sends it (because they confuse which satellite was). As a result, the satellite attitude changes in an unpredictable way and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
Payload on	Scenario 171: Satellite controllers send a payload on command to perform payload maneuvers with a member satellite, but the message never arrived at the satellite due to communications problems. As a result, the satellite is unable to perform its objectives [H1]

	Scenario 172: Satellite controllers send a payload on command to perform orbital maneuvers with a member satellite, but the message arrives with more than TBD seconds of delay to the satellite. As a result, the satellite is unable to perform its objectives [H1] Scenario 173: Satellite controllers do not send a payload on command, but another controller in the satellite or in-ground sends it. As a result, the system uses more power than expected and cannot execute future payload operations because of lack of power [H1] or an active payload is used over forbidden areas [H2]
Payload off	Scenario 174: Satellite controllers send a payload off command to perform payload maneuvers with a member satellite, but the message never arrived at the satellite due to communications problems. As a result, the system uses more power than expected and cannot execute future payload operations because of lack of power [H1] or an active payload is used over forbidden areas [H2] Scenario 175: Satellite controllers send a payload off command to perform orbital maneuvers with a member satellite, but the message arrives with more than TBD seconds of delay to the satellite. As a result, the system uses more power than expected and cannot execute future payload operations because of lack of power [H1] or an active payload is used over forbidden areas [H2]
	Scenario 176: Satellite controllers do not send a payload off command, but another controller in the satellite or in-ground sends it. As a result, a payload operation is flawed [H1]
Burn plan	<ul> <li>Scenario 177: ODT issues a burn plan when a satellite is in an inadequate orbit, but the plan never arrives at the satellite controllers due to a communication problem. As a result, the satellite stays in an inadequate orbit [H3].</li> <li>Scenario 178: ODT issues a burn plan when a satellite is in an inadequate orbit, but the plan is ignored or received later because satellite controllers are busy doing other tasks. As a result, the satellite stays in an inadequate orbit [H3].</li> <li>Scenario 179: ODT does not issue a burn plan, but satellite controllers produce a maneuver because they believe it is necessary or because they confuse to which satellite they were talking to. As a result, the orbit is inadvertently modified, and the satellite ends in an inadequate orbit. [H3]</li> </ul>
Payload plan	Scenario 180: Payload issues a mission plan, but it does not arrive at the satellite controllers due to a communication problem. As a result, the satellite is unable to perform its objectives [H1].

Scenario 181: Payload issues a mission plan, but the plan is ignored or received later because satellite controllers are busy doing other tasks. As a result, the satellite is unable to perform its objectives [H1]

Scenario 182: Payload does not issue a payload plan, but satellite controllers produce a payload plan because they believe it is necessary or because they confuse to which satellite they were talking to. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1], or an active payload is used over forbidden areas [H2].

Table 28 - A1 Non-UCA causal scenarios

#### Network diagram



Figure 29 - A1 STPA Network diagram

# Appendix D – Architecture A2

## Unsafe control actions table

#### Unsafe control actions

				<b>C</b> . 1.
$\begin{array}{c} {\rm Control} \\ {\rm action} \end{array}$	Not providing	Providing	Too early, too late, out of order	Stopped too soon, applied too long
Thrust	UCA-A2.1: The propulsion controller does not generate thrust when it is armed and is commanded to do it [H3]	UCA-A2.2: The propulsion controller generates thrust in an incorrect direction or magnitude when it is armed and commanded to do it [H3] UCA-A2.3: The propulsion controller generates thrust when it is armed, but it was not commanded to do it [H3]	UCA-A2.4: The propulsion controller generates thrust with more than TBD of delay when it is armed and commanded to do it. [H3]	UCA-A2.5: The propulsion controller stops generating thrust when there is no alarm, it was commanded to, and the system is armed. [H3] UCA-A2.6: The propulsion controller keeps generating thrust after being commanded to stop by a thrust=0 or
Torque	UCA-A2.7: The attitude controller does not provide torque when it is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	UCA-A2.8: The attitude controller provides a torque in the wrong direction or with the wrong magnitude when it is needed to maintain or follow a setpoint. [H1, H3, H2, H4] UCA-A2.9: The attitude control subsystem provides a torque when it is not needed [H1, H2, H3, H4]	UCA-A2.10: The attitude controller provides delayed torques when is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	disarm command. [H3] UCA-A2.11: The attitude controller stops applying torque too soon when still needed to maintain or follow a setpoint. [H1, H3, H2, H4] UCA-A2.12: The attitude controller keeps applying torque when not needed anymore. [H1, H3, H2, H4]
Arm	UCA-A2.13: OBC does not provide an arm command before a propulsion command when performing an orbital maneuver (assuming that the satellite is in an inadequate orbit) [H3]	N/A	UCA-A2.14: OBC provides an arm command too early (¿TBD minutes) before an orbital maneuver (resulting in a waste of energy that prevents the payload from operating) [H1] UCA.A2. 15: OBC provides an arm command too late to perform an orbital maneuver when a	N/A

			satellite is in an inadequate orbit. [H3]	
Disarm	UCA-A2.16: OBC does not provide a disarm command after concluding orbital maneuvers (resulting in a waste of energy that prevents the payload from operating) [H1]	UCA-A2.17: OBC provides a disarm command when the system is performing as expected and is doing orbital maneuvers (resulting in incomplete maneuvers) [H3]	UCA-A2.18: OBC provides a disarm command too late after concluding orbital maneuvers (resulting in a waste of energy that prevents the payload from operating) [H1]	N/A
Propulsio n command	UCA-A2.19: OBC does not provide propulsion a command when needed to perform an orbital maneuver as specified in the orbit plan, and the propulsion and attitude subsystems are working as expected [H3]	<ul> <li>UCA-A2.20: OBC provides a propulsion command when the satellite is armed but was not specified in the orbit plan. [H3]</li> <li>UCA-A2.21: OBC provides a propulsion command needed for an orbital maneuver in a wrong direction or magnitude when the system is armed. [H3]</li> <li>UCA-A2.22: OBC provides a propulsion command for doing an orbital maneuver when the satellite has not reached the correct attitude. [H3]</li> <li>UCA-A2.23: OBC provides a propulsion command for an orbital maneuver when the satellite has not reached the correct attitude. [H3]</li> <li>UCA-A2.23: OBC provides a propulsion command for an orbital maneuver when the propulsion subsystem is not armed [H3]</li> <li>UCA-A2.24: OBC provides a propulsion command for an orbital maneuver when the satellite is doing a payload maneuver [H1]</li> </ul>	UCA-A2.25: OBC provides a propulsion command later than it was required for an orbital maneuver [H3]	N/A
Attitude command	UCA-A2.26: OBC does not provide an attitude command when necessary for a payload or maneuver	UCA-A2.28: OBC provides an attitude command with the incorrect mode or setpoint when a	UCA-A2.32: OBC provides an attitude too late when it is required by a payload	N/A

	operation [H1, H11] UCA-A2.27: OBC does not provide an attitude mode when the satellite is in an unsafe attitude (sun on a payload, no sun on solar panels, etc.) [H4]	payload or a maneuver operation needs it. [H1, H2, H3, H4] UCA-A2.29: OBC provides an attitude command with a setpoint in a forbidden face when requested to do it. [H4] UCA-A2.30: OBC provides an attitude command when a satellite does not need it. [H1, H2, H3, H4] UCA-A2.31: OBC provides an attitude change for a payload operation when the satellite is doing a maneuver operation or vice-versa. [H1, H2, H3]	or maneuver operation [H1, H2, H3]	
Payload on	UCA-A2.33: OBC does not provide a payload on command before sending ad-hoc payload commands when is needed [H1]	UCA-A2.34: OBC provides a payload on command when the satellite is over or pointing to a forbidden area (a particular case of having an active payload like a transponder or a radar) [H2] UCA-A2.35: OBC provides a payload on command when the satellite has a forbidden attitude (resulting in a damaged payload) [H4]	N/A	N/A
Payload off	UCA-A2.36: OBC does not provide a payload off command when it is not needed anymore (for active payloads or wasting energy) [H1, H2, H4]	N/A	UCA-A2.37: OBC provides a payload off command too late after a payload operation [H1, H2, H4] UCA-A2.38: OBC provides a payload off command when the satellite is still	N/A

			performing a payload operation, and the subsystem has no alarms[H1]	
Maneuver plan	UCA-A2.39: ODT does not provide a maneuver plan when a satellite is in a collision trajectory. [H3.2] UCA-A2.40: ODT does not provide a maneuver plan when a satellite is reaching or outside the location requirement [H3.1] UCA-A2.41: ODT does not provide a maneuver plan when a satellite is in a reentry trajectory over a populated area. [H3.3]	<ul> <li>UCA-A2.42: ODT provides a maneuver plan when a satellite is on the correct orbit and not in a collision or reentry over a populated area trajectory [H3]</li> <li>UCA-A2.43: ODT provides a maneuver plan when a satellite is performing a payload operation [H1, H3]</li> <li>UCA-A2.44: ODT provides a maneuver plan with an incorrect mode or parameters when a satellite is in an inadequate orbit[H3]</li> <li>UCA-A2.45: ODT provides a maneuver plan that puts the satellite in reentry trajectory over a populated area trajectory when decommissioning a satellite [H3]</li> <li>UCA-A2.46: ODT provides a maneuver plan that puts the satellite in a potential collision trajectory when doing station- keeping maneuvers [H3]</li> </ul>	UCA-A2.47: ODT provides a maneuver plan too late when the satellite is on a collision trajectory, reentry trajectory over a populated area or reaching the limit ofof the relative position requirement [H3]	N/A
Payload plan	UCA-A2.48: Payload team does not provide a payload plan when needed for a mission goal [H1]	UCA-A2.49: Payload provides a payload plan when a satellite is performing a maneuver operation [H1, H3] UCA-A2.1: Payload team provides a payload plan with	UCA-A2.50: Payload provides a payload plan too late when the needed for a mission goal [H3]	N/A

		incorrect parameters. [H1]		
Start maintena nce	UCA-A2.51: Satellite controllers do not provide a start maintenance command when a satellite is malfunctioning [H1, H3]	UCA-A2.52: Satellite controllers provide a start maintenance command when a satellite is functioning as expected. [H1]	UCA-A2.53: Satellite controllers provide start maintenance too late when a satellite is malfunctioning [H1, H3]	N/A

Table 29- A2 UCA Table

### Causal scenarios

(Thrust, torque, maneuver plan, and payload plan commands causal scenarios are the same as in architecture A1 and are not included here).

UCA	Scenarios
UCA-A2.13: OBC does not	Scenario 43: The OBC is about to start orbital maneuvers. The propulsion
provide an arm command	subsystem is disarmed. The OBC fails and goes to shut-down or fault-handling
before a propulsion	mode before issuing the command. When it comes back to nominal mode, it
command when performing	does not issue the arm command because the expected time for that has passed,
an orbital maneuver	and there is no way to know if the command was issued and successful or not.
(assuming that the satellite	As a result, the maneuver is flawed, and the satellite stays in an inadequate
is in an inadequate orbit)	orbit [H3]
[H3]	
	Scenario 44: The OBC is about to start orbital maneuvers. The propulsion
	subsystem is disarmed. The OBC does not provide an arm command because it
	was not specified to do that before a maneuver. As a result, the maneuver is
	flawed, and the satellite stays in an inadequate orbit [H3]
	Scenario 45: The OBC is about to start orbital maneuvers. The propulsion
	subsystem is disarmed. The OBC does not provide an arm command because
	the control algorithm implementation is flawed and does not provide the arm
	command. As a result, the maneuver is flawed, and the satellite stays in an
	inadequate orbit [H3]
UCA-A2.14: OBC provides	Scenario 46: The OBC is about to start orbital maneuvers. The propulsion
an arm command too early	subsystem is disarmed. The OBC provides an arm command earlier than
(¿TBD minutes) before an	specified by design because how much time to do it in advance was not
orbital maneuver (resulting	specified, or it was ambiguous and assumed wrong by a programmer. As a
in a waste of energy that	result, the system uses more power than expected and cannot execute future
prevents the payload from	payload operations because of a lack of power [H1].
operating) [H1]	
	Scenario 47: The OBC is about to start orbital maneuvers. The propulsion
	subsystem is disarmed. The OBC provides an arm command earlier than

	<ul> <li>specified by design because the control algorithm implementation is flawed and does not provide the arm command. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].</li> <li>Scenario 48: The OBC has a plan to do orbital maneuvers in the future. The propulsion subsystem is disarmed. The OBC provides an arm command too early because the clock in the system is flawed and believes it is time to do it. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].</li> </ul>
UCA.A1. 15: OBC provides an arm command too late to perform an orbital maneuver when a satellite is in an inadequate orbit. [H3]	Scenario 49: The OBC is about to start orbital maneuvers. The propulsion subsystem is disarmed. The OBC provides an arm command late than specified because it is busy with other tasks. As a result, the system will not be armed on time, and no thrust will be generated in the following propulsion command, and the satellite stays in an inadequate orbit [H3]
	Scenario 50: The OBC is about to start orbital maneuvers. The propulsion subsystem is disarmed. The OBC provides an arm command late than specified by design because how much time to do it in advance was not specified, or it was ambiguous and assumed wrong by a programmer. As a result, the system will not be armed on time, and no thrust will be generated in the following propulsion command, and the satellite stays in an inadequate orbit [H3]
	Scenario 51: The OBC is about to start orbital maneuvers. The propulsion subsystem is disarmed. The OBC provides an arm command later than specified by design because the control algorithm implementation is flawed and does not provide the arm command. As a result, the system will not be armed on time, and no thrust will be generated in the following propulsion command, and the satellite stays in an inadequate orbit [H3]
	Scenario 52: The OBC has a plan to do orbital maneuvers in the future. The propulsion subsystem is disarmed. The OBC provides an arm command too early because the clock in the system is flawed and believes it is time to do it. As a result, the system will not be armed on time, and no thrust will be generated in the following propulsion command, and the satellite stays in an inadequate orbit [H3]
UCA-A2.16: OBC does not provide a disarm command after concluding orbital maneuvers (resulting in a waste of energy that	Scenario 53: The OBC is finishing orbital maneuvers. The propulsion subsystem is armed. The OBC fails and goes to shut-down or fault-handling mode before issuing the command. When it comes back to nominal mode, it does not issue the disarm command because the expected time for that has passed, and there is no way to know if the command was issued and successful or not. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1]

prevents the payload from operating) [H1]

**Scenario 54:** The OBC is finishing orbital maneuvers. The propulsion subsystem is armed. The OBC does not provide a disarm command because it was not specified to do that after a maneuver. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].

Scenario 55: The OBC is finishing orbital maneuvers. The propulsion subsystem is armed. The OBC does not provide a disarm command because the control algorithm implementation is flawed and does not provide the disarm command. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].

UCA-A2.17: OBC provides a disarm command when the system is performing as expected and is doing orbital maneuvers (resulting in incomplete maneuvers) [H3] Scenario 56: The satellite is doing orbital maneuvers. The propulsion subsystem is armed. The OBC fails and goes to shut-down or fault-handling mode and then comes back to nominal mode. When it comes back to nominal mode, it issues a disarm commands that are specified for safety. As a result, the orbital maneuver is flawed, and the satellite ends in an inadequate orbit [H3].

Scenario 57: The satellite is doing orbital maneuvers. The propulsion subsystem is armed, and the subsystem is working as expected. The OBC provides a disarm command while doing orbital maneuvers because the algorithm implementation is flawed. As a result, the orbital maneuver is flawed, and the satellite ends in an inadequate orbit [H3].

Scenario 58: The satellite is doing orbital maneuvers. The propulsion subsystem is armed, and the subsystem is working as expected. The OBC sends a disarm command because it incorrectly believes there is a problem with the subsystem. This flawed process model will occur if information/feedback from the subsystem, the actuators, or the satellite ephemeris is not received or delayed. This can happen if no data is received from the propulsion controller, the actuator, or satellite ephemeris due to a communications problem. As a result, the orbital maneuver is flawed, and the satellite ends in an inadequate orbit [H3].

Scenario 59: The satellite is doing orbital maneuvers. The propulsion subsystem is armed, and the subsystem is working as expected. The OBC sends a disarm command because it incorrectly believes there is a problem with the subsystem. This flawed process model will occur if incorrect information/feedback from the subsystem, the actuators, or the satellite ephemeris is received indicating a problem. This can happen if:

- Sensors in the propulsion actuator fail and report incorrect data, and the propulsion subsystem issues an alarm.
- The propulsion subsystem is reporting incorrect thrust telemetry.

	- Satellite ephemeris is incorrectly calculated in the attitude subsystem
	due to a GPS problem.
	inadequate orbit [H3]
	inadequate orbit [115].
	Scenario 60: The satellite is doing orbital maneuvers. The propulsion subsystem
	is disarmed. The OBC provides a disarm command too early because the clock
	in the system is flawed and believes its time to do it. As a result, the orbital
	maneuver is flawed, and the satellite ends in an inadequate orbit [H3].
UCA-A2 18: OBC provides	Scenario 61. The OBC is doing orbital maneuvers. The propulsion subsystem is
a disarm command too late	armed. The OBC provides a disarm command later than specified because it is
after concluding orbital	busy with other tasks. As a result, the system uses more power than expected
maneuvers (resulting in a	and cannot execute future payload operations because of a lack of power [H1].
waste of energy that	
prevents the payload from	Scenario 62: The OBC is doing orbital maneuvers. The propulsion subsystem is
operating) [H1]	armed. The OBC provides a disarm command late than specified by design
	because how much time to do it was not specified or it was ambiguous and
	assumed wrong by a programmer. As a result, the system uses more power than
	expected and cannot execute future payload operations because of a lack of
	power [H1].
	Scenario 63. The OBC is doing orbital maneuvers. The propulsion subsystem is
	armed. The OBC provides a disarm command late than specified by design
	because the control algorithm implementation is flawed and does not provide
	the disarm command. As a result, the system uses more power than expected
	and cannot execute future payload operations because of a lack of power [H1].
	Scenario 64: The OBC is doing orbital maneuvers. The propulsion subsystem is
	armed. The OBC provides a disarm command later than specified because the
	clock in the system is flawed and believes it is time to do it. As a result, the
	system uses more power than expected and cannot execute future payload
	operations because of a lack of power [H1].
UCA-A2.19: OBC does not	Scenario 65: The OBC is about to start orbital maneuvers. The propulsion
provide propulsion a	subsystem is disarmed. The OBC fails and goes to shut-down or fault-handling
command when needed to	mode before issuing the command. When it comes back to nominal mode, it
perform an orbital	does not issue the arm command because the expected time for that has passed,
maneuver as specified in	and there is no way to know if the command was issued and successful or not.
the orbit plan, and the	As a result, the maneuver is flawed, and the satellite stays in an inadequate
subsystems are working as	orbit [na]
expected [H3]	Scenario 66. The OBC is doing orbital managers. The propulsion subsystem is
onpoolou [110]	armed. The OBC does not provide a propulsion command because the control

	algorithm implementation is flawed. As a result, the maneuver is flawed, and the satellite stays in an inadequate orbit [H3]
	Scenario 67: The OBC is doing orbital maneuvers. The propulsion subsystem is armed. The OBC does not provide a propulsion command because a satellite controller puts the OBC in shutdown/maintenance mode to perform a maintenance operation. As a result, the maneuver is flawed, and the satellite stays in an inadequate orbit [H3]
	Scenario 68: The OBC is doing orbital maneuvers, and the propulsion and attitude subsystems are working as expected. The OBC does not provide a propulsion command when needed because it believes there is a problem with the propulsion controller. This flawed process model will occur if the status telemetry of the subsystems is not received or received too late. This can happen if communications problems in the satellite bus prevent the status messages to arrive at the OBC. As a result, the maneuver is flawed, and the satellite stays in an inadequate orbit [H3]
UCA-A2.20: OBC provides a propulsion command when the satellite is armed but was not specified in the orbit plan. [H3]	<ul> <li>Scenario 69: The OBC is doing orbital maneuvers. The propulsion subsystem is armed. The OBC provides a propulsion command when it was not needed because the control algorithm implementation is flawed. As a result, the satellite orbit is incorrectly modified, and the satellite ends in an inadequate orbit [H3]</li> <li>Scenario 70: The OBC is doing orbital maneuvers. The propulsion subsystem is armed. The OBC incorrectly provides a propulsion command because the clock in the system is flawed (for a timed command) or the satellite position information is incorrect (if it is a location-based command) and believes it is time to do it. As a result, the satellite orbit is incorrectly modified and the</li> </ul>
	satellite ends in an inadequate orbit [H3]
UCA-A2.21: OBC provides a propulsion command needed for an orbital maneuver in a wrong direction or magnitude when the system is armed.	Scenario 71: The satellite is doing orbital maneuvers. The propulsion subsystem is armed. The OBC provides a propulsion command with an incorrect direction or magnitude because the algorithm specification is flawed. As a result, the satellite orbit is incorrectly modified, and the satellite ends in an inadequate orbit [H3]
[H3]	Scenario 72: The satellite is doing orbital maneuvers. The propulsion subsystem is armed. The OBC provides a propulsion command with an incorrect direction or magnitude because the algorithm specification is incorrectly implemented (does not follow the specification, i.e., wrong reference system or units used). As a result, the satellite orbit is incorrectly modified, and the satellite ends in an inadequate orbit [H3]

Scenario 73: The satellite is doing orbital maneuvers. The propulsion subsystem is armed. The OBC provides a propulsion command with an incorrect direction or magnitude because it believes they are the correct parameters. This flawed process model will occur if the satellite configuration is incorrect. This can happen if any of the following occur:

- Satellite mass is not updated after each burn
- Satellite current orbit is flawed
- The thrusters' location and orientation are incorrectly loaded during the launch preparation or was incorrectly updated in flight.
- The thrusters are misaligned due to a loss of structural integrity during the launch or a collision or worn-out thrusters

As a result, the satellite orbit is incorrectly modified, and the satellite ends in an inadequate orbit [H3]

Scenario 74: The satellite is transitioning to the correct attitude for an orbital maneuver but did not arrive there yet. The OBC provides a propulsion command because it incorrectly believes the satellite has the correct attitude. This flawed process model will occur if the attitude controller does not publish the "lock" state, and the OBC algorithm has a fixed waiting-time hardcoded in the software.

Scenario 75: The satellite is transitioning to the correct attitude for an orbital maneuver but did not arrive there yet. The OBC provides a propulsion command because it incorrectly believes the satellite has the correct attitude. This flawed process model will occur if the incorrect information from the attitude subsystem (indicating a locked attitude) is received. As a result, a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3]

Scenario 76: The satellite is doing maneuver operations. The propulsion subsystem was armed, but a failure restarted it, and when it comes back, it is in the disarmed state. The OBC provides a propulsion command because it incorrectly believes that the attitude subsystem is armed. This flawed process model can occur if the control algorithm in the OBC does not check for the status of the propulsion controller before issuing a propulsion maneuver. As a result, a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3].

Scenario 77: The satellite is doing maneuver operations. The propulsion subsystem is disarmed. The OBC provides a propulsion command because it incorrectly believes that the attitude subsystem is armed. This flawed process model can occur if the status information of the propulsion subsystem never arrives or is delayed, and a previous armed value is buffered. This can happen if any of the following occur:

- A communication problem in the satellite delays or prevents the status of telemetry from the attitude subsystem to arrive at the OBC.

UCA-A2.22: OBC provides a propulsion command for doing an orbital maneuver when the satellite has not reached the correct attitude. [H3]

	<ul> <li>The status telemetry is received by the OBC, but the updating process is busy and does not update the value on time for the control algorithm.</li> <li>As a result, a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3].</li> <li>Scenario 78: The satellite is doing a payload operation. The OBC sends a propulsion command for doing a maneuver because an overlapping plan was</li> </ul>
	provided by mission operations. As a result, the payload operation is flawed [H1].
UCA-A2.25: OBC provides a propulsion command later than it was required for an orbital maneuver [H3]	Scenario 79: The OBC is doing orbital maneuvers. The propulsion subsystem is armed. The OBC provides a propulsion command later than specified because it is busy with other tasks. As a result, the satellite orbit is incorrectly modified, and the satellite ends in an inadequate orbit [H3]
	Scenario 80: The satellite is doing orbital maneuvers. The propulsion subsystem is armed. The OBC provides a propulsion command too late because the algorithm takes too much time to process the required burn. As a result, the satellite orbit is incorrectly modified, and the satellite ends in an inadequate orbit [H3]
	Scenario 81: The OBC is doing orbital maneuvers. The propulsion subsystem is armed. The OBC provides a propulsion command too late because the clock in the system is flawed (for a timed command) or the satellite position information is incorrect (if it is a location-based command) and believes it is time to do it. As a result, the satellite orbit is incorrectly modified, and the satellite ends in an inadequate orbit [H3]
UCA-A2.26: OBC does not provide an attitude command when necessary for a payload or maneuver operation [H1, H11]	Scenario 82: The OBC is about to start payload operations. The OBC fails and goes to shut-down or fault-handling mode before issuing the command. As a result, the satellite is in an incorrect attitude for the requested plan and cannot perform its mission objectives (science, comms, etc.) [H1] or correct the inadequate orbit [H3].
	<ul> <li>Scenario 83: A maneuver or a payload plan needs a specific attitude. The OBC does not provide a corrective attitude command because it incorrectly believes that the satellite has the correct attitude. This flawed process model will occur if an incorrect attitude from the satellite is received. This can happen if any of the following occur: <ul> <li>The satellite's attitude determination algorithm is flawed</li> <li>The satellite's attitude determination sensors are not working properly.</li> </ul> </li> <li>As a result, the satellite is in an incorrect attitude for the requested plan and cannot perform its mission objectives (science, comms, etc.) [H1] or correct the inadequate orbit [H3].</li> </ul>

	Scenario 84: A maneuver or a payload plan needs a specific attitude. The OBC
	does not provide an attitude command because the control algorithm
	implementation is flawed. As a result, the satellite is in an incorrect attitude for
	the requested plan and cannot perform its mission objectives (science, comms,
	etc.) [H1] or correct the inadequate orbit [H3].
UCA-A2.27: OBC does not	Scenario 85: The satellite attitude is in an unsafe position. The OBC fails and
provide an attitude mode	goes to shut-down or fault-handling mode before issuing the command. As a
when the satellite is in an	result, the satellite attitude stays in an unsafe state [H4]
unsafe attitude (sun on a	
payload, no sun on solar	Scenario 86: The satellite attitude is in an unsafe position. The OBC does not
panels, etc.) [H4]	provide an attitude command because the attitude protection algorithm is
* / / [ ]	flawed. As a result, the satellite attitude stays in an unsafe state [H4]
	/ · · · · · · · · · · · · · · · · · · ·
	Scenario 87: The satellite attitude is in an unsafe position. The OBC does not
	provide an attitude command because it incorrectly believes the satellite is not
	in an unsafe position. This flawed process model will occur if an incorrect
	attitude from the satellite is received. This can happen if any of the following
	occur:
	- The attitude information is corrupted
	- The satellite's attitude determination algorithm is flawed
	- The satellite's attitude determination sensors are not working properly.
	As a result, the satellite attitude stays in an unsafe state [H4]
	Scenario 88: The satellite attitude is in an unsafe position. The OBC does not
	provide an attitude command because it incorrectly believes the satellite was
	not in an unsafe position. This flawed process model will occur if information
	about forbidden zones is not provided or wrongly interpreted. As a result, the
	satellite attitude stavs in an unsafe state [H4]
	v t i
	Scenario 89: The satellite attitude is in an unsafe position. The OBC does not
	provide an attitude command because it incorrectly believes the satellite was
	not in an unsafe position. This flawed model will occur if the information about
	forbidden areas is incorrect or does not exist. This can happen if
	- The forbidden areas are outdated
	- The forbidden areas were incorrectly uploaded (on the ground or
	during a software update)
	- The forbidden state information is corrupted or flawed
	As a result, the satellite attitude stays in an unsafe state [H4]
	The a result, the subtinue attitude stays in an unsate state [117]
UCA-A2.28: OBC provides	Scenario 90: The OBC needs to modify a satellite attitude as requested in a
an attitude command with	maneuver plan, and the incorrect mode and/or setpoint is sent in the attitude
the incorrect mode or	command to the attitude controller. This can happen if the algorithm for
setpoint when a pavload or	generating attitude commands for an orbital maneuver is flawed. As a result, a

a maneuver operation needs it. [H1, H2, H3, H4]	maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4].
	Scenario 91: The OBC needs to modify a satellite attitude as requested in a payload plan, and the incorrect mode and/or setpoint is sent in the attitude command to the attitude controller. This can happen if the algorithm for generating attitude commands for a payload operation is flawed. As a result, the payload operation is flawed [H1] or the satellite uses an active payload over a forbidden target [H2]
UCA-A2.29: OBC provides an attitude command with a setpoint in a forbidden face when requested to do it. [H4]	Scenario <b>92</b> : A maneuver plan, a payload plan, or a direct command from a satellite controller (for maintenance, for example) requests to put the satellite in a forbidden attitude. The OBC provides an attitude command to do this because the "Envelope protection system" algorithm is flawed. As a result, the satellite attitude is in a forbidden state. [H4]
	<ul> <li>Scenario 93: A maneuver plan, a payload plan, or a direct command from a satellite controller (for maintenance, for example) requests to put the satellite in a forbidden attitude. The OBC provides an attitude command to do this because it is not violating any forbidden state. This flawed model will occur if the information about forbidden areas is incorrect or does not exist. This can happen if <ul> <li>The forbidden areas are outdated</li> <li>The forbidden areas were incorrectly uploaded (on the ground or during a software update)</li> </ul> </li> </ul>
	- The forbidden state information is corrupted or flawed. As a result, the satellite attitude is in a forbidden state. [H4]
UCA-A2.31: OBC provides an attitude change for a payload operation when the satellite is doing a maneuver operation or vice- versa. [H1, H2, H3]	Scenario <b>94</b> : The satellite is doing a payload or maneuver operation. The OBC sends an attitude command for doing a maneuver or payload operation, respectively, because an overlapping plan was provided by mission operations. As a result, the payload operation is flawed [H1], the satellite uses an active payload over a forbidden target [H2], or a maneuver is done unproperly and the satellite ends in an inadequate orbit [H3].
UCA-A2.30: OBC provides an attitude command when a satellite does not need it. [H1, H2, H3, H4]	Scenario 95: The satellite has the required attitude for a payload operation, idle, or maneuver operation. The OBC provides an attitude command because they incorrectly believe the satellite has not the required attitude. This can happen if the algorithm in the OBC to control the satellite attitude is flawed. As a result, a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4].
	Scenario 96: The satellite has the required attitude for a payload operation, idle, or maneuver operation. The OBC provides an attitude command because it

incorrectly believes the satellite does not has the required attitude. This flawed process model will occur If an incorrect attitude is received. This can happen If any of the following occur:

- The satellite's attitude determination algorithm in the satellite is flawed
- The satellite's attitude determination sensors are not working properly.

As a result, the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]

Scenario 97: The OBC is about to start a payload operation or orbital maneuver. The OBC provides an attitude command later than needed because it is busy with other tasks. As a result, the attitude will not be ready on time, and the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], or the satellite uses an active payload over a forbidden target [H2]

Scenario 98: The OBC is about to start a payload operation or orbital maneuver. The OBC provides an attitude command later than needed because the control algorithm implementation is flawed and takes too long to issue the command. As a result, the attitude will not be ready on time, and the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], or the satellite uses an active payload over a forbidden target [H2]

Scenario 99: The OBC is about to start a payload operation or orbital maneuver. The OBC provides an attitude command later than needed because the clock in the system is flawed. As a result, the attitude will not be ready on time, and the payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], or the satellite uses an active payload over a forbidden target [H2]

Scenario 100: The OBC is about to start a payload operation. The payload is off. The OBC fails and goes to shut-down or fault-handling mode before issuing the command. When it comes back to nominal mode, it does not issue the payload on command because the expected time for that has passed, and there is no way to know if the command was issued and successful or not. As a result, the payload operation is flawed, and the satellite cannot perform its mission [H1]

Scenario 101: The OBC is about to start a payload operation. The payload is off. The OBC does not provide a payload on command because it was not specified to do that before an operation. As a result, the payload operation is flawed, and the satellite cannot perform its mission [H1]

UCA-A2.32: OBC provides an attitude too late when it is required by a payload or maneuver operation [H1, H2, H3]

UCA-A2.33: OBC does not provide a payload on command before sending ad-hoc payload commands when is needed [H1]

	Scenario 102: The OBC is about to start a payload operation. The payload is off. The OBC does not provide a payload on command because the control algorithm implementation is flawed and does not provide the command. As a result, the payload operation is flawed, and the satellite cannot perform its mission [H1]
UCA-A2.34: OBC provides a payload on command when the satellite is over or pointing to a forbidden area (a particular case of having an active payload	Scenario 103: The satellite has a forbidden attitude and/or position for a payload operation. The OBC provides a payload on command when because it was not specified to check for forbidden attitudes and/or positions. As a result, the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2]
like a transponder or a radar) [H2]	Scenario <b>104</b> : The satellite has a forbidden attitude and/or position for a payload operation. The OBC provides a payload on command when because it incorrectly believes the satellite is not in an unsafe position. This flawed process model will occur if an incorrect attitude from the satellite is received. This can happen if any of the following occur:
	- The attitude information is corrupted
	- The satellite's attitude determination algorithm is flawed
	- The satellite's attitude determination sensors are not working properly. As a result, the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2]
	Scenario 105: The satellite has a forbidden attitude and/or position for a payload operation. The OBC provides a payload on command when because it incorrectly believes the satellite is not in an unsafe position. This flawed process model will occur if information about forbidden zones is not provided or wrongly interpreted. As a result, the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2]
UCA-A2.35: OBC provides	Scenario 106: The satellite has a forbidden attitude and/or position for a
a payload on command when the satellite has a	payload operation. The OBC provides a payload on command when because it was not specified to check for forbidden attitudes and/or positions. As a result,
forbidden attitude	the satellite payload is damaged [H4]
payload) [H4]	Scenario <b>107</b> : The satellite has a forbidden attitude and/or position for a payload operation. The OBC provides a payload on command when because it incorrectly believes the satellite is not in an unsafe position. This flawed process model will occur if an incorrect attitude from the satellite is received. This can happen if any of the following occur:
	- The attitude information is corrupted The satellite's attitude determination algorithm is flowed
	- I ne satellite's attitude determination algorithm is flawed
	- The satellite saturate determination sensors are not working properly. As a result, the satellite payload is damaged [H4]

	Scenario 108: The satellite has a forbidden attitude and/or position for a payload operation. The OBC provides a payload on command when because it incorrectly believes the satellite is not in an unsafe position. This flawed process model will occur if information about forbidden zones is not provided or wrongly interpreted. As a result, the satellite payload is damaged [H4]
UCA-A2.36: OBC does not provide a payload off command when it is not needed anymore (for active payloads or wasting energy) [H1, H2, H4]	Scenario 109: The OBC is finishing a payload operation. The payload is on. The OBC fails and goes to shut-down or fault-handling mode before issuing the command. When it comes back to nominal mode, it does not issue the payload off command because the expected time for that has passed, and there is no way to know if the command was issued and successful or not. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2].
	Scenario 110: The OBC is finishing a payload operation. The payload is on. The OBC does not provide a payload off command because it was not specified to do that after a maneuver. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2].
	Scenario 111: The OBC is finishing a payload operation. The payload is on. The OBC does not provide a payload off command because the control algorithm implementation is flawed and does not provide the command. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e186 radio transmitter) over a forbidden area [H2].
UCA-A2.37: OBC provides a payload off command too late after a payload operation [H1, H2, H4]	Scenario 112: The OBC is finishing a payload operation. The payload is on. The OBC provides a payload off command later than specified because it is busy with other tasks. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2].
	Scenario 113: The OBC is finishing a payload operation. The payload is on. The OBC provides a payload off command late than specified by design because how much time to do it was not specified or it was ambiguous and assumed wrong by a programmer. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1], the

	satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2].
	Scenario 114: The OBC is finishing a payload operation. The payload is on. The OBC provides a payload off command late than specified by design because the control algorithm implementation is flawed and does not provide the disarm command. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2].
	Scenario 115: The OBC is finishing a payload operation. The payload is on. The OBC provides a payload off command later than specified because the clock in the system is flawed and believes its time to do it. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2].
UCA-A2.38: OBC provides a payload off command when the satellite is still performing a payload operation, and the subsystem has no	Scenario 116: The satellite is doing a payload operation. The payload is on. The OBC fails and goes to shut-down or fault-handling mode and then comes back to nominal mode. When it comes back to nominal mode, it issues a payload off command that is specified for safety. As a result, the payload operation is flawed, and the satellite cannot perform its mission [H1]
alarms[H1]	Scenario 117: The satellite is doing a payload operation. The payload is on, and the subsystem is working as expected. The OBC provides a payload off command because the algorithm implementation is flawed. As a result, the payload operation is flawed, and the satellite cannot perform its mission [H1].
	Scenario 118: The satellite is doing a payload operation. The payload is on, and the subsystem is working as expected. The OBC provides a disarm command too early because the clock in the system is flawed and believes its time to do it. As a result, the payload operation is flawed, and the satellite cannot perform its mission [H1].
UCA-A2.52: Satellite	Scenario 161: A satellite is malfunctioning. The satellite controllers do
controllers do not	not provide a start maintenance command to start troubleshooting the
provide a start	faulty satellite because they are not aware of the malfunctioning. This
maintenance command	flawed process model will occur if alarms (or anomaly telemetry) are not
when a satellite is	received at all. This can happen if any of the following occur:
malfunctioning [H1, H3]	- There is a communication problem with the satellite, and no information is received.

	- Alarms (or anomaly telemetry) are received but not displayed
	on the controllers' console.
	As a result, a satellite is not fixed and cannot perform its objectives
	[H1] or ends in an inadequate orbit [H3]
	Scenario 162: A satellite is malfunctioning. The satellite controllers do
	not provide a start maintenance command to start troubleshooting the
	faulty satellite because they are not aware of the malfunctioning. This
	flawed process model will occur if alarms (or anomaly telemetry) are
	ignored or wrongly interpreted. This can happen if any of the following
	occur:
	- Satellite controllers are busy with another task.
	- Satellite controllers are not trained to detect anomalies in the telemetry, and there are no alarms.
	As a result, a satellite is not fixed and cannot perform its objectives
	[H1] or ends in an inadequate orbit [H3]
UCA-A2.53: Satellite	Scenario 163: A satellite is working as expected. The satellite controllers
controllers provide a	provide a start maintenance command because they believe the satellite
start maintenance	is malfunctioning. This flawed process model will occur if alarms or
command when a	telemetry is wrongly interpreted. This can happen if any of the
satellite is functioning as	following occur:
expected. [H1]	- The controller is inadvertently looking at the telemetry of another satellite.
	- The controller believes the telemetry is an anomaly due to
	inadequate training.
	As a result, a satellite cannot perform its objectives for being
	in maintenance mode when it is not needed [H1].
UCA-A2.54: Satellite	Scenario 164: A satellite is malfunctioning. The satellite controllers do
controllers provide start	not provide a start maintenance command to start troubleshooting the
maintenance too late	faulty satellite because they are not aware of the malfunctioning. This
when a satellite is	flawed process model will occur if alarms (or anomaly telemetry) are
malfunctioning [H1, H3]	ignored or not received on time. This can happen if any of the following
	occur:
	- Satellite controllers are busy with another task
	- The satellite is not in contact with the ground for a certain
	period.
	As a result, a satellite cannot perform its objectives for being
	in maintenance mode when it is not needed [H1].
Control action	Scenarios
----------------	--
Arm	Scenario 182: The OBC sends the arm command when starting orbital maneuvers, but the message never arrived at the satellite due to communications problems. As a result, the system is not prepared for future propulsion commands on time, and the orbital maneuver is flawed, resulting, and the satellite ends in an inadequate orbit [H3].
	Scenario 183: The OBC sends the arm command when starting orbital maneuvers, but the subsystem takes longer than expected to become armed. As a result, the system is not prepared for on time for propulsion commands, and the orbital maneuver is flawed, and the satellite ends in an inadequate orbit [H3].
	Scenario 184: The OBC sends the arm command when starting orbital maneuvers, but the subsystem is offline. As a result, the system is not prepared for future propulsion commands, and the orbital maneuver is flawed, and the satellite ends in an inadequate orbit [H3].
	Scenario 185: The OBC sends the arm command when starting orbital maneuvers, but the message arrives with more than TBD seconds of delay to the satellite due to an overloaded communication bus. As a result, the system is not prepared on time for future propulsion commands, and the orbital maneuver is flawed and the satellite ends in an inadequate orbit [H3].
	Scenario 186: The OBC does not send the arm command, but another controller in the satellite network or in-ground sends the arm command or the system arms unexpectedly. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].
Disarm	Scenario 187: The OBC sends the disarm command when finishing orbital maneuvers with a member satellite, but the message never arrived at the propulsion controller due to communications problems. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].
	Scenario 188: The OBC sends the disarm command when finishing orbital maneuvers with a member satellite, but the message arrives with delay to the propulsion controller due to communication bus overloading problems. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].
	Scenario 189: The OBC sends the disarm command when finishing orbital maneuvers, but the subsystem takes longer than expected to disarm because it is busy doing another task. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1].

#### Table 30 - A2 UCA related casual scenarios

	Scenario 190: The OBC does not send the disarm command, but another controller in the satellite or in-ground sends it while doing an orbital maneuver. As a result, an orbital maneuver is flawed, and the satellite ends in an inadequate orbit [H3]
Propulsion command	Scenario 191: The OBC sends a propulsion command to perform orbital maneuvers, but the message never arrived at the propulsion controller due to communication problems. As a result, an orbital maneuver is flawed, and the satellite ends in an inadequate orbit [H3]
	Scenario 192: The OBC sends a propulsion command to perform orbital, but the message arrives with more than TBD seconds of delay to the propulsion controller due to a communication bus overloading. As a result, an orbital maneuver is flawed, and the satellite ends in an inadequate orbit [H3]
	Scenario 193: The OBC does not send the propulsion command, but another controller in the satellite or in-ground sends it, or the system starts propulsion unexpectedly. As a result, a maneuver is done unproperly, or the satellite change its orbit inadvertently and ends in an inadequate orbit [H3]
Attitude command	Scenario 194: The OBC sends an attitude command to the attitude subsystem, but the message never arrives due to a communication problem. As a result, there is no control authority on the satellite attitude and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
	Scenario 195: The OBC sends an attitude command to the attitude subsystem, but the message arrives too late s due to a communication problem. As a result, there is a flawed control authority on the satellite attitude and a payload operation is flawed [H1], a maneuver is done unproperly, and the satellite ends in an inadequate orbit [H3], the satellite ends up in an unsafe attitude that can damage the hardware [H4] or the satellite uses an active payload over a forbidden target [H2]
Payload on	Scenario 196: The OBC send a payload on command to perform payload operation, but the message never arrived at the payload due to communications problems. As a result, the satellite is unable to perform its objectives [H1]
	Scenario 197: The OBC sends a payload on command to perform payload operation, but the message arrives with more than TBD seconds of delay to the payload. As a result, the satellite is unable to perform its objectives [H1]
	Scenario 198: The OBC does not send a payload on command, but another controller in the satellite or in-ground sends it. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2]

Payload off	Scenario 199: The OBC send a payload off command to perform payload operation, but the message never arrived at the payload due to communications problems. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2] Scenario 200: The OBC sends a payload off command to perform payload operation, but the message arrives with more than TBD seconds of delay to the payload. As a result, the system uses more power than expected and cannot execute future payload operations because of a lack of power [H1], the satellite payload is damaged [H4], or the satellite uses an active payload (i.e., radio transmitter) over a forbidden area [H2]
	Scenario 201: The OBC does not send a payload off command, but another controller in the satellite or in-ground sends it. As a result, the satellite is unable to perform its objectives [H1]
Maneuver plan	Scenario 202: A satellite is in an inadequate orbit. The ODT issues a maneuver plan, but it never reaches the satellite due to communication problems. As a result, the satellite orbit is not modified, and the satellite stays in an inadequate orbit. [H3] Scenario 203: A satellite is in an inadequate orbit. The ODT issues a maneuver plan, but it arrives too late to the satellite due to communication problems. As a result, the timed plan is expired, and the satellite orbit is not modified, and the satellite stays in an inadequate orbit. [H3]
Payload plan	Scenario 204: Payload issues a mission plan, but it does not arrive at the OBC due to a communication problem. As a result, the satellite is unable to perform its objectives [H1]. Scenario 205: Payload issues a mission plan, but the plan is ignored or received later because the OBC is busy doing other tasks. As a result, the satellite is unable to perform its objectives [H1]
Start maintenance	Scenario 206: A satellite is malfunctioning. The satellite controllers provide a start maintenance mode to troubleshoot it, but the message does not arrive due to a communication problem with the satellite. As a result, the satellite is unable to perform its objectives [H1] or ends in an inadequate orbit [H3].

Table 31 - A2 Non UCA causal scenarios

## Network diagram



Figure 30 - A2 STPA Network diagram

# Appendix E – Architecture A3

## Unsafe control actions table

Control action	Not providing	Providing	Too early, too late, out of order	Stopped too soon, applied too long
Thrust	UCA-A3.1: The propulsion controller does not generate thrust when it is armed and is commanded to do it [H3]	UCA-A3.2: The propulsion controller generates thrust in an incorrect direction or magnitude when it is armed and commanded to do it [H3] UCA-A3.3: The propulsion controller generates thrust when it is armed, but it was not commanded to do it [H3]	UCA-A3.4: The propulsion controller generates thrust with more than TBD of delay when it is armed and commanded to do it. [H3]	UCA-A3.5: The propulsion controller stops generating thrust when there is no alarm, it was commanded to, and the system is armed. [H3] UCA-A3.6: The propulsion controller keeps generating thrust after being commanded to stop by a thrust=0 or disarm command. [H3]
Torque	UCA-A3.7: The attitude controller does not provide torque when it is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	UCA-A3.8: The attitude controller provides a torque in the wrong direction or with the wrong magnitude when it is needed to maintain or follow a setpoint. [H1, H3, H2, H4] UCA-A3.9: The attitude control subsystem provides a torque when it is not needed [H1, H2, H3, H4]	UCA-A3.10: The attitude controller provides delayed torques when is needed to maintain or follow a setpoint. [H1, H3, H2, H4]	UCA-A3.11: The attitude controller stops applying torque too soon when still needed to maintain or follow a setpoint. [H1, H3, H2, H4] UCA-A3.12: The attitude controller keeps applying torque when not needed anymore. [H1, H3, H2, H4]
Arm	UCA-A3.13: OBC does not provide an arm command before a propulsion command when performing an orbital	N/A	UCA-A3.14: OBC provides an arm command too early (¿TBD minutes) before an orbital maneuver (resulting in	N/A

	maneuver (assuming		a waste of energy that	
	that the satellite is in		prevents the payload	
	an inadequate orbit) [H3]		from operating) [H1]	
	[ ]		UCA.A3. 15: OBC	
			provides an arm	
			command too late to	
			perform an orbital	
			maneuver when a	
			satellite is in an	
			inadequate orbit. [H3]	
			1 [ ']	
Disarm	UCA-A3.16: OBC	UCA-A3.17: OBC	UCA-A3.18: OBC	N/A
134111	does not provide a	provides a disarm	provides a disarm	,
	disarm command after	command when the	command too late	
	concluding orbital	system is performing	after concluding	
	maneuvers (resulting	as expected and is	orbital maneuvers	
	in a waste of energy	doing orbital	(resulting in a waste	
	that prevents the	maneuvers (resulting	of energy that	
	payload from	in incomplete	prevents the payload	
	operating) [H1]	maneuvers) [H3]	from operating) [H1]	
Propulsio	UCA-A3.19: OBC	UCA-A3.20: OBC	UCA-A3.25: OBC	N/A
	does not provide	provides a propulsion	provides a propulsion	
command	propulsion a	command when the	command later than it	
	command when	satellite is armed but	was required for an	
	needed to perform an	was not specified in	orbital maneuver [H3]	
	orbital maneuver as	the orbit plan. [H3]		
	specified in the orbit			
	plan, and the	UCA-A3.21: OBC		
	propulsion and	provides a propulsion		
	attitude subsystems	command needed for		
	are working as	an orbital maneuver		
	expected [H3]	in a wrong direction		
		or magnitude when		
		the system is armed.		
		[H3]		
		UCA-A3.22: OBC		
		provides a propulsion		
		command for doing an		
		orbital maneuver		
		when the satellite has		
		not reached the		
		correct attitude. [H3]		

		UCA-A3.23: OBC provides a propulsion command for an orbital maneuver when the propulsion subsystem is not armed [H3] UCA-A3.24: OBC provides a propulsion command for an orbital maneuver when the satellite is doing a payload maneuver [H1]		
Attitude command	UCA-A3.26: OBC does not provide an attitude command when necessary for a payload or maneuver operation [H1, H11] UCA-A3.27: OBC does not provide an attitude mode when the satellite is in an unsafe attitude (sun on a payload, no sun on solar panels, etc.) [H4]	UCA-A3.28: OBC provides an attitude command with the incorrect mode or setpoint when a payload or a maneuver operation needs it. [H1, H2, H3, H4] UCA-A3.29: OBC provides an attitude command with a setpoint in a forbidden face when requested to do it. [H4] UCA-A3.30: OBC provides an attitude command when a satellite does not need it. [H1, H2, H3, H4] UCA-A3.31: OBC provides an attitude change for a payload operation when the	UCA-A3.32: OBC provides an attitude too late when it is required by a payload or maneuver operation [H1, H2, H3]	N/A

		maneuver operation or vice-versa. [H1, H2, H3]		
Payload on	UCA-A3.33: OBC does not provide a payload on command before sending ad-hoc payload commands when is needed [H1]	UCA-A3.34: OBC provides a payload on command when the satellite is over or pointing to a forbidden area (a particular case of having an active payload like a transponder or a radar) [H2] UCA-A3.35: OBC provides a payload on command when the satellite has a forbidden attitude (resulting in a damaged payload) [H4]	N/A	N/A
Payload off	UCA-A3.36: OBC does not provide a payload off command when it is not needed anymore (for active payloads or wasting energy) [H1, H2, H4]	N/A	UCA-A3.37: OBC provides a payload off command too late after a payload operation [H1, H2, H4] UCA-A3.38: OBC provides a payload off command when the satellite is still performing a payload operation, and the subsystem has no alarms[H1]	N/A
Maneuver plan	UCA-A3.39: The shape controller does not provide a maneuver plan when a satellite is in a	UCA-A3.42: The shape controller provides a maneuver plan when a satellite is on the correct orbit	UCA-A3.47: The shape controller provides a maneuver plan too late when the satellite is on a	N/A

	collision trajectory. [H3.2] UCA-A3.40: The shape controller does not provide a maneuver plan when a satellite is reaching or outside the location requirement [H3.1] UCA-A3.41: The shape controller does not provide a maneuver plan when a satellite is in a reentry trajectory over a populated area. [H3.3]	and not in a collision or reentry over a populated area trajectory [H3] UCA-A3.43: The shape controller provides a maneuver plan when a satellite is performing a payload operation [H1, H3] UCA-A3.44: The shape controller provides a maneuver plan with an incorrect mode or parameters when a satellite is in an inadequate orbit[H3] UCA-A3.45: The shape controller provides a maneuver plan that puts the satellite in reentry trajectory over a populated area trajectory when decommissioning a satellite [H3] UCA-A3.46: The shape controller provides a maneuver plan that puts the satellite in reentry trajectory when decommissioning a satellite [H3]	collision trajectory, reentry trajectory over a populated area or reaching the limit ofof the relative position requirement [H3]	
'ayload	UCA-A3.48: The	keeping maneuvers [H3] UCA-A3.49: The	UCA-A3.51: The	N/A
lan	constellation	constellation	constellation	

	controller does not provide a payload plan when needed for a mission goal [H1]	controller issues a payload plan when a satellite is performing a maneuver operation [H1, H3] UCA-A3.50: Mission controller provides a payload plan with incorrect parameters. [H1]	controller provides a payload plan too late when the needed for a mission goal [H3]	
Start maintena nce	UCA-A3.52: The constellation controller does not provide a start maintenance command when a satellite is malfunctioning [H1, H3] UCA-A3.53: The constellation controller does not provide a start maintenance command when a satellite controllers request it for a planned maintenance operation [H1, H3]	UCA-A3.54: The constellation controller provides a start maintenance command when a satellite is functioning as expected. [H1, H3]	UCA-A3.55: The constellation controller provides a start maintenance too late when a satellite is malfunctioning [H1, H3]	N/A
Constella tion shape	UCA-A3.56: The ODT does not provide a constellation shape when the current one is not compatible with the coverage requirements. [H3.1]	UCA-A3.57: The ODT provides a constellation shape with the wrong parameters when a change in the shape is needed. [H3.1].	N/A	N/A
De-orbit	UCA-A3.58: ODT does not provide a satellite de-orbit command when	UCA-A3.59: ODT provides a satellite de- orbit command with wrong parameters	UCA-A3.60: ODT provides a satellite de- orbit command too late when requested	N/A

	requested by mission	when requested by	by mission	
	management. [H3.2]	mission management.	management. [H3.2]	
		[H3.2, H3.3]		
Mission	UCA-A3.61: Payload	UCA-A3.62: Payload	UCA-A3.63: Payload	N/A
goals	team does not	team provides a	team provides a	
0	provide a mission	mission objective with	mission objective too	
	objective when needed	incorrect parameters	late when needed for a	
	for a new mission goal	[H1, H2]	new mission goal [H1].	
	[H1]			

Table 32 - A3 UCA Table

## Component-level constraints

	Component lovel constraints
UCA	Component-iever constraints
UCA-A3.56: The ODT does not provide a	SC-23: ODT must monitor that the current constellation coverage meets the coverage requirements.
compatible with the coverage requirements. [H3.1]	SC-24: If the constellation coverage does not meet the
	coverage requirements, ODT should provide a
	constellation shape to correct it.
UCA-A3.57: The ODT provides a constellation	SC-25: ODT must provide constellation shape
shape with the wrong parameters when a change in	commands with the correct parameters when the
the shape is needed. [H3.1].	current constellation coverage does not meet the
	coverage requirements.
UCA-A3.58: ODT does not provide a satellite de-	SC-26: ODT should provide the proper de-orbit
orbit command when requested by mission	command when requested by mission management.
management. [H3.2]	
UCA-A3.59: ODT provides a satellite de-orbit	SC-27: ODT should provide de-orbit commands with
command with wrong parameters when requested	correct parameters (avoiding populated areas, for
by mission management. [H3.2, H3.3]	example).
	C 28. ODT should provide do arbit commonds TDD
UCA-A3.60: ODT provides a satellite de-orbit	stores after being requested by mission management
command too late when requested by mission	to avoid losing contact with the satellite or avoid
management. [115.2]	running out of propellant or maneuvering capacity to
	de-orbit a satellite.
UCA-A3.61: Payload team does not provide a	SC-29: Payload team should provide mission objectives
mission objective when needed for a new mission	in line with mission goals when requested
goal [H1]	
UCA-A3.62: Payload team provides a mission	SC-30: Payload team should provide mission objectives
objective with incorrect parameters [H1, H2]	goal.
UCA-A3.63: Payload team provides a mission	SC-31: Payload team should provide mission objectives
objective too late when needed for a new mission	TBD minutes after being requested by a mission goal to
goal [H1].	avoid losing the opportunity window.
$T_{a}$ b la 22 $\wedge$ 2 $O_{a}$	

Table 33 - A3 Component-level constraints

#### Causal scenarios

UCA-A3.39: The	Scenario 119: A member satellite is in a potential collision trajectory with another
shape controller	orbiting body. The constellation controller fails or is non-operative. As a result, a
does not provide a	maneuver plan is not sent to the OBC, and the satellite stays in a collision trajectory.
maneuver plan	[H3.2]
when a satellite is	

in a collision Scenario 120: A member satellite is in a potential collision trajectory with another trajectory. [H3.2] orbiting body. The potential collision is not detected because the collision detection system (an algorithm) of the constellation controller is flawed, and there is no alternative source for collision notices available. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in a collision trajectory. [H3.2]

Scenario 121: A member satellite is in a potential collision trajectory with another orbiting body. The constellation controller gets contradictory collision information from satellite telemetry and third-party SSA supplier. A maneuver plan is not provided because the requirements did not specify what should be done when there is contradictory information. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in a collision trajectory. [H3.2]

Scenario 122: A member satellite is in a potential collision trajectory with another orbiting body. A potential collision is detected, but a payload operation with higher priority shadows the problem. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in a collision trajectory. [H3.2]

Scenario 123: A member satellite is in a potential collision trajectory with another orbiting body. The constellation controller does not send a maneuver plan to the OBC because it incorrectly believes that the satellite is not in a collision trajectory. This flawed process model will occur if the potential collision information is not received, received too late. This can happen if any of the following occur:

- The collision detection system in the ground takes too long to do it to generate a collision notice, and there is no third-party SSA provider.
- The ephemeris provided by the satellites is flawed due to a GPS problem or is not received, and there is no third party SSA provider.
- The collision notice never arrives from the third party SSA provider due to a communications problem, and there is no in-house collision detection system.
- The third-party supplier (if this is the only option) is flawed or does not communicate the notice on time.

As a result, the satellite's inadequate orbit is not corrected, and the satellite stays in a collision trajectory. [H3.2]

Scenario 124: A member satellite is in a potential collision trajectory with another orbiting body. A maneuver plan is not issued to the OBC because the satellite controller believes that the satellite is in the correct position. This flawed process model will occur if it receives correct ephemeris, and the condition is detected, but it is ignored because it is busy with another higher-priority task. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in a collision trajectory. [H3.2]

**Scenario 125:** A member satellite is in a potential collision trajectory with another orbiting body. A maneuver plan is not issued to the OBC because the constellation controller believes that the satellite is not in a collision trajectory. This flawed process

model will occur if a collision notice from a third-party supplier is wrongly interpreted. This can happen if the ephemeris from the satellite is unavailable, and the third-party notice is confused with the one of another satellite. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in a collision trajectory. [H3.2]

Scenario 126: A member satellite is in a potential collision trajectory with another orbiting body. A maneuver plan is not issued because the ODT incorrectly believes that a previous corrective plan they sent was executed successfully. This can happen if the plan execution feedback from the OBC did not arrive and assumed correct, or a status indicating that the previous plan was not executed is ignored. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in a collision trajectory. [H3.2]

UCA-A3.40: The shape controller does not provide a maneuver plan when a satellite is reaching or outside the location requirement [H3.1] Scenario 127: A member satellite is reaching the limit of or is outside the location requirement. The constellation controller fails or is non-operative. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in an inadequate orbit. [H3.1]

Scenario 128: A member satellite is reaching the limit of or is outside the location requirement. This condition is not detected because the detection system in the constellation controller is flawed or takes too long. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in an inadequate orbit. [H3.1]

Scenario 129: A member satellite is reaching the limit of or is outside the location requirement. The constellation controller gets contradictory ephemeris information from satellite telemetry and third-party SSA supplier. A maneuver plan is not issued to the OBC because the requirements did not specify what should be done when there is contradictory information. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in an inadequate orbit. [H3.1]

Scenario 130: A member satellite is reaching the limit of or is outside the location requirement. This condition is detected, but a payload operation with higher priority shadows the problem. As a result, a maneuver plan is not sent to the OBC, and the satellite stays in an inadequate orbit. [H3.1]

Scenario 131: A member satellite is reaching the limit of or is outside the location requirement. The constellation controller does not send a maneuver plan to the OBC because it incorrectly believes that the satellite is in a correct orbit. This flawed process model will occur if satellite ephemeris is not received or received too late, and outdated information is used. This can happen if any of the following occur:

- There is no ephemeris information from the third-party SSA, and ephemeris from the satellite is not received due to a communication problem or arrives too late and outdated ephemeris.
- There is no ephemeris information from the satellite, and ephemeris from the third-party is not received due to a communication problem or arrives too late.
   As a result, the satellite stays in an inadequate orbit. [H3.1]

Scenario 132: A member satellite is reaching the limit of or is outside the location requirement. A maneuver plan is not issued to the OBC because the constellation controller believes that the satellite is in the correct position. This flawed process model will occur if they receive correct ephemeris, and the condition is detected, but it is ignored because ODT is busy with another task, or it is believed to be from another satellite. As a result, the maneuver plan is not issued to the OBC, and the satellite stays in an inadequate orbit. [H3.1]

Scenario 133: A member satellite is reaching the limit of or is outside the location requirement. A maneuver plan is not issued to the OBC because the constellation controller believes that the satellite is in the correct position. This flawed process model will occur if they receive incorrect ephemeris form the satellite or an external source of ephemeris. This can happen if any of the following occur:

- The GPS in the satellite is flawed, and it incorrectly determines the position and velocity, and there is no other source of ephemeris.
- The ephemeris from the satellite is unavailable, and the third party source is corrupted or from another satellite.

As a result, the maneuver plan is not issued to the OBC, and the satellite stays in an inadequate orbit. [H3.1]

Scenario 134: A member satellite is reaching the limit of or is outside the location requirement. A maneuver plan is not issued because the satellite controller incorrectly believes that a previous corrective plan they sent was executed successfully. This can happen if the plan execution feedback from the OBC does not arrive and is assumed as correct. As a result, the maneuver plan is not sent to the satellite controllers, and the satellite stays in a collision trajectory. [H3.2]

Scenario 135: A member satellite is in a reentry trajectory over a populated area. The constellation controller fails or is non-operative. As a result, a maneuver plan is not issued to the satellite controller, and the satellite keeps being in a reentry trajectory over a populated area. [H3.3]

Scenario 136: A member satellite is in a reentry trajectory over a populated area. This is not detected by the constellation controller because the detection algorithm is flawed. As a result, a maneuver plan is not issued to the satellite controller, and the satellite keeps being in a reentry trajectory over a populated area. [H3.3]

Scenario 137: A member satellite is in a reentry trajectory over a populated area. The constellation controller gets contradictory ephemeris information from satellite telemetry and third-party SSA supplier. A maneuver plan is not issued to the OBC because the operating procedures did not specify what should be done when there is contradictory information. As a result, the satellite keeps being in a reentry trajectory over a populated area. [H3.3]

UCA-A3.41: The shape controller does not provide a maneuver plan when a satellite is in a reentry trajectory over a populated area. [H3.3] Scenario 138: A member satellite is in a reentry trajectory over a populated area. A maneuver plan is not issued to OBC because the constellation controller believes that the satellite is not on a reentry trajectory over a populated area. This flawed process model will occur if they do not receive the satellite ephemeris at all or for a certain period of time TBD. This can happen if the following occur:

- The detection system takes too long
- There is no ephemeris information from the third-party SSA, and ephemeris from the satellite is not received due to a communication problem or arrives too late and outdated ephemeris.
- There is no ephemeris information from the satellite, and ephemeris from the third-party is not received due to a communication problem or arrives too late.

As a result, the satellite keeps being in a reentry trajectory over a populated area. [H3.3]

Scenario 139: A member satellite is in a reentry trajectory over a populated area. A maneuver plan is not issued to the OBC because the constellation controller believes that the satellite is not on a reentry trajectory over a populated area. This flawed process model will occur if they receive correct ephemeris, and the condition is detected, but it is ignored because the satellite controller is busy with another task, or it is believed to be from another satellite. As a result, the maneuver plan is not issued to the OBC, and the satellite keeps being in a reentry trajectory over a populated area. [H3.3]

Scenario 140: A member satellite is in a reentry trajectory over a populated area. A maneuver plan is not issued to the OBC because the constellation controller believes that the satellite is not on a reentry trajectory over a populated area. This flawed process model will occur if they receive incorrect ephemeris form the satellite or an external source of ephemeris. This can happen if any of the following occur:

- The GPS in the satellite is flawed, and it incorrectly determines the position and velocity, and there is no other source of ephemeris.
- The ephemeris from the satellite is unavailable, and the third party source is corrupted or from another satellite.

As a result, the satellite keeps being in a reentry trajectory over a populated area. [H3.3]

Scenario 141: A member is in a reentry trajectory over a populated area. A maneuver plan is not issued because the constellation controller incorrectly believes that a previous corrective plan they sent was executed successfully. This can happen if the plan execution feedback from the OBC did not arrive and assumed correct. As a result, the maneuver plan is not sent to the satellite controllers, and the satellite keeps being in a reentry trajectory over a populated area. [H3.3]

UCA-A3.42: The Scenario 142: A member satellite has the required orbit and is not in a collision or a shape controller reentry trajectory. The satellite controller issues a maneuver plan to the OBC because it believes that the satellite is in an incorrect orbit. This flawed process model will occur if provides a maneuver plan when a satellite is on the correct orbit

incorrect satellite ephemeris is received. This can happen if any of the following occurs: The GPS in the satellite is flawed, and it incorrectly determines the position and velocity, and there is no other source of ephemeris.

and not in a collision or reentry over a populated area trajectory [H3]	<ul> <li>The ephemeris from the satellite is unavailable, and the third-party source is corrupted or from another satellite.</li> <li>As a result, the satellite ends in an inadequate orbit [H3]</li> </ul>
UCA-A3.43: The shape controller provides a maneuver plan when a satellite is performing a payload operation [H1, H3]	Scenario 143: A satellite is doing payload operations. The shape controller provides an overlapping maneuver plan because the prioritization and the deconflicting algorithm is flawed. As a result, the maneuver is flawed, and the satellite ends in an inadequate orbit [H3], and the payload operation is flawed [H1].
UCA-A3.44: The shape controller provides a maneuver plan with an incorrect mode or parameters when a satellite is in an inadequate orbit[H3]	Scenario 144: A satellite is in an inadequate orbit. The constellation controller detects it but Issues an incorrect maneuver and/or parameter because the internal orbital maneuvering algorithm is flawed (i.e., incorrect deltaV for a phasing maneuver). As a result, the maneuver operation is flawed, and the satellite keeps being in an inadequate orbit [H3]
UCA A2 45. The	<b>Comparis 145.</b> The establish controllon has been requested to decomprise a setablish A
shape controller provides a maneuver plan that puts the satellite in reentry trajectory	scenario 145: The satellite controller has been requested to decommission a satellite. A maneuver plan is issued that puts the satellite in a reentry trajectory that inadvertently falls over a populated area because the requirements did not mention to check for populated areas for determining reentry trajectories. As a result, the satellite is in a reentry trajectory over a populated area [H3.3]
over a populated area trajectory when decommissioning a satellite [H3]	Scenario 146: The satellite controller has been requested to decommission a satellite. A maneuver plan is issued that puts the satellite in a reentry trajectory that inadvertently falls over a populated area because the tools used to determine the trajectory were flawed (incorrect algorithms, outdated maps, outdated environmental information, etc.) and indicated a safe reentry. As a result, the satellite is in a reentry trajectory over a populated area [H3.3]
UCA-A3.46: The	Scenario 147: The satellite controller needs to correct a satellite relative position in the
shape controller provides a maneuver plan that puts the satellite in a potential collision	constellation. A maneuver plan is issued to the OBC that puts the satellite in a potential collision trajectory with another orbiting body because the requirements did not specify to check for potential collisions or due to lack of training. As a result, the satellite ends in a potential collision trajectory with another orbiting body. [H3.2]
trajectory when	Scenario 148: The satellite controller needs to correct a satellite relative position in the
doing station-	constellation. A maneuver plan is issued to the OBC that puts the satellite in a

keeping maneuvers [H3] potential collision trajectory with another orbiting body because the algorithm used to determine potential collision was flawed. As a result, the satellite ends in a potential collision trajectory with another orbiting body. [H3.2]

Scenario 149: The satellite controller needs to correct a satellite relative position in the constellation. A maneuver plan is issued to the OBC that puts the satellite in potential collision trajectory with another orbiting body because the algorithm used to determine used incorrect, outdated, or ephemeris from other satellites. As a result, the satellite ends in a potential collision trajectory with another orbiting body. [H3.2]

Scenario 150: A satellite is on a collision trajectory, reentry trajectory over a populated area, or reaching the limit of the relative position requirement. The satellite controller issues a maneuver plan to correct the orbit too late. This flawed process model will occur if ephemeris information of the satellite takes too long to arrive or updated never arrive. This can happen if any of the following occur:

- Updated satellite ephemeris on the satellite isn't received because there is no communication with the satellite, and there is no third-party ephemeris source.
- Satellite ephemeris is not available, and third-party ephemeris is not available on time.
- Satellite ephemeris is delayed because of a communication problem, and there is no third-party ephemeris available.

As a result, the maneuver is done late, and the satellite ends in an inadequate orbit [H3]

Scenario 151: A satellite is on a collision trajectory, reentry trajectory over a populated area, or reaching the limit of the relative position requirement. The satellite controller issues a maneuver plan to correct the orbit too late because algorithms or decision-making processes take too long to detect it. As a result, the required maneuver is done late, and the satellite ends in an inadequate orbit [H3]

Scenario 152: Mission management issued a mission goal that requested to perform a payload task. The constellation controller algorithm is flawed and does not generate a payload plan for a specific satellite. As a result, the satellite is unable to perform its objectives [H1]

Scenario 153: A satellite is doing maneuver operations. The mission controller provides an overlapping payload plan because the prioritization and the deconflicting algorithm is flawed. As a result, the maneuver is flawed, and the satellite ends in an inadequate orbit [H3], and the payload operation is flawed [H1].

UCA-A3.47: The shape controller provides a maneuver plan too late when the satellite is on a collision trajectory, reentry trajectory over a populated area or reaching the limit of the relative position requirement [H3]

UCA-A3.48: The constellation controller does not provide a payload plan when needed for a mission goal [H1]

UCA-A3.49: The constellation controller issues a payload plan when a satellite is performing a maneuver operation [H1, H3] UCA-A3.50: Mission controller provides a payload plan with incorrect parameters. [H1] UCA-A3.51: The constellation controller provides a payload plan too late when the needed for a mission goal [H3] Scenario 154: A mission goal requires to perform a payload operation. The constellation controller algorithm is flawed and generates an inadequate payload plan for the satellite. As a result, the satellite is unable to perform its objectives [H1]

Scenario 155: A mission goal requires to perform a payload operation. The constellation controller issues a payload plan too late for a specific satellite because it is busy with higher-priority tasks missing the opportunity. As a result, a satellite is unable to perform its objectives [H1]

Scenario 156: A mission goal requires to perform a payload operation. The constellation controller issues a payload plan too late for a specific satellite because the internal algorithm takes too long to create the plan. As a result, a satellite is unable to perform its objectives [H1]

UCA-A3.52: The constellation controller does not provide a start maintenance command when a satellite is malfunctioning [H1, H3] Scenario 157: A satellite is malfunctioning. The constellation controller does not provide a start maintenance command to start troubleshooting the faulty satellite because it is not aware of the malfunctioning. This flawed process model will occur if alarms (or anomaly telemetry) are not received at all. This can happen if there is a communication problem with the satellite, and no information is received. As a result, a satellite is not fixed and cannot perform its objectives [H1] or ends in an inadequate orbit [H3]

Scenario 158: A satellite is malfunctioning. The constellation controller does not provide a start maintenance command to start troubleshooting the faulty satellite because there were no alarms, and the anomaly telemetry detection algorithm is flawed. As a result, a satellite is not fixed and cannot perform its objectives [H1] or ends in an inadequate orbit [H3]

Scenario 159: A satellite is malfunctioning. The constellation controller does not provide a start maintenance command to start troubleshooting the faulty satellite because it is not aware of the malfunctioning. This flawed process model will occur if alarms (or anomaly telemetry) are received but ignored because the constellation controller is busy doing higher priority tasks. As a result, a satellite is not fixed and cannot perform its objectives [H1] or ends in an inadequate orbit [H3]

Scenario 160: A satellite controller requested to start maintenance on a satellite. The constellation controller does not provide a start maintenance command because the satellite because the algorithm for allocating a time slot is flawed. As a result, a satellite cannot perform its objectives [H1].

UCA-A3.53: The constellation controller does not provide a start maintenance command when a satellite controllers request it for a planned

maintenance operation [H1, H3]	
UCA-A3.54: The constellation controller provides a start maintenance command when a satellite is functioning as expected. [H1, H3]	Scenario 161: A satellite is working as expected. The constellation controller provides a start maintenance command because the anomaly telemetry detection algorithm is flawed and issues a false positive. As a result, a satellite enters maintenance mode and cannot perform its objectives [H1] or ends in an inadequate orbit [H3]
UCA-A3.55: The constellation controller provides a start maintenance too late when a satellite is malfunctioning [H1, H3]	Scenario 162: A satellite is malfunctioning. The constellation controller does not provide a start maintenance command to start troubleshooting the faulty satellite because the algorithm is flawed and takes too much time to detect the fault or is busy doing other tasks. As a result, a satellite is not fixed and cannot perform its objectives [H1] or ends in an inadequate orbit [H3]
	Scenario 163: A satellite is malfunctioning. The constellation controller does not provide a start maintenance command to start troubleshooting the faulty satellite because it is not aware of the malfunction. This flawed process model will occur if alarms (or anomaly telemetry) are ignored or not received on time. This can happen if any of the following occur: - constellation controller is busy with another task
	- The satellite is not in contact with the ground for a certain period of time. As a result, a satellite cannot perform its objectives for being in maintenance mode when it is not needed [H1].
UCA-A3.56: The ODT does not provide a constellation shape when the current one is not compatible with the coverage requirements. [H3.1]	Scenario 164: A new constellation coverage is required (i.e., changing an altitude or LTAN of certain satellites in a plane). ODT does not provide a constellation shape command because they are not monitoring the constellation shape waiting for an alarm. As a result, the satellites are in an inadequate orbit [H3.1].
	Scenario 165: A new constellation coverage is required (i.e., changing an altitude or LTAN of certain satellites in a plane). ODT does not provide a constellation shape command because they incorrectly believe that the constellation has the correct shape. This flawed process model can occur if information about the satellite ephemeris is not updated due to a communications problem. As a result, the satellites are in an inadequate orbit [H3.1].
UCA-A3.57: The ODT provides a	Scenario 166: A new constellation coverage is required (i.e., changing an altitude or LTAN of certain satellites in a plane). ODT provides a constellation shape with

constellation shape with the wrong parameters when a change in the shape is needed. [H3.1]. incorrect parameters because their decision-making algorithm is flawed due to lack of training, or the tools they use are flawed. As a result, the satellites are in an inadequate orbit [H3.1].

Table 34 - A3 UCA Related causal scenarios

Control action	Scenarios
Maneuver plan	Scenario 215: A satellite is in an inadequate orbit. The constellation controller issues a maneuver plan, but it never reaches the satellite due to communication problems. As a result, the satellite orbit is not modified, and the satellite stays in an inadequate orbit. [H3] Scenario 216: A satellite is in an inadequate orbit. The constellation controller issues a maneuver plan, but it arrives too late to the satellite due to communication problems. As a result, the timed plan is expired, and the satellite orbit is not modified, and the satellite stays in an inadequate orbit. [H3]
Start	Scenario 217: A satellite is malfunctioning. The satellite controllers provide a start
maintenance	maintenance mode to troubleshoot it, but the message does not arrive due to a communication problem with the satellite. As a result, the satellite is unable to perform its objectives [H1] or ends in an inadequate orbit [H3].
Payload plan	Scenario 218: The constellation controller issues a payload plan, but it does not arrive at
	the OBC due to a communication problem. As a result, the satellite is unable to perform its objectives [H1].
	Scenario 219: The constellation controller issues a payload plan, but the plan is ignored or received late because the OBC is busy doing other tasks. As a result, the satellite is unable to perform its objectives [H1]
Constellation shape	Scenario 220: The ODT provides a constellation shape command, but the command never arrives at the constellation controller due to communications problems. As a result, the satellites are in inadequate orbit [H3.1].
	Scenario 221: The ODT provides a constellation shape command, but the command never
	arrives at the constellation controller because the controller is offline and is not retried. As a result, the satellites are in inadequate orbit [H3.1].
De-orbit	Scenario 222: The ODT provides a de-orbit command, but the command never arrives at
	the constellation controller due to communications problems. As a result, the satellites are in a collision trajectory with another orbiting body. [H3.2].
	Scenario 223: The ODT provides a de-orbit shape command, but the command never
	arrives at the constellation controller because the controller is offline and is not retried. As a result, the satellites are in a collision trajectory with another orbiting body. [H3.2].
Mission objectives	Scenario 224: Payload team provides a mission goal command, but the command never
	satellites cannot perform its mission objectives (science, comms, etc.) [H1].

Scenario 225: Payload team provides a mission goal command, but the command never arrives at the constellation controller because the controller is offline and is not retried. As a result, the satellites cannot perform its mission objectives (science, comms, etc.) [H1].

Table 35 - A3 Non UCA causal scenarios

## Network diagram



Figure 31 - A3 STPA Network diagram